

NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS



BOARD OF DIRECTORS SPRING BUSINESS MEETING April 20, 2022

Continental Divide Electric Cooperative Board Room
200 E. High Street, Grants, NM 87020
Hybrid Virtual Meeting conducted via [Zoom Link](#)

AGENDA

TIME	TOPIC	PRESENTER
10:00 am	I. PRELIMINARY BUSINESS A. Call to Order/Introductions: ESTABLISH QUORUM B. Agenda: APPROVAL C. Minutes of January 13, 2022: APPROVAL	<i>Billy Moore</i> Chair
10:15 am	II. SPECIAL PRESENTATIONS A. Spotlight: Continental Divide Electric Cooperative & Red Bolt Broadband (Mac Juarez) B. Regionalism: The Power of Harnessing a Region (Cheryl Lee Hills, Region 5 Council, MN) C. Prewitt-Milan Transportation Master Plan (Jim Townsend, Wilson & Company)	
11:00 am	III. EXECUTIVE/STAFF REPORT A. Staff Summary Report, 3 rd Quarter (January-March 2022) FYI B. Legislative Session 2022 Report (<i>Distributed Only</i>) C. Strategic Plan: <ol style="list-style-type: none"> Annual Work Program: REVIEW & APPROVAL Regional Non-Profit Formation: REVIEW & APPROVAL Membership Structure & Increases: REVIEW & APPROVAL D. Revolving Loan Fund Plan, Policies, & Procedures: REVIEW & APPROVAL	<i>Evan Williams</i> Executive Director & <i>Jim Cox, RLF</i> Committee Chair
11:20 am	IV. FINANCIAL REPORT A. FY 2021 Audits B. FY 2022 Financial Report, Q3: REVIEW & APPROVAL C. FY 2022 Budget Revision #2: REVIEW & APPROVAL D. FY 2023 Preliminary Budget: REVIEW & APPROVAL	<i>Evan Williams</i> <i>Armando Sanchez</i> CPA, Loftis Group
11:40 am	V. ANNOUNCEMENTS; OTHER BUSINESS A. Board Meeting Calendar B. Announcements & General Comments	<i>Evan Williams</i>
12:00 pm	VI. ADJOURNMENT	Chair
LUNCH CATERED BY GRANDMA JO'S		



NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS

MINUTES

BOARD OF DIRECTORS

WINTER MEETING

January 13, 2022

Hybrid Meeting – Civic Centre, Farmington, NM
Held via ZOOM | Streamed & Recorded to [Facebook](#)

I. PRELIMINARY BUSINESS

A. CALL TO ORDER/INTRODUCTIONS/QUORUM.

Chairman *Billy Moore* (McKinley County) convened the meeting of the Board of Directors at 10:15AM due to some technical difficulties. Roll call proceeded and in attendance were 15 Board Representatives/Alternatives, representing a quorum 8 of the 9 voting entities of the Board (89%), along with COG staff, guests, and their CPA.

BOARD MEMBERS IN ATTENDANCE:

CIBOLA COUNTY: Judy Horacek; Dr. Christine Lowery
MCKINLEY COUNTY: Billy Moore; Anthony Dimas, Jr.;
SAN JUAN COUNTY: Jim Cox; Terri Fortner (Guest)
CITY OF AZTEC: Michael Padilla Sr.; Paul Eckert; Steven Saavedra (Guest)
CITY OF BLOOMFIELD: Jason Thomas
CITY OF FARMINGTON: Julie Baird; Linda Rodgers
CITY OF GALLUP: Michael Schaaf; Maryann Ustick
CITY OF GRANTS: Erik Garcia; Donald Jaramillo
VILLAGE OF MILAN: Linda Cooke;
Jeff Kiely, Board Emeritus

PRESENTERS IN ATTENDANCE:

Armando Sanchez, CPA
John Brooks, NMFA
Shanna Sasser, DFA Rural, Frontier, & Equity Ombudsman
Warren Unsicker, ORRI, Farmington; Arvin Trujillo, 4CED
Lorraine Ruggles, NMEDD

STAFF IN ATTENDANCE:

EXECUTIVE DIRECTOR: Evan Williams
ADMINISTRATIVE ASSISTANT: Martina Whitmore
PLANNING TEAM: Michael Sage; Brandon Howe

B. AGENDA ADOPTION.

Chairman *Moore* provided an overview of the day's agenda topics.

ACTION: *Jim Cox* (San Juan County) moved and *Michael Padilla, Sr.* (Aztec) seconded adoption of the agenda. Motion carried by acclamation.

C. MINUTES ADOPTION.

Chairman *Moore* reviewed the minutes of October 20, 2021 for review and approval.

ACTION: *Michael Padilla, Sr. (Aztec) and Julie Baird (Farmington)* moved seconded adoption of the minutes. Motion carried by acclamation.

II. SPECIAL PRESENTATIONS

All presentations will be emailed as part of the COG Board Meeting De-brief and available on the COG website at: <http://www.nwnmcog.com/board.html>

What's Cooking at NM Finance Authority? *John Brooks*, New Mexico Finance Authority provided a look in on the State's public bank and its programs that can be leveraged by communities and partners to meet public financing needs.

Shanna Sasser, New Mexico Department of Finance & Administration described her role as the Rural & Frontier Communities Ombudsman and what the position aims to influence and support in terms of community and economic development. She provided an overview and background on the motivation to create the position and the roles, responsibilities and structure surrounding the position.

Brandon Howe, COG, provided a Legislative Overview mainly focusing on budget proposals and funding opportunities.

III. EXECUTIVE/STAFF REPORT

- A. **Staff Summary Report:** Executive Director *Williams* provided a summary of the past quarter's key activities and issues.
- B. **Strategic Planning Session – Part #2:** Based on the outcomes of the October 20th Strategic Planning Session, where the Board received two presentations as follows:
 - 1. An Organization Review of the NWNMCOG by Sheldon Bartel, National Development Council (2021)
 - 2. A Regional Development Sustainability Plan for Northwest New Mexico by Patty Lundstrom, LAMGA (2010)

COG Executive Director was directed to provide another Strategic Plan session to review his recommendations for discussion. All materials including the proposed work program and member dues structure were circulated to all Board members. Mr. Williams provided a thorough presentation of both sets of information and will finalize the Strategic Plan powerpoint by early March 2022. The two main items that will come to the Board at the April Board meeting will be to approve moving forward with a new regional non-profit organization and approve the new membership structure and proposed increases.

- C. **Revolving Loan Fund (RLF) Program Report:** Jim Cox, RLF Chairman, provided a report on the program and its progress to date. He mentioned that now that the RLF Committee has been established and oriented – he felt that it was important to have their input on any changes to the RLF Plan. This item will be updated and approved at the Spring meeting to allow that to happen. The program is ready to launch and COG staff scheduled a soft launch process to educate grassroots leaders and test out the program before public launch.

Executive Director Williams and Loan Committee Chairman Jim Cox are recommended two actions:

1. Any interim changes of the RLF Plan needed to align with internal procedures as presented and discussed at the NWNMCOG Board of Directors;
2. Blessing the Executive Committee's approval of the following additional members to the ELF Loan Committee members: Name Type County Elizabeth Munoz-Hamilton, Gallup Living Real Estate McKinley County Mario Hooee, Zuni MainStreet Non-Profit/Tribal McKinley County Heather Porter, RE/Max Real Estate Cibola County Dawn Faacka, WESST Business Assistance San Juan County.
3. Allowing Jim Cox to continue as RLF Chairman even though he went from Board member to alternative.

There was a good discussion around COG Board continuity and cross-training in case of a transition. Further, in regards to allowing an alternate COG Board member to serve and having a COG Board as an alternate or next person up in case of transition to bring someone into the fold.

ACTION: *Don Jaramillo* (Grants) moved and *Michael Padilla* (Aztec) seconded on approval of any interim changes needed, blessing the additional members, and allowing Jim Cox to continue as RLF Chairman. Motion carried by acclamation.

IV. FINANCIAL REPORT

- A. **FY2022 Financial Reports:** Armando Sanchez, CPA provided a brief overview of the second quarter financial reports that were not made available in the Board packet but will be sent out with the minutes. There was discussion around the comment of the COG's reserve fund and investment fund that have been informally recognized but not locked in by the Board in terms of protection, parameters, and oversight.

ACTION: *Michael Schaaf* (Grants) moved and *Michael Padilla* (Aztec) seconded, to accept the FY2022, Quarter #2 Financial Reports as verbally presented. Motion carried by acclamation.

- B. **FY2022 Budget, Revision #2:** Mr. Williams explained that no budget changes were needed this quarter.

V. ANNOUNCEMENTS; OTHER BUSINESS

- A. **Partner Spotlights:** No reports as many delivered full presentations under that section.
- B. **2022 Board Meeting Calendar.** Mr. Williams reviewed the approved COG Board Calendar. Next meeting will be April 20, 2022, which will be held as a hybrid in Cibola County. He noted that the Annual Meeting will constituent the COG's 50th Year and be held in Gallup.
- C. **Announcements & General Comments:** No announcements to report.

VI. ADJOURNMENT

ACTION: *Michael Padilla* (Aztec) moved and *Michael Schaaf* (Gallup) seconded, to adjourn. Motion carried. Chairman Moore again thanked the City of Farmington for hosting and adjourned the meeting of the Board of Directors at 1:00PM. Motion carried by acclamation.

Respectfully submitted,



Evan J. Williams
Board Secretary and Executive Director

ATTEST:



Billy W. Moore
Board Chair



CHERYAL LEE HILLS

Executive Director

Cheryal joined Region Five Development Commission (R5DC) in 2006 and has a 25+ year national reputation as an action orientated convener with experience in securing resources through authentic relationships, that have spurred equitable economic ecosystems that honor our environmental stewardship commitments in rural Central Minnesota communities.

Cheryal currently provides oversight of seven lending programs and community development initiatives in the spaces of; transportation, workforce development, renewable energy, Climate and Water planning, broadband, local foods, arts/culture, with an intentional focus on the Welcoming Communities program. This program was launched in 2018, when she became a Qualified Administrator of the Intercultural Development Inventory (IDI) assessments to improve regional cultural competency. To date, her organization has delivered IDI to more than 32 groups, 389 people with 146 of those receiving personal IDI assessments and ongoing support.

Via Cheryal's leadership, R5DC is nationally recognized - one of six Hub experts on delivery of the WealthWorks evaluation model. (See <https://www.wealthworks.org/connect/hubs/>.) Cheryal led one of the nation's only rural Sustainable Communities regional planning efforts during the Obama administration and currently mentors community development practitioners in the Black Belt, Appalachian and Four Corners communities/regions.

Her career experience has depth and breadth in several industries (health care, construction, transportation, technology, finance/lending, agriculture, government services, planning and community development) which allow her to fulfill a role as a central Minnesota leader who coordinates cross sectional industries and partners who seek to advance the quality of life for underserved rural people.

Cheryal can be reached directly at [218.296.5200](tel:218.296.5200) or via email at chills@regionfive.org

Prewitt-Milan Transportation Master Plan

Presentation to:

Northwest NM Council of Governments Board of Directors

April 20, 2022



**WILSON
& COMPANY**

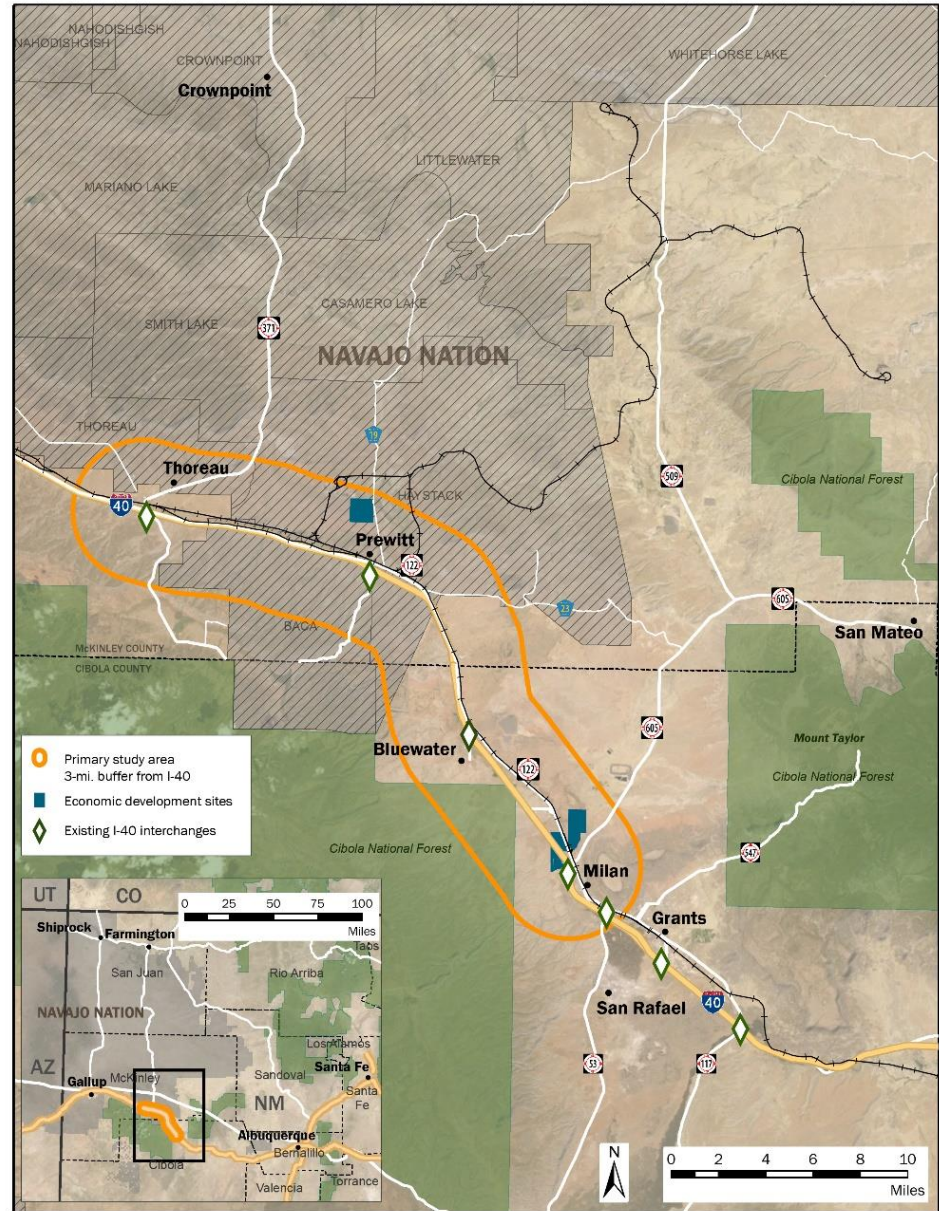
discipline | intensity | collaboration | shared ownership | solutions

Project Objective

- ✓ **Coordinate** the planning and delivery of projects to improve access to the Prewitt and Milan industrial parks (*shovel-ready, rail-served parks*)
- ✓ **Analyze** the multimodal transportation system to support industrial and economic development needs to maximize the potential for the Prewitt and Milan industrial parks and potential supporting private investments to foster job growth
- ✓ **Plan** and identify next-step design needs and opportunities, and outline funding in order to construct the infrastructure needed to serve an emerging economic boom in Northwest New Mexico

Study Area

Approximately 183 square miles, the plan extends for 3 miles in either direction along I-40 from Milan to Thoreau. Includes Prewitt, Bluewater, and portions of McKinley and Cibola Counties and the Navajo Nation



Plan Components

Purpose and Need

Area Demographics

- Population/Race/Language/Education
- Employment/Commute Patterns

Economic Context

- Key Industries
- Target Industries
- Trends and Opportunities
- Future Generators

Existing Transportation System and Needs

Transportation Focus Areas

- Economic Vitality
- Safety
- Mobility
- Project Readiness
- Environmental Sustainability

Priority Project List

Key Findings

- Study Area Population 5,475
- 2,089 Households
- 83% of Residents Work Outside the Study Area
- 62% of Residents indicate Hispanic Origin
- Significant Navajo Population
- Primary Economic Generators include:
 - Agriculture, Mining, and Oil & Gas

Transportation System

Airports

Grants-Milan Municipal Airport

Rail

Burlington Northern Santa Fe / Southern Transcon

109 miles of track in study area / 80 trains per day

43 miles of double track

Spur Lines to Escalante Generating Station and Mine Sites

20 Railroad Crossings, 10 grade-separated

Gallup – BNSF Rail Yard

Interstate 40

32.5 miles within study area

5 Interchanges

4 Travel Centers

Transportation Focus Areas

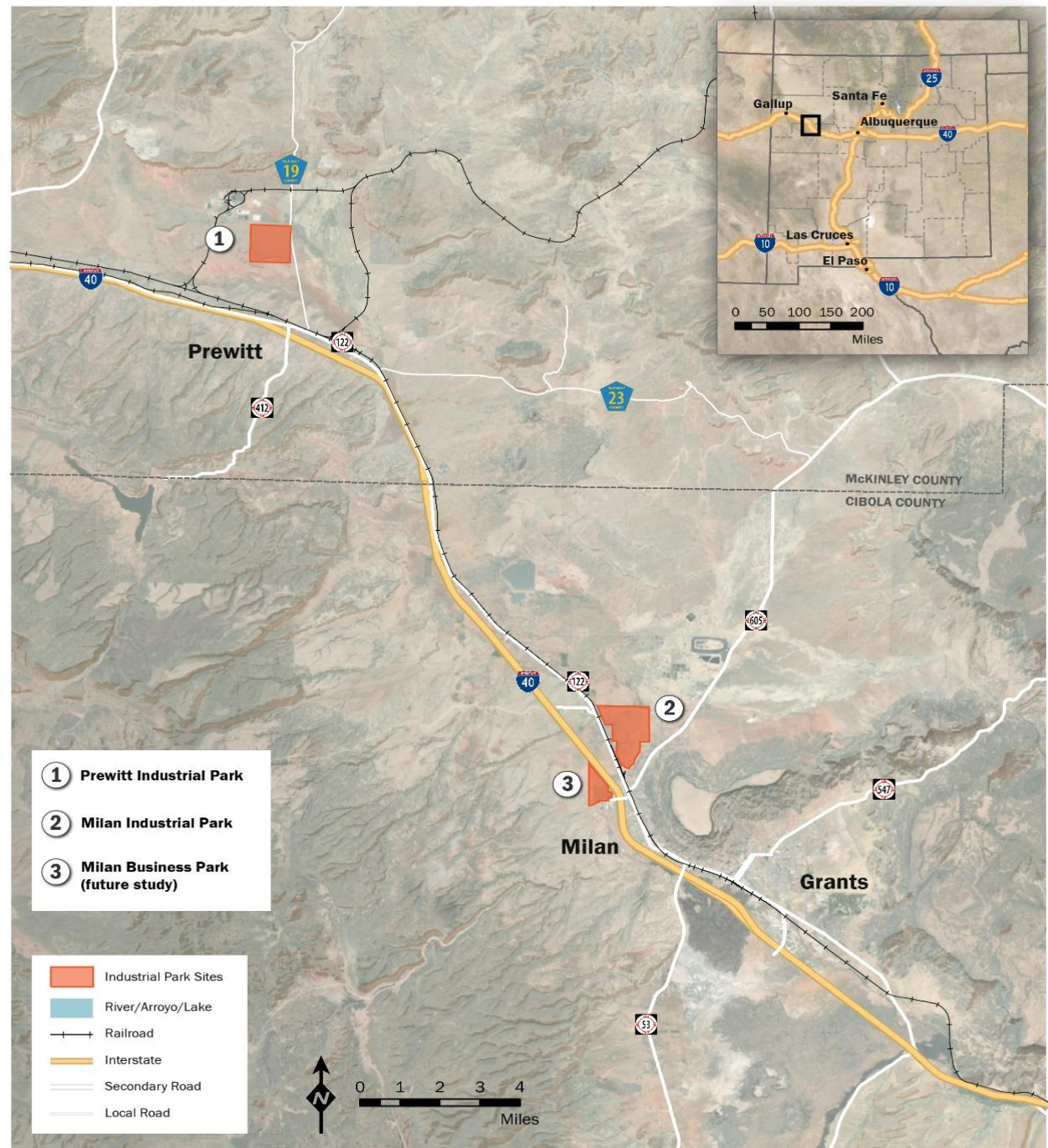
- Industrial Parks
- Interchange Geometrics
- Road Conditions
- Freight & Rail
- Safety



Regional Priorities

- **Economic Vitality**
 - ✓ Improve access to area enterprises
 - ✓ Jobs
- **Safety**
 - ✓ Reduce conflicts
 - ✓ Vehicular and pedestrian travel
- **Mobility**
 - ✓ Freight
 - ✓ Regional and local
- **Environmental Sustainability**
 - ✓ Cultural, Natural, Protected Areas
- **Project Readiness**

Industrial Park Sites



Prewitt Industrial Park (2020)

- 626-acre site north of the community of Prewitt, in McKinley County, New Mexico
- Commonly referred to as the County Road 19 Site, just south of the Escalante power plant in Prewitt
- Good access to I-40 and BNSF Transcon



Milan Industrial Park

- 913-acre master planned industrial park
- Directly along BNSF Transcon
- Near I-40, truck stop & Grants-Milan Airport
- Phase I design is underway



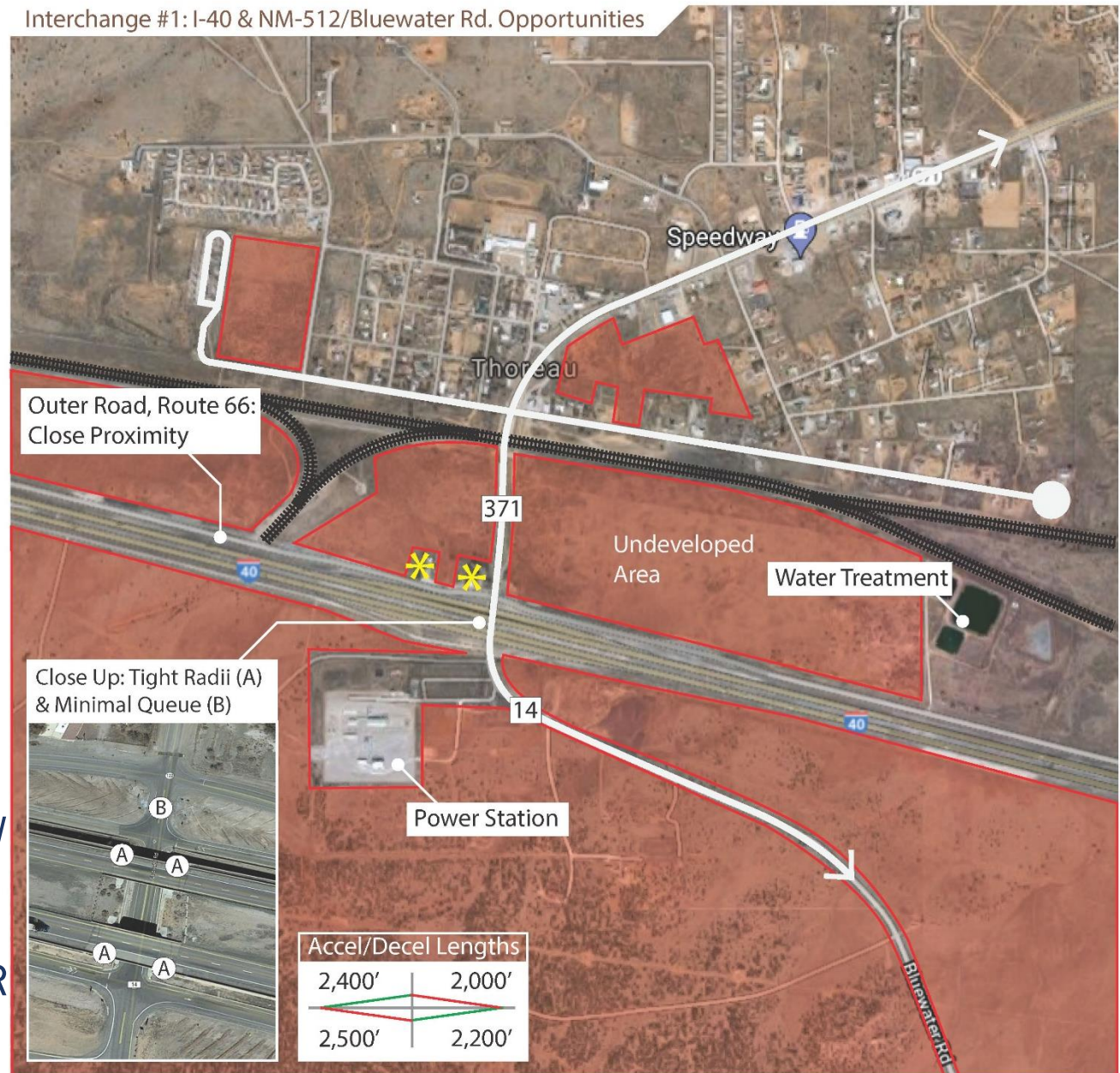
Transportation Analysis

Outer Road close proximity ~75'

Tight on/off ramp radii & queue lengths

Power Station at SW quadrant

Grade separated RR crossing



I-40 Corridor Issues & Opportunities

I-40 & Bluewater Road Interchange in Thoreau, NM



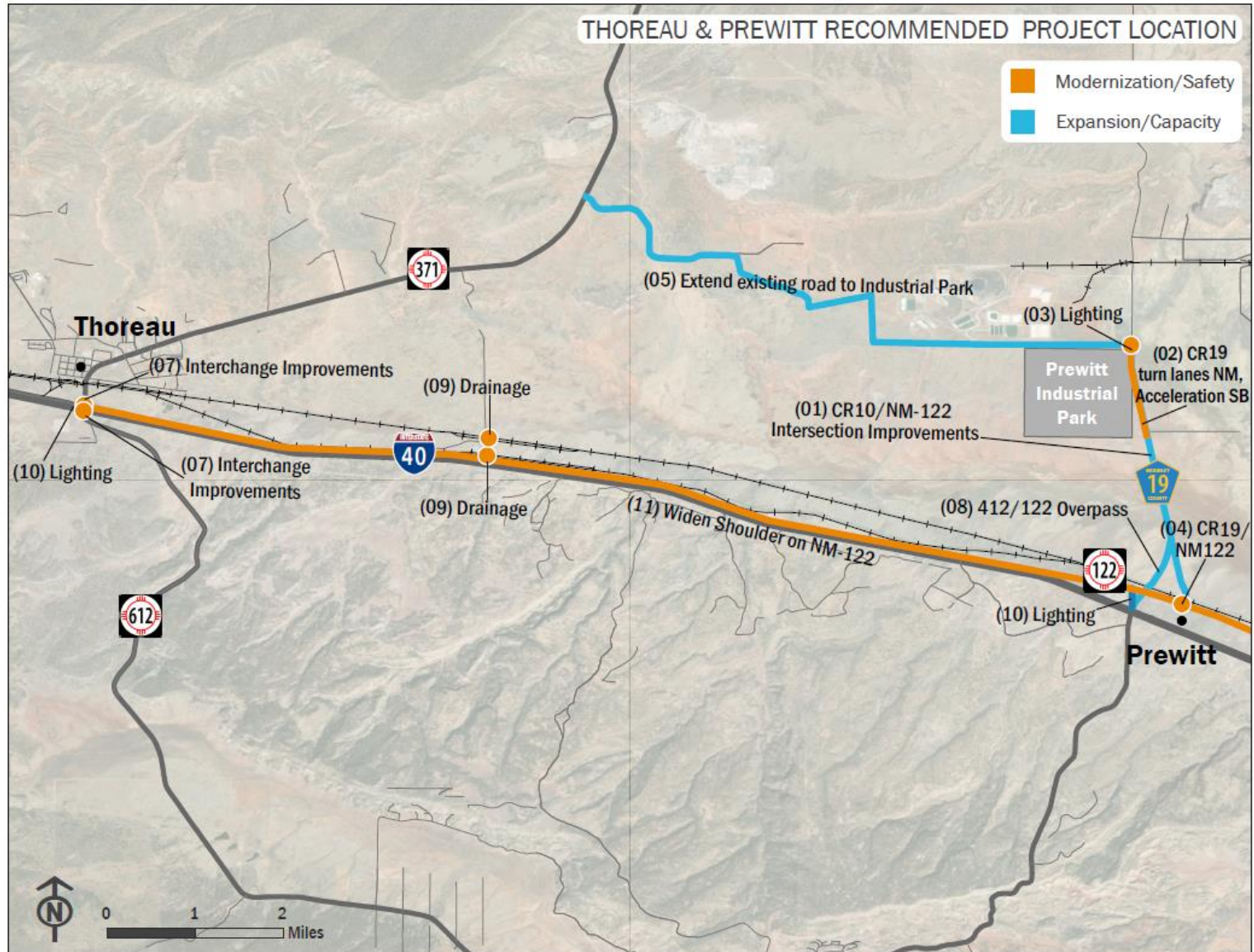
- 20 projects ranked and prioritized
- Scoring criteria based on Regional Priorities



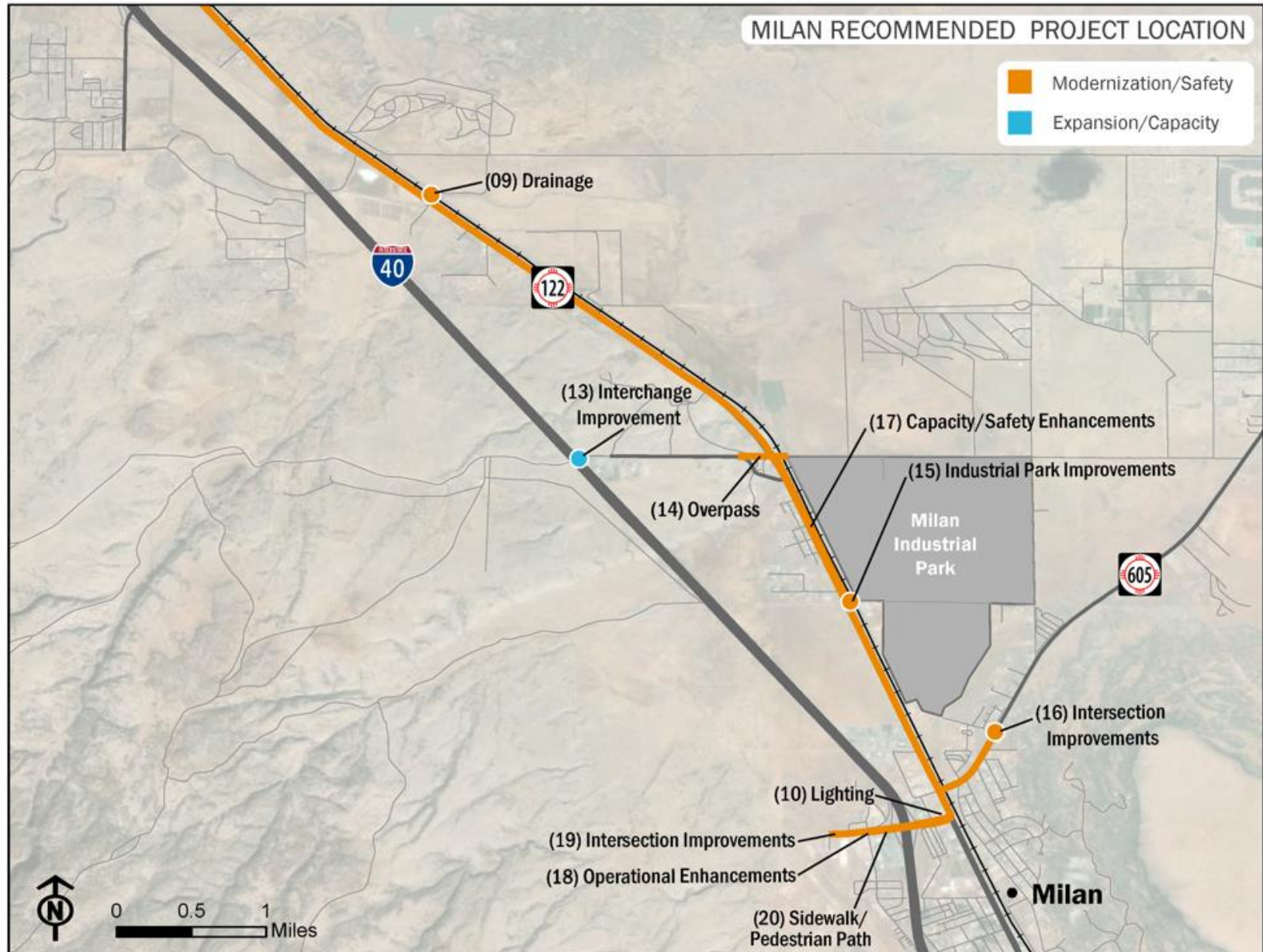
Rank	Score	Project Number	County	Facility	Project Extent/Location	Project Description
1	45	RD13	Cibola	I-40 at NM-568	–	Construct new interstate interchange
1 (tie)	45	RD14	Cibola	NM-122 at NM-568/Nursery Road	–	Construct overpass over NM-122 and railroad tracks
3 (tie)	39	RD04	McKinley	NM-122	Intersection with CR-19	Intersection improvements, including turn lanes, acceleration/deceleration lanes, roadway lighting (CR 19 and NM-122)
3 (tie)	39	RD08	McKinley	NM-412 and NM-122	–	Construct overpass/interchange from NM-412 over NM-122 and railroad tracks, tying into CR-19 to the north; construct if trucks are not routed through Thoreau to Prewitt Industrial Park.
5	38	RD07	McKinley	I-40 Thoreau Interchange (exit 53)	–	Refit or reconstruct interchange for wider truck turn radii
6	37	RD06	McKinley	NM-122 and NM-371	Intersection	Realign intersection and NM-122 to north of existing buildings.
7 (tie)	34	RD05	McKinley	Red Mesa Bluffs Drive/Solid Waste Authority access road	Between NM-371 and EGS-Biopappel complex/Prewitt Industrial Park	Extend access road to industrial park site. Reconstruct to cross section needed for significant truck traffic. (Red Bluffs Mesa)
7 (tie)	34	RD19	Cibola	Horizon Boulevard	Intersection of Motel Drive west to end of roadway	Various enhancements including intersection improvements, reconstruction of roadway, sidewalks, etc. as needed to support Golf Course site redevelopment.
9 (tie)	33	RD01	McKinley	County Road 19	Entrance to Escalante Generating Station/Biopappel to NM-122	Capacity improvements, including widened shoulder (CR 19)
9 (tie)	33	RD02	McKinley	County Road 19	Future entrance to Prewitt Industrial Park	Acceleration lanes for SB CR-19, NB turn lanes

Rank	Score	Project Number	County	Facility	Project Extent/Location	Project Description
9 (tie)	33	RD03	McKinley	County Road 19	Future entrance to Prewitt Industrial Park	Roadway lighting at entrance to industrial park driveway (CR 19)
12	30	RD20	Cibola	Horizon Boulevard	End of existing sidewalk to Motel Drive	Construct sidewalk/pedestrian path from existing sidewalk to Motel Drive
13	28	RD18	Cibola	Horizon Boulevard	Intersections with on-ramp/off-ramps	Operational enhancements, including traffic signals and lighting
14 (tie)	26	RD10	Cibola and McKinley	I-40 interchanges within study area	Between interchanges and NM-122	Roadway lighting at interchange and between interchange and nearest NM-122 intersection
14 (tie)	26	RD15	Cibola	NM-122	Intersection with Mill Road	Industrial Park Phase I improvements. Phase I improvements are detailed in traffic impact analysis (draft currently being reviewed by NMDOT)
14 (tie)	26	RD16	Cibola	NM-605	Intersection with Stanley Road	Phase I improvements are detailed in traffic impact analysis (draft currently being reviewed by NMDOT)
14 (tie)	26	RD17	Cibola	NM-122 and NM-605	On NM-122: between Nursery Road and Horizon Boulevard; on NM-605: between NM-122 and Stanley Road	Industrial park Phase II & III capacity/safety enhancements at entrances to Milan Industrial Park, including traffic signals, turn lanes, acceleration/deceleration lanes.
18 (tie)	25	RD09	McKinley	Underpasses under railroad bridges (along NM-122)	Milan to Thoreau	Drainage improvements as needed.
18 (tie)	25	RD12	Cibola	NM-122	Between Milan Industrial Park and start of two-lane section	Spot shoulder improvements. Maintenance/mowing of existing shoulders.
20	22	RD11	Cibola and McKinley	NM-122	Between Milan Industrial Park and NM-371	Widened shoulder on two-lane portion of NM-122, at least near major intersections.

Project Location Map



Project Location Map



Next Steps:

- ✓ Finalize Project Prioritization/Scoring
- ✓ Draft master plan
- ✓ Monitoring document
- ✓ Focus group – Navajo Nation

Thank you!

Proposed Roadway Improvements (Ranked)

Rank	Score	Project Number	County	Facility	Project Extent/Location	Project Description
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	Project Number																				
	Highest Possible Score	RD01	RD02	RD03	RD04	RD05	RD06	RD07	RD08	RD09	RD10	RD11	RD12	RD13	RD14	RD15	RD16	RD17	RD18	RD19	RD20
SUBTOTAL for "Supports Growth & Economic Development"	8	6	6	6	6	8	6	6	6	2	2	2	2	8	8	2	2	2	2	6	2
SUBTOTAL for "Mobility & Connectivity"	18	11	11	11	15	10	16	16	16	5	6	6	6	16	16	3	3	3	6	9	11
SUBTOTAL for "Safety"	13	2	2	2	5	8	6	8	7	7	4	3	3	9	9	5	5	5	6	5	3
SUBTOTAL for "Environment"	12	12	12	12	11	7	8	7	9	9	12	9	12	10	10	11	11	11	12	12	12
SUBTOTAL for "Project Readiness"	5	2	2	2	2	1	1	1	1	2	2	2	2	2	2	5	5	5	2	2	2
TOTAL – All Criteria	56	33	33	33	39	34	37	38	39	25	26	22	25	45	45	26	26	26	28	34	30

See detailed project scoring on following pages.



Detailed Project Scoring - Roadway Projects (DRAFT - April 18th, 2022)

					Project Number																			
Categories		Criteria	How it's measured	How it's scored	RD01	RD02	RD03	RD04	RD05	RD06	RD07	RD08	RD09	RD10	RD11	RD12	RD13	RD14	RD15	RD16	RD17	RD18	RD19	RD20
Supports Growth & Economic Development	G-1	Does the project improve access for known economic development sites?	Mapping comparison of project extent to location of economic development sites	4 = Project provides new roadway access that connects to a specific existing or future employment sites not currently served by a roadway (or by an improved roadway). 3 = Project would significantly improve roadway capacity or operations on existing roadways that serve economic development sites (existing or planned). 2 = Project provides new roadway access to an area that contains economic development sites not currently served by a roadway (or by an improved roadway). 1 = Project would slightly improve capacity or operations on existing roadways that serve specific economic development sites.	3	3	3	3	4	3	3	3	1	1	1	1	4	4	1	1	1	1	3	1
	G-2	Does the project promote efficient movement of goods?	Overall operational improvements. Project types include ancillary intersection, signal, and ITS improvements.	4 = Project provides new roadway freightaccess that connects to a specific existing or future employment sites not currently served by a roadway (or by an improved roadway). 3 = Project would significantly improve roadway freight capacity or operations on existing roadways that serve economic development sites (existing or planned). 2 = Project provides new roadway freight access to an area that contains economic development sites not currently served by a roadway (or by an improved roadway). 1 = Project would slightly improve roadway freight capacity or operations on existing roadways that serve specific economic development sites.	3	3	3	3	4	3	3	3	1	1	1	1	4	4	1	1	1	1	3	1
	SUBTOTAL for "Supports Growth & Economic Development"				6	6	6	6	8	6	6	6	2	2	2	2	8	8	2	2	2	2	6	2
Mobility & Connectivity	M-1	Improves access to existing residential areas	Comparison of project extents to: Available current/future land use maps; aerial imagery; on-the-ground observations of actual land use	3 = Project would significantly improve roadway capacity or operations on existing roadways that serve specific existing residential areas. 2 = Project would significantly improve roadway capacity or operations on existing roadways that serve areas with existing residential areas. 1 = Project would slightly improve capacity or operations on existing roadways that serve specific existing residential sites.	0	0	0	2	0	3	3	3	1	1	1	1	2	2	0	0	0	1	1	2
	M-2	Improves access to future residential areas	Comparison of project extents to available future land use maps	3 = Project would significantly improve roadway capacity or operations on existing roadways that serve specific future residential areas. 2 = Project would significantly improve roadway capacity or operations on existing roadways that serve areas with future residential areas. 1 = Project would slightly improve capacity or operations on existing roadways that serve specific future residential sites.	0	0	0	2	0	3	3	3	1	1	1	1	2	2	0	0	0	1	2	2
	M-3	Improves access to existing employment	Comparison of project extents to: Available current/future land use maps; aerial imagery; on-the-ground observations of actual land use	3 = Project would significantly improve roadway capacity or operations on existing roadways that serve specific existing employment sites. 2 = Project would significantly improve roadway capacity or operations on existing roadways that serve areas with existing employment sites. 1 = Project would slightly improve capacity or operations on existing roadways that serve specific existing employment sites.	3	3	3	3	2	3	3	3	1	1	1	1	3	3	1	1	1	1	1	2
	M-4	Improves access to future employment	Comparison of project extents to available future land use maps	4 = Project provides new roadway access that connects to a specific future employment sites not currently served by a roadway (or by an improved roadway). 3 = Project would significantly improve roadway capacity or operations on existing roadways that serve specific future employment sites (existing or planned). 2 = Project provides new roadway access to an area that contains future employment sites that is not currently served by a roadway (or by an improved roadway). 1 = Project would slightly improve capacity or operations on existing roadways that serve specific future employment sites.	4	4	4	4	4	3	3	3	1	1	1	1	4	4	1	1	1	1	2	2
	M-5	Improves access to existing/future services	Comparison of project extents to: Available current/future land use maps; aerial imagery; on-the-ground observations of actual land use. Services include: Medical facilities, schools, government buildings	4 = Project provides new facility that connects to a specific facility (existing or planned) not currently served by a roadway (or by an improved roadway). 3 = Project would significantly improve capacity or operations on existing roadways that serve specific facilities (existing or planned). 2 = Project provides new facility to an area that contains services (or may contain them in the future) that is not currently served by a roadway (or by an improved roadway). 1 = Project would slightly improve capacity or operations on existing roadways that serve specific facilities (existing or planned).	3	3	3	3	3	3	3	3	1	1	1	1	4	4	1	1	1	1	2	2
	M-6	Project supports existing or expanded transit service	Expansion of transit service frequency or new/longer routes versus current service	1 = Yes, project would improve future transit operations or improve transit stop access; 0 = No, project would likely not improve future transit operations or improve transit stop access	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	1	1	1
	SUBTOTAL for "Mobility & Connectivity"				11	11	11	15	10	16	16	16	5	6	6	6	16	16	3	3	3	6	9	11



Detailed Project Scoring - Roadway Projects (DRAFT - April 18th, 2022)

				Project Number																				
Categories		Criteria	How it's measured	How it's scored	RD01	RD02	RD03	RD04	RD05	RD06	RD07	RD08	RD09	RD10	RD11	RD12	RD13	RD14	RD15	RD16	RD17	RD18	RD19	RD20
Safety	S-1	Improves safety on high-crash segment or intersection	Recent NMDOT crash data	4 = Creates improvements likely to improve safety on a roadway segment or intersection specifically identified as a high-crash location by NMDOT crash data 3 = Provides alternative route to high-crash segment or intersection, improving operations and safety at the high-crash location 2 = Creates improvements likely to improve safety on a roadway segment or intersection specifically identified as having recent known crashes by NMDOT crash data 1 = Creates improvements likely to improve safety on a roadway segment or intersection not identified as a high-crash location	1	1	1	2	2	2	4	2	1	2	2	2	3	2	2	2	2	4	2	1
	S-2	Improves safety related to potential conflicts related to trucks and trains	Recent NMDOT crash data; analysis of railroad crossing characteristics	4 = Eliminates an existing at-grade road-rail crossing 3 = Improves an existing grade-separated crossing that provides an alternative to using an at-grade crossing; OR creates a new route that provides an new or more viable alternative to using an at-grade crossing 2 = Improves roadway operations so that roadway bottlenecks across existing at-grade crossings are reduced	0	0	0	2	3	3	3	4	3	0	0	0	3	4	2	2	2	0	0	0
	S-3	Improves safety related to potential conflicts between pedestrians and vehicles	Recent NMDOT crash data	2 = Creates pedestrian infrastrcture at high-traffic locations or identified pedestrian crash locations where there is not currently such infrastructure 1 = Enhances existing pedestrian infrastructure, or creates new infrastructure in low-traffic areas where there is not currently such infrastructure	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	1	2	2
	S-4	Creates redundancy in network to improve evacuation routes & emergency responsiveness	Most applies to new roads or interchanges	3 = Creates new route/access for personal and freight vehicles, greatly enhancing emergency responsiveness 2 = Creates new access points to an existing site/area, somewhat improving emergency responsiveness; 1 = Improves an existing route/access (e.g. shoulders along an existing road) to allow for better evacuation or emergency response	1	1	1	1	3	1	1	1	2	1	1	1	3	3	1	1	1	1	1	0
	SUBTOTAL for "Safety"				2	2	2	5	8	6	8	7	7	4	3	3	9	9	5	5	5	6	5	3
Environment	E-1	Minimal disturbance or impacts to wetland/floodplain	Mapping overlay comparison of project extent and location of known wetland/floodplain	2 = Project avoids impacts to known wetland/floodplain 1 = Project would likely impact wetland/floodplain, requiring significant mitigation	2	2	2	2	1	1	1	2	1	2	1	2	2	2	2	2	2	2	2	2
	E-2	Minimal disturbance or impacts to significant geological features	Mapping overlay comparison of project extent and location of known significant geological/topographical features	2 = Project avoids impacts to existing geological features 1 = Project would likely impact existing geological features, requiring significant mitigation	2	2	2	2	1	2	1	2	1	2	2	2	2	2	2	2	2	2	2	2
	E-3	Minimal disturbance or impacts to cultural/historical features	Mapping overlay comparison of project extent and location of known cultural features; State & National Historic Register listings	2 = Project avoids impacts to existing cultural/historical features 1 = Project would impact existing cultural/historical features, requiring significant mitigation	2	2	2	2	1	1	1	1	1	2	1	2	1	1	1	1	1	2	2	2
	E-4	Minimal disturbance or impacts to crucial wildlife habitat	Mapping overlay comparison of project extent and location of known habitat; New Mexico Environmental Review Tool online map. For project scoring purposes, crucial habitat is defined as areas scoring 1-3 in the New Mexico Critical Habitat Assessment Tool's Critical Habitat Index	2 = Project avoids impacts to existing crucial habitat 1 = Project would impact existing existing crucial habitat	2	2	2	1	1	2	2	2	2	2	2	1	2	2	2	2	2	2	2	2
	E-5	Mimimal disturbance or impacts to environmental justice (EJ) populations	Mapping overlay comparison of project extent and location of known EJ census tracts; US EPA EJScreen online map	2 = Project avoids negative impacts to existing environmental justice (EJ) populations 1 = Project likely negatively impact existing environmental justice (EJ) populations, requiring significant mitigation	2	2	2	2	1	1	1	1	2	2	2	2	2	2	2	2	2	2	2	2
	E-6	Mimimal disturbance or impacts to contaminated or hazardous materials sites, including Superfund sites or leaking underground storage tanks (LUSTs)	Mapping overlay comparison of project extent and location of known EJ census tracts; New Mexico Environment Department OpenEnviroMap tool	2 = Project avoids negative impacts to contaminated or hazardous materials sites 1 = Project likely impacts to contaminated or hazardous materials sites	2	2	2	2	2	1	1	1	2	2	2	2	1	1	2	2	2	2	2	2
	SUBTOTAL for "Environment"				12	12	12	11	7	8	7	9	9	12	9	12	10	10	11	11	11	12	12	12

PREWITT - MILAN TRANSPORTATION MASTER PLAN					Detailed Project Scoring - Roadway Projects (DRAFT - April 18th, 2022)																			
					Project Number																			
Categories		Criteria	How it's measured	How it's scored	RD01	RD02	RD03	RD04	RD05	RD06	RD07	RD08	RD09	RD10	RD11	RD12	RD13	RD14	RD15	RD16	RD17	RD18	RD19	RD20
Project Readiness	P-1	Planning/design for this project has begun		3 = Planning/design for project has taken place or is underway (equivalent to NMDOT Location Study Phase A-D, traffic impact analysis, or other detailed technical analysis) 2 = Project has been programmed in local ICIP or STIP 1 = Project has been identified/recommended in a separate plan	0	0	0	0	0	0	0	0	0	0	0	0	1	1	3	3	3	0	0	0
	P-2	The project is unlikely to face significant legal barriers/barriers to planning clearance	Issues could include need to acquire right-of-way; unclear jurisdiction/ownership/responsibility for project; probable need for advanced environmental study/clearance	2 = The project would not face any known significant legal barriers/barriers to planning clearance 1 = The project would face some legal barriers or barriers to planning clearance 0 = The project would face significant legal barriers or barriers to planning clearance	2	2	2	2	1	1	1	1	2	2	2	2	1	1	2	2	2	2	2	2
	SUBTOTAL for "Project Readiness"				2	2	2	2	1	1	1	1	2	2	2	2	2	2	5	5	5	2	2	2



**NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS
STATE PLANNING DISTRICT #1**

STAFF SUMMARY REPORT FY 2022/ 3RD QUARTER

FOR THE PERIOD: January 1, 2022 – March 31, 2022

The State Grant-in-Aid (SGIA) work program is utilized by the State Planning Districts to develop a dynamic framework of integrated general services to support planning, development, and technical assistance activities as a regional service to local and state government. This report reflects functions and tasks in the approved FY2022 Work Program and Budget. The following are functions and tasks completed by the Northwest New Mexico Council of Governments during the third quarter of FY2022:

Function 1:	<p>Organizational Management. Provide for general administration and development of the Planning District organization, including management of the State Grant-in-Aid in conjunction with other grants and programs, building organizational capacity, sustainability, and networking with other Planning Districts and with a multi-sector variety of public and private institutions in service to the regional development mission.</p>
QUARTERLY ACTIVITY HIGHLIGHTS & RECAP	
<p>(1) Financial Audit: The Council of Governments' Financial Audit for FY 2021 was approved by the Office of the State Auditor and was posted for public review on February 8, 2022. The financial statement opinions and opinion for Major Federal Programs were both deemed unmodified.</p> <p>(2) Legislative Assistance: The COG assisted numerous local governments, special districts and tribal entities with legislative priorities including capital outlay, reauthorizations, junior funding, and bill monitoring.</p>	



a product of:
NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS
"Our Region, Your COG"



SNAPSHOT OF THE SESSION

ISSUE # 2022-01 | April 14, 2022

LEGISLATIVE DELEGATION:

House	Senate
Rod Montoya, D-1	William Sharer, D-1
James Strickler, D-2	Steve Neville, D-2
Ryan Lane, D-3	Shannon Pinto, D-3
Anthony Allison, D-4	George Munoz, D-4
D. Wonda Johnson, D-5	Benny Shendo, D-22
Eliseo Alcon, D-6	Joshua Sanchez, D-30
Patty Lundstrom, D-9	
Derrick Lente, D-65	
Harry Garcia, D-69	

LEGISLATIVE LEADERSHIP STRUCTURE:

House	Senate
Rep. Brian Ego, Speaker	Sen. Mimi Stewart, President Pro Tempore
Rep. Javier Martinez, Majority Floor Leader	Sen. Peter Wirth, Majority Floor Leader
Rep. Doreen Gallegos, Majority Whip	Sen. Linda Lopez, Majority Whip
Rep. James Townsend, Minority Floor Leader	Sen. Gregory Baca, Minority Floor Leader
Rep. Rod Montoya, Minority Whip*	Sen. Craig Brandt, Minority Whip

SESSION DATES – 2022

January 13-14 Capital Outlay Deadline

FOCUS ON: MONEY BILLS

This Session was focused on the State's budget, which included the following highlights:

- HB2: General Appropriations Act** – Bill that establishes the budget for the upcoming fiscal year. Provides funding for priority areas such as:
 - Education:** Targeted increases in education through teacher salary increases and continuation of funding for the Opportunity Scholarship.
 - Public Safety:** Significant investments in public safety including salary increases, recruitment bonuses, etc.
 - Transportation:** **\$5M** for regional airport improvements (**\$1.5M** for Grants Airport), **\$60M** for the Transportation Project Fund (in addition to recurring **\$40M**), **\$247M** for larger infrastructure projects statewide including Interstate 40 in McKinley and Cibola Counties and Pinon Hills Boulevard (**\$10M** for local bridges), and **\$20M** for statewide rest areas.
 - Other Special Appropriations:** **\$1.5M** for grants management for local governments and councils of governments, **\$1M** for grant match assistance, **\$24M** for statewide hunger initiatives, **\$8M** for Gallup Water System, **\$45M** for regional recreation and quality of life amenities, **\$63M** for opportunity scholarship.
- SB212: Capital Outlay** – Bill that approved the issuance of Severance Tax Bonds for capital expenditures for infrastructure projects through

1.1 Professional Development Provide ongoing professional development training or continuing education classes for staff, i.e., training, webinars, professional conferences.		Q1	<ul style="list-style-type: none"> ✓ Angelina Grey participated in the following: Tribal Planners Roundtable, ICIP 101 workshop, OSE "50-year water plan", Capital Outlay Process Workshop, RCAC Water Systems Workshop, CDBG Opportunities workshop, Planning, Vetting, application funding workshop, Water Trust Board application training, NM Brownfields webinar, and the Capital Outlay Form training webinar. ✓ Brandon Howe participated in IEDC Economic Development Basic 5-day course, ICIP 101 workshop, EDA: Travel, Tourism and Outdoor Rec webinar, EDA: Assistance to Coal Communities webinar, CDBG opportunities workshop, Planning, Vetting, application funding workshop, NM Brownfields webinar.
		Q2	<ul style="list-style-type: none"> ✓ Angelina Grey participated in the following: KSU-TAB "Tribal Resilience/Indigenous Planning" Workshop, Brownfields Technical Assistance Webinar, Tribal & Indigenous Climate Adaptation Webinar Parts 1 & 2, NMDOT Strategic Highway Safety Plan, NRW Software Solutions and Infrastructure Bill Webinar, CDBG Implementation Training Workshops, KSU-TAB "Putting the Pieces Together" Webinar Parts 1 & 2, APA "Growing Water Smart" Webinar, APA "Moving from aspiration to action" webinar, RCAP "Using Mapping to Improve W.U. Operations", EPA WLA Security Summit Day 1 -3, APA "Planning for Innovation in Transportation" Webinar, NM Data Users Conference, ITEP Tribal & Indigenous Climate Adaptation Webinar, APA "The many faces of traditional and non-traditional planning", NADO IJA Infrastructure Bill Webinars, APA NM Conference. ✓ Carrie House participated in the following: NM Data Users Conference
		Q3	<ul style="list-style-type: none"> ✓ Angelina Grey participated in the following: NM Recovers: Prospecting for Grants, CDBG Implementation Series – Modules 8-11, RCAC webinar – Achieve & Maintain Compliance with SDWA, RCAC webinar – Basic Finance Management, Tribal Infrastructure Fund – Application Training, RTPO – Climate Change, NM Office of Secretary – Open Meetings Act and IPRA, American Planning Association – Climate Science & Planning, American Planning Association – Responding to Climate Change, American Rescue Plan Act – Water and Wastewater Projects, RCAC – Working Effectively with Utility Bonds, EPA – Bipartisan Infrastructure Law (Resilience, Environmental Remediation, Environmental Policy Innovation Center's – Equitable Wastewater Infrastructure in Disadvantage Rural Communities, Innovyze – Sewer and Water Capital Improvements Planning, RCAC OMA Training for MDWUAs, EPA Tribal Waste Management Program, RCAC – Financial Management for MDWUAs
		Q4	
1.2 Board Training & Support Provide workshops and training as needed to COG boards and		Q1	<ul style="list-style-type: none"> ✓ Board Meeting and Annual Luncheon (July 28th) was held in Grants at the Coyote Del Malpais Golf Course ✓ COG Executive Committee Meeting (July 27th) was held at the COG office in Gallup.

committees, i.e., annual workshop, handbook for board members.		Q2	<ul style="list-style-type: none"> ✓ Board Meeting (October 20th) was held in Gallup at the McKinley County Administrative Complex. ✓ COG Executive Committee Meeting (October 20th) was held in Gallup at the McKinley County Administrative Complex
		Q3	<ul style="list-style-type: none"> ✓ Board Meeting (January 13th) was held in Farmington at the Farmington Civic Center.
		Q4	
1.3 Networking Facilitate & strengthen working relationships with local, tribal, state, federal, nonprofit, and private sector agencies, and partners.		Q1	<ul style="list-style-type: none"> ✓ Cibola Coffee w/ Managers: COG has attended two Coffee with the Managers meetings during the quarter. Meetings are public forum for citizens within Cibola County to address needs to area managers. ✓ Water Commons: COG continued its programming of the newly established Water Commons regional forum. COG hosted two Water Commons meetings during the quarter. ✓ Water Summit: COG staff co-hosted the inaugural water summit in McKinley County. The summit provided a day full of presentations on various topics regarding water planning and was well attended. ✓ NewMARC: COG attended the first quarter meeting virtually on September 21st. NewMARC is the forum for all COGs to discuss federal, state, and local activity.
		Q2	<ul style="list-style-type: none"> ✓ Cibola Legislative Forum: COG staff coordinated and hosted the annual Cibola Area Legislative Forum in Grants on December 22nd. The forum included numerous local and tribal governments, special districts, educational and health care institutions along with area legislators. ✓ Tribal Planners Round Table: Angelina Grey attended the Tribal Planners Round Table virtually which is a forum for all things tribal planning.
		Q3	<ul style="list-style-type: none"> ✓ Regional Water Commons: COG staff held a series of regional water commons meetings throughout the Quarter. The RWC is the McKinley County Small Water System forum to discuss water issues, programs, funding, etc. ✓ Water Regionalization Workshop: COG staff co-hosted a small water system regionalization workshop in McKinley County. The workshop focused on regional water system management to mitigate issues with operations and maintenance capacity at the small system level. ✓ Tribal Planners Roundtable: Angelina Grey attended the Tribal Planners Roundtable virtually which is a forum for all things tribal planning. ✓ Legislative Session: COG staff attended the 2022 NM Legislative Session and attended numerous meetings to assist local and tribal governments with legislative priorities. ✓ NewMARC: COG staff attended the NewMARC meeting in Santa Fe to discuss statewide regional planning matters.
		Q4	

1.4 SGIA Administration

Submit Annual Work Program/Certification, audit, reports, and invoices to the Local Government Division.

1.4.1 AWP & Certification Submit annual work program packet including certification by the end of each State fiscal year in compliance with DFA requirements.	Annual	✓ Annual work program and certification was submitted and approved
1.4.2 Audit Submit audit report to Local Government Division upon release by State Auditor.	Q1 – Q3	<p>✓ Q1 – Q2: Executive Director Evan Williams and Finance Administrator Martina Whitmore worked closely with hired Certified Public Accountant and the audit firm conduct a formal audit for the COG. Audit was successfully submitted to the Office of the State Auditor and is pending review.</p> <p>✓ Q3: The 2021 Financial Statements and Independent Auditor's Report was approved and posted to the NM Office of the State Auditor's website on February 8, 2022.</p>
1.4.3 Reporting & Invoicing	Quarterly	<p>✓ FY2021 Q4: Completed</p> <p>✓ FY2022 Q1: Completed</p> <p>✓ FY2022 Q2: Completed</p> <p>✓ FY2022 Q3:</p>

Function 2:	Local Government Support. Provide a range of technical assistance services and guidance to local governments and to other public entities in the region, focused on identifying, cultivating, financing, and implementing investable community development projects.
QUARTERLY ACTIVITY HIGHLIGHTS & RECAP	
<p>(1) Legislative Assistance: This quarter the COG assisted numerous local governments, special districts and tribal entities with legislative priorities including capital outlay, reauthorizations, junior funding, budget bill appropriations and bill monitoring. COG staff also assisted local governments with special presentations and project summaries to aid with local lobbying and project advocacy efforts.</p> <p>(2) Asset Management: This quarter COG staff assisted McKinley County and Cibola with developing asset lists for locally owned bridges using FHWA's National Bridge Inventory website. Bridge lists can be used by the local governments to ensure proper maintenance and timely replacement of bridges. Additionally, the lists should be referred to during development of priority action plans such as strategic plans and ICIPs.</p>	

TASK TRACKING			
TASK	DUE DATE	STATUS	
2.1 General Technical Assistance			
2.1.1 Policy Assistance Identify and develop policy needs and issues that are viable legislative initiatives and assist in promoting and tracking these initiatives throughout the legislative	Ongoing	Q1	✓COG staff monitored and provided guidance to local governments regarding American Rescue Plan Act (ARPA) funding and other COVID-19 related programs at the Federal and State Level.
		Q2	✓ COG staff has monitored relevant policy decisions from the 2021 2 nd Special Session of the New Mexico

process.			Legislature. ✓ COG staff has monitored Federal Infrastructure Bill policy and has distributed information.
		Q3	✓ COG staff monitored relevant policy proposal proposed during the 2022 Legislative Session. COG staff has monitored the Bipartisan Infrastructure ✓ Law act and has provided insight to members as needed.
		Q4	
2.1.2 Funding Provide guidance to local governments to help meet basic eligibility requirements for receiving government funding for community and economic development activities.	Ongoing	Q1	✓ COG continued to email #GrantOps, and provide a filter, funnel, and follow-up approach to COVID-19 opportunities and other annual state programs such as CDBG, NMFA Water Trust Board, Local Government Planning Fund, Youth Conservation Corps.
		Q2	✓ COG continued to email #GrantOps, and provide a filter, funnel, and follow-up approach to COVID-19 opportunities and other annual state programs such as NMFA Water Trust Board, Local Government Planning Fund, Youth Conservation Corps, Corporate Recreation Grants, etc.
		Q3	✓ COG continued to email #GrantOps, and provide a filter, funnel, and follow-up approach to COVID-19 opportunities and other annual state programs such as EDA Assistance to Coal Communities, NMFA Water Trust Board & Local Government Planning Fund, CDBG, Youth Conservation Corps, Outdoor Recreation Grants, NMDOT Transportation Project Fund and the Bipartisan Infrastructure Law Act.
		Q4	
2.1.3 Fiscal Agency Provide fiscal agent services as mutually agreed upon with member governments in connection with Executive Order 2013-006. i.e., including capital outlay appropriations, Community Development Block Grant, and Water Trust Board funding.	Ongoing		✓ Q3: COG service as fiscal agent for 2 remaining projects on behalf of two member governments (Milan & Bloomfield), working with local and State staff to produce agreements and smooth over bureaucratic issues.
2.1.4 Asset Management Provide guidance to local governments upon request to improve capacity to manage infrastructure, such as development of asset management plans.	Ongoing		✓ Q3: COG staff assisted McKinley County and Cibola with developing asset lists for locally owned bridges using FHWA's National Bridge Inventory website.
2.1.5 Planning & Technical Support Provide related technical assistance, such as census data, land use planning, comprehensive plans, and mapping, upon request and availability of resources.	Q3		✓ <u>Cibola County Comprehensive Plan</u> : COG staff has assisted Cibola County with the update of the Comprehensive Plan. ✓ <u>Cibola Strategic Plan</u> : COG staff has assisted Cibola County with the development of a strategic plan. ✓ <u>Regional Plans</u> : COG staff has also participated in the Prewitt/Milan Transportation Study and has guided consultants to develop a

			process for examination of the transportation network for increased freight volume.
2.2 ICIP Management			
2.2.1 Trainings Collaborate with the Local Government Division to organize and host Infrastructure Capital Improvement Plans (ICIPs) training in the COG region.	Ongoing	Q1	The COG co-hosted with Local Government Division the Planning, Vetting, Application & Funding training which is a part of a larger training series leading into the legislative and CDBG application seasons.
		Q2	COG did not organize or host any training opportunities this quarter.
		Q3	COG did not organize or host any training opportunities this quarter.
		Q4	
2.2.2 Plan Development Provide technical assistance to local governments in updating, utilization and monitoring of local ICIPs upon request.	Ongoing	Q1	COG staff assisted member governments and special districts with the update of Infrastructure Capital Improvement Plans prior to the September 3rd and 17th deadlines.
		Q2	COG staff has assisted member governments and special districts with the update of ICIPs this quarter.
		Q3	COG staff aided member governments and special districts with amendments to ICIPs in response to capital outlay needs. COG staff encouraged proper development of the ICIP and use during implementation.
		Q4	
2.2.3 Funding Analysis Work with local governments and the legislature to prioritize, evaluate, and recommend projects for compatible funding sources.	Ongoing	Q1	COG staff continues to hold projecteering sessions with member governments to identify funding sources and development financing strategies to ensure implementation of priority projects.
		Q2	COG staff assisted the McKinley County, City of Gallup, City of Grants, and the Village of Milan with projecteering and funding strategy development for priority infrastructure projects. COG staff has worked with numerous local governments, tribal entities, and special districts with the submission of Capital Outlay requests to the NM Legislature.
		Q3	COG staff assisted various members, special districts and tribal entities with corrections to capital outlay requests, reauthorization request, Junior funding requests, and special presentations to funding committees.
		Q4	

2.3 CDBG Support		
2.3.1 Inform & Notify Provide information to staff and officials regarding the Community Development Block Grant (CDBG) programs and training events.	Ongoing	Q3: COG staff has attended internal meetings with LGD staff to review timelines and discuss CDBG procedures. COG staff has also shared relevant information when received.
2.3.2 Application Selection & Development Provide technical assistance as requested to local municipalities and counties to identify, select, and develop eligible applications for projects for CDBG funding.	Ongoing	Q3: COG staff has monitored threshold compliance to gauge eligibility. COG staff has determined that Cibola County and the Village of Milan are the only entities eligible and seeking CDBG. Additional outreach to be conducted in San Juan County.
2.3.3 Presentations Assist local CDBG applicants in preparation of presentations to the Community Development Council.	Q2	Q3: No work to report this quarter.

2.3.4 Implementation Provide technical assistance as requested to implement awarded CDBG projects.	Ongoing	Q2: COG staff continued to provide assistance to McKinley County, City of Gallup, and the City of Grants on their construction grants. Staff has provided copies of exhibits and have worked with the new project managers to ensure documentation of implementation activities.
2.3.5 Project Administration Provide project administration as mutually agreed upon to member governments to implement CDBG projects, i.e., monitoring, procurement, pay requests and closeout.	Ongoing	Q3: Currently, the COG is not contracted for project administration services, but does serve as the fiscal agent for the Village of Milan. Staff has regular and routine discussions and check-ins on progress with staff.

Function 3:	<u>Integrated Planning & Development.</u> Incorporate common and core regional work programs, such as the Regional Transportation Planning Organization (RTPO), the Economic Development Administration (EDA) and the State Grant-In-Aid (SGIA), into a unified statewide model.	
QUARTERLY ACTIVITY HIGHLIGHTS & RECAP		
<p>(1) <u>Grant and Project Technical Assistance:</u> COG was able to assist entities in applying for grants to fund critical infrastructure and planning projects. In the second quarter the COG was able to help apply for \$3,094,208 in grant funding to aid in local government work.</p> <p>(2) <u>Revolving Loan Fund:</u> COG has continued to work towards standing up the revolving loan fund. This quarter the COG did a soft launch introducing program to financial institutions and leaders.</p>		
TASK TRACKING		
Task	Due Date	Status
3.1 COG Partnership Partner with other regional Planning Districts to focus resources, streamline reporting, administrative compliance, and identify best practices as well as needs.	Ongoing	Q3: COG continues to participate in weekly EDA calls with other Regional Planning Districts to develop plans, programs, and projects to aid in economic recovery during and post pandemic. COG has also participated in NewMARC to discuss regional issues with other Council of Governments.
3.2 Outreach & Marketing Provide outreach and marketing for local government inclusion into the integrated planning process, such as FUNDIT, Comprehensive Economic Development Strategy (CEDS), etc.	Ongoing	Q3: COG continued to promote and distribute the NWNM CEDS and has used the plan to inform regional and local economic development activities. COG staff also informed economic development partners of FUNDIT and has assisted multiple entities with applications to participating in the program.
3.3 Related Planning & Development Initiatives Include related planning initiatives into the integrated planning model as Planning District staff and financial resources permit, such as regional water planning, Legislative Jobs Council, energy initiatives, workforce, etc.	Ongoing	<u>Regional Plans:</u> COG completed the Comprehensive Economic Development Strategy Plan and continues to work on the update of the Zuni Mountains Trails & Conservation Plan. Plans are developed in a manner that allow seamless inclusion into statewide plans. <u>Local Plans:</u> COG staff has assisted Cibola County with the update of the Comprehensive Plan and the development of a Strategic Plan. <u>Economic Development Reports:</u> COG staff has worked on the update to the Cibola County Target Industry Analysis.

3.3.1 Grantsmanship & Project Technical Assistance (TA):			
CLIENT	GRANT/SOURCE	AMOUNT	STATUS
McKinley County	EPA Water Infrastructure Improvements for the Nation (WIIN): The COG developed, submitted, and was awarded a WIIN grant from EPA to aid in water regionalization efforts, conduct trainings for small water systems and to develop and host a water summit.	\$154,751	Awarded
McKinley County	NMFA Water Trust Board (WTB): The COG assisted McKinley County and Williams Acres Water and Sanitation District with an application to the NMFA Water Trust Board to fund Phases II & III of the Williams Acres water supply project.	\$3,600,000	In Review
McKinley County	Governors American Rescue Plan Act Funding: COG staff assisted McKinley County to develop a request for ARPA funds from the Governor to assist with water supply infrastructure for the Williams Acres Community.	\$340,000	In Review
McKinley County	NMFA Water Trust Board (WTB): The COG assisted McKinley County and Ramah Water and Sanitation District with an application to the NMFA Water Trust Board to fund design of water system improvements.	\$350,000	In Review

McKinley County	IAD Tribal Infrastructure Fund: COG staff worked closely with Churchrock Chapter and McKinley County to apply to TIF to fund bridge construction and roadway enhancements along CR43 – Superman Canyon Road.	\$2,494,208	In Review
McKinley County	ORD Trails Plus: COG staff worked with McKinley County and the Zuni Mountains Trail Partnership to develop and apply to construct the McKenzie Ridge Trail network.	\$600,000	In Review
City of Gallup	Youth Conservation Corps (YCC): COG assisted the City of Gallup with the development of a new YCC grant to continue the local YCC program. The proposal is focused on trail development within the County.	\$149,999	Tentative Award
City of Gallup	NMDOT Congestion Mitigation & Air Quality (CMAQ): COG assisted the City of Gallup with the development of an application to NMDOT for CMAQ funds to complete the road diet construction along Nizhoni Blvd.	\$2,654,687	Pulled
Cibola County	NMEDD Trails + Grant: COG assisted Cibola County with the development of an application to the NMEDD Trails + grant to fund a portion of the expenses for the Quartz Hill Trail Project.	\$25,000	Awarded
City of Grants	Community Development Block Grant (CDBG): The COG assisted with the resubmission of the city's CDBG application for Washington Avenue Drainage. The project will improve stormwater drainage along the corridor and ties into the reconstruction of Mesa View Elementary and the Grants Bio-Park.	\$750,000	Awarded
City of Grants	NMDOT Transportation Alternatives Program (TAP): COG assisted with the development of an application to NMDOT for TAP funds to complete the 2 nd Street Shared Loop Path around Grants High School.	\$749,740.50	In Review
Village of Milan	Governors American Rescue Plan Act Funding: COG staff assisted the Village of Milan to develop a request for ARPA funds from the Governor to assist with utility replacement along Airport Road.	\$500,000	In Review
Village of Milan	T-Mobile Hometown Grant: COG staff developed the application for the Village to purchase and install Playground Equipment at Kearns and Milan Soccer Fields.	\$49,258.80	Denied

3.3.2 Healthy Communities: COG staff has remained in contact with the UNM Prevention and Research Center on the VIVA Connects program in eastern Navajo Nation. Activities have been put on hold due to Covid-19.

3.3.3 Workforce: The NWNMCOG has partnered with NM Workforce Solutions and Greater Gallup Economic Development Corporation (GGEDC) to develop an industry-driven workforce development program for various cohorts and job skills that currently are in high demand for local, high-paying jobs. COG has continued partnership with the industrial workforce program.

3.3.4 Economic & Tourism Development: The COG team continued to administer the multi-year contract with the US Economic Development Administration. Project highlights for this quarter include:

Long Range Planning:

- **Cibola County Comprehensive Plan** update, Strategic Plan, and Targeted Industry Analysis completed this quarter. This is the first in-house Targeted Industry Analysis the COG has produced in house.
- **New Mexico Economic Development Department Work Program:** COG staff produced a draft work plan for the State to use in contracting COG's to support economic development activities, which is the basis on this reporting template.

Technical Support & Data Management:

- **Factbook:** COG is working on a scope-of-work and internal proposal on developing a new Factbook based on StatsAmerica and other sources.

Project Development & Monitoring:

- **EDA Project Applications:** COG provided major support for several applications this quarter including McKinley County Industrial Park, Greater Gallup Industrial Workforce Program, Milan Industrial Park, La Plata Industrial

Park, Farmington Harvest Hub, tribal CEDS, and Lake Farmington proposals. Worked on a similar list for State Local Economic Development Act (LEDA) funds and alternative funding strategies.

- **Pueblo of Acoma CEDS:** COG provided an in-depth briefing on the CEDS, regulations, and process and visited in person at the Pueblo Council in early April 2022.

Other Activities and Projects:

- **EDA Rural Innovation Research Project.** Gallup was one of three places in the United States selected by the Organization for Economic Co-operation and Development (OECD) along with Pine Bluff, Arkansas and Columbiana, Ohio to do a deep dive on rural innovation and research what is driving innovation in these communities. The OECD project on Enhancing Rural Innovation includes the involvement of regional and rural agencies in 5 OECD partner countries, including Canada, Japan, Scotland (UK), Switzerland and the United States. In the United States, the OECD is working with the Rural Community Assistance Partnership with support from the Economic Development Administration. On April 4-5, 2022, the COG helped facilitate a 2-day site visit that included tours to local assets, businesses, and partners including Navajo Technical University's Innovation Center. Drilldown sessions included: Broadband, Tribal Business, Access to Capital, Outdoor Recreation, Entrepreneurs, Transportation as an Economic Driver, Workforce and Career Pathways, and Innovative Projects and Approaches.

General RTPO Support:

- **Strategic Networking:** Michael Sage provided specialized outreach for RLF program launch with about 15 special visits to discuss the program with financial institutions, etc.

3.3.5 Transportation: Report for October through December for the Northwest Regional Transportation Planning Organization; Quarterly highlights as follows:

Long Range Planning:

- The NWRTPO had a presentation on the NMDOT 2045 Freight Plan from Joseph Moriarty at our March 9 meeting. Freight movement and related opportunities is significant in our region with I-40, US491, NM371 and the BNSF Rail line along with developing industrial parks in McKinley and Cibola Counties.
- The COG is assisting and supporting the City of Gallup Transportation Master Plan update, contributing to its development and providing information for autonomous vehicle corridors, and future development opportunities relation to key corridors and freight movement.
- COG staff will assist County Transportation staff for traffic counts on corridors of interest for future development and expansion needs.
- The COG / NWRTPO executed some major updates to our three county Regional Transportation Plan during 2020 thru 2021.

Technical Support & Data Management:

- A regional NMDOT Broadband initiative supported by NMDOT was presented by Gavin Lujan at our February 9 meeting.
- The federal government has recently rolled out the "Infrastructure Investment and Jobs Act" legislation which will provide major funding for transportation and other infrastructure in our state and nationally.
- NMDOT has developed a mapping tool, which may prove helpful for presenting and seeking funding for future projects.

Project Development & Monitoring:

- The NWRTPO has completed its biennial 2022 RTIPR update showing a total 3 County project need at \$184,422,044. On the average our RTPO region gets between \$6 to 12 million from federal funds every two years (not counting the Farmington MPO). The New Mexico Transportation Project Fund has significantly benefited our region by almost doubling the amount of funding we get for transportation development in recent years.
- COG RTPO staff have drafted a list of potential projects for our region based on Infrastructure Investment and Jobs Act funding opportunities for our RTPO members to consider. Some of these opportunities have tight time constraints, so RTPO members and COG staff must move quickly.

- COG staff have completed a listing of County bridges in our three-county region which include citations of bridges in good, fair, or poor condition. This should help our members prioritize funding opportunities for bridges.
- Senator Heinrich has secured federal funds at \$1.5 million for the Gallup Allison Corridor project and \$1.5 million for the Acoma Business Park.

Other Activities and Projects:

- COG staff Carrie House continues mapping and leading construction of Recreational Trails in the Zuni Mountains of McKinley and Cibola Counties. Megan Lawson of Headwaters Economics has visited our region to help install trail counters to help estimate our number of visitors and economic impact.
- Major portions of our Trail of the Ancients Scenic Byway in our three counties of New Mexico are now designated as a National Scenic Byway. With the return of the Federal Scenic Byway program, staff will pursue funding to update our TOA Byway Corridor Management Plan, and seek to re-institute our byway stewardship council, along with marketing strategies and updating the hundreds of attractions on our TOA Byway website. The TOA byway is a four-state initiative, with Colorado, Utah and New Mexico nationally designated; we now need to help the Arizona state portion to get designated as a national byway.

General RTPO Support:

- RTPO members approved and authorized our May 2022 thru April 2023 meeting schedule at our March 9 meeting.
- Completed our annual member survey results from submitting RTPO members.
- Built basic meeting agendas for May 2022 thru April 2023, based on annual RTPO deliverables, to prepare for further refinement as each meeting date approaches.
- Informed our Tribal members on the National Transportation in Indian Country Conference to be held August 22 – 26, 2022.
- Informed RTPO members on RAISE grant opportunity
- Developed TAP and RTP condensed guidance for our RTPO members reducing 13 pages down to 5.

RTPO Administration:

- Don Jaramillo – Grants moved from Vice Chair to Chair of the RTPO after Jeff Irving's (McKinley County) departure, and Dorothy Claw – Ramah was elected Vice Chair of the RTPO at our January 12, 2022 meeting.
- Annual administrative documents were approved and authorized at our January 12 meeting.
- A Regional Work Program revision was executed at our February 9 meeting supporting development of a public transit transfer station in Gallup for 5311 providers including Gallup Express, Zuni Ashiwi Transit and Navajo Transit, along with an administrative budget amendment.

Respectfully submitted
on behalf of the COG staff,



Evan J. Williams, Executive Director

FOR MORE INFORMATION, TAP RESOURCES AND FOLLOW THE COG VIA:

Website: www.nwnmcog.com

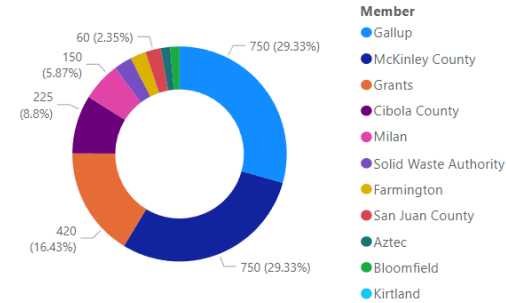
Facebook: <https://www.facebook.com/NWNMCOG>

Twitter: <https://twitter.com/NWNMCOG>

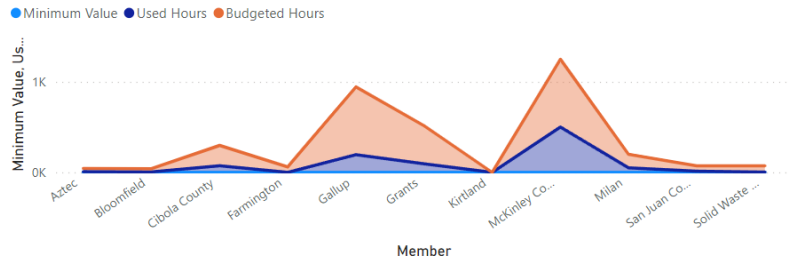
YouTube: [NWNMCOG](https://www.youtube.com/NWNMCOG)

Northwest New Mexico Council of Governments
MEMBER HOURS REPORT
FY2022
as of December 31, 2021

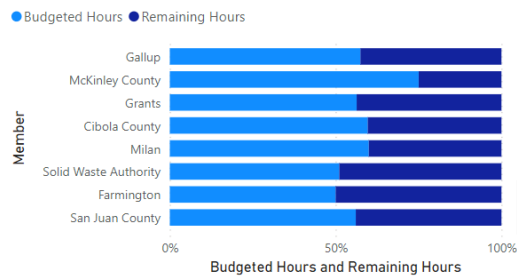
Budgeted Hours by Member



Minimum Value, Used Hours and Budgeted Hours by Member



Budgeted Hours and Remaining Hours by Member

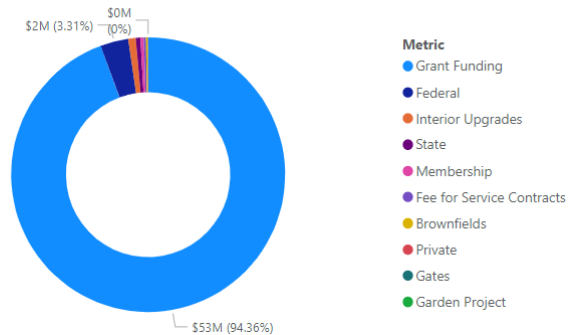


Fund #	Member	Budgeted Hours	Used Hours	Remaining Hours
210	Aztec	36	8.00	28.00
220	Bloomfield	36	5.00	31.00
110	Cibola County	225	73.00	152.00
230	Farmington	60	0.00	60.00
240	Gallup	750	195.00	555.00
250	Grants	420	94.50	325.50
270	Kirtland	0	0.00	0.00
120	McKinley County	750	500.50	249.50
260	Milan	150	50.00	100.00
130	San Juan County	60	13.00	47.00
310	Solid Waste Authority	70	3.00	67.00
2350		2557	942.00	1,615.00

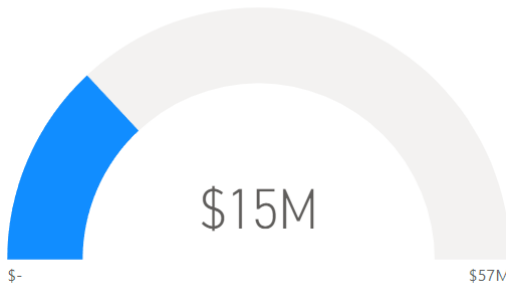
Northwest New Mexico Council of Governments
IMPACT BY THE NUMBERS
Performance Report, FY22
as of September 30, 2021

Metric	Impact Area	Goal	Progress	Gap
Brownfields	Investment	\$110,000	\$-	\$(110,000)
Federal	Leverage	\$1,876,104	\$886,104	\$(990,000)
Fee for Service Contracts	Leverage	\$144,000	\$170,225	\$26,225
Garden Project	Building Improvements	\$600	\$600	\$-
Gates	Building Improvements	\$5,000	\$-	\$(5,000)
Grant Funding	Investment	\$53,480,000	\$13,055,461	\$(40,424,539)
Interior Upgrades	Building Improvements	\$500,000	\$150,000	\$(350,000)
Membership	Dues	\$224,500	\$221,500	\$(3,000)
Private	Leverage	\$25,000	\$-	\$(25,000)
State	Leverage	\$310,000	\$304,000	\$(6,000)
Total		\$56,675,204	\$14,787,890	\$(41,887,314)

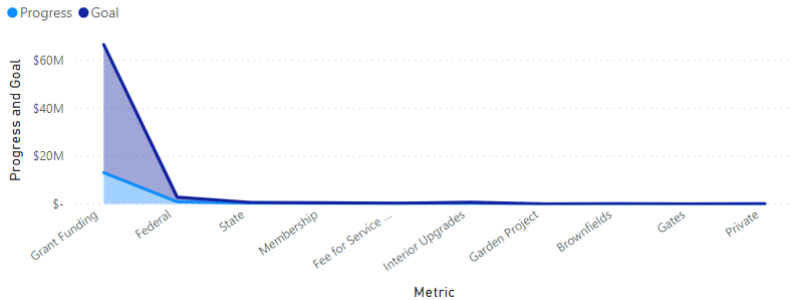
Goal by Metric



Progress, Minimum Value and Goal



Progress and Goal by Metric





SNAPSHOT OF THE SESSION

ISSUE # 2022-01 | April 14, 2022

LEGISLATIVE DELEGATION:

House	Senate
Rod Montoya, D-1	William Sharer, D-1
James Strickler, D-2	Steve Neville, D-2
Ryan Lane, D-3	Shannon Pinto, D-3
Anthony Allison, D-4	George Munoz, D-4
D. Wonda Johnson, D-5	Benny Shendo, D-22
Eliseo Alcon, D-6	Joshua Sanchez, D-30
Patty Lundstrom, D-9	
Derrick Lente, D-65	
Harry Garcia, D-69	

LEGISLATIVE LEADERSHIP STRUCTURE:

House
Rep. Brian Egolf, Speaker
Rep. Javier Martinez, Majority Floor Leader
Rep. Doreen Gallegos, Majority Whip
Rep. James Townsend, Minority Floor Leader
Rep. Rod Montoya, Minority Whip*

Senate
Sen. Mimi Stewart, President Pro Tempore
Sen. Peter Wirth, Majority Floor Leader
Sen. Linda Lopez, Majority Whip
Sen. Gregory Baca, Minority Floor Leader
Sen. Craig Brandt, Minority Whip

SESSION DATES – 2022

January 13 th Capital Outlay Deadline	X
January 18 th Opening day (noon)	X
January 30 th Reauthorizations Deadline	X
February 2 nd Bill Deadline for introduction	X
February 17 th Session ends (noon)	X
March 9 th Legislation not acted upon by governor is pocket vetoed	X
May 18 th Effective date of legislation not carrying an emergency clause or specific date	

FOCUS ON: MONEY BILLS

This Session was focused on the State's budget, which included the following highlights:

- **HB2: General Appropriations Act** – Bill that establishes the budget for the upcoming fiscal year. Provides funding for priority areas such as:
 - **Education:** Targeted increases in education through teacher salary increases and continuation of funding for the Opportunity Scholarship.
 - **Public Safety:** Significant investments in public safety including salary increases, recruitment bonuses, etc.
 - **Transportation:** **\$5M** for regional airport improvements (**\$1.5M** for Grants Airport), **\$60M** for the Transportation Project Fund (in addition to recurring **\$40M**), **\$247M** for larger infrastructure projects statewide including Interstate 40 in McKinley and Cibola Counties and Pinon Hills Boulevard (**\$10M** for local bridges), and **\$20M** for statewide rest areas.
 - **Other Special Appropriations:** **\$1.5M** for grants management for local governments and councils of governments, **\$1M** for grant match assistance, **\$24M** for statewide hunger initiatives, **\$8M** for Gallup Water System, **\$45M** for regional recreation and quality of life amenities, **\$63M** for opportunity scholarship.
- **SB212: Capital Outlay** – Bill that approved the issuance of Severance Tax Bonds for capital expenditures for infrastructure projects through the Capital Outlay process. **\$42M for NWNM!**
- **SB213: Reauthorizations** – Bill for reauthorizations or reappropriating balances, expanding, or changing purposes or extensions. **2 out of 23 reauthorizations were not approved!**
- **HB153: General Obligation Bond** – Bill that authorizes the issuance and sale of capital projects obligation bond to make capital expenditures for senior citizen centers, libraries, higher education institutions, state special schools and tribal schools. **\$22M for NWNM!**
- **SB48 & SB1: Junior Bill** – Originally vetoed by Governor Lujan-Grisham, SB48 included junior fund appropriations. Much of the appropriations were allocated to program start-up and support, operational capacity, small infrastructure projects and equipment purchase. The bill, which was reintroduced during the 3rd Special Session of the 2022 legislature as SB1, was approved and reinstated. **\$3.5M for NWNM!**

2022 - State Budget Bill: H AFC/SFC Committee Substitutes for HB2/HB3

FY23

Approved by Governor

HPG #	SPG#	FPG#	State Agency	Item	H AFC	SFC	Final Version	Gov. Action
30	26	29	DFA Program Support	State Planning Districts	\$ 693,000	\$ 693,000	\$693,000	Funded
30	26	29	DFA Program Support	Statewide Teen Court	\$ 137,900	\$ 137,900	\$137,900	Funded
30	26	29	DFA Program Support	Law Enforcement Protection Fund	\$ 15,300,000	\$ 15,300,000	\$15,300,000	Funded
31	26	30	DFA Program Support	County Detention of Prisoners	\$ 5,000,000	\$ 5,000,000	\$5,000,000	Funded
50	47	50	EDD Contractual	Outdoor Recreation Division (Peronal services and employee benefits, Contractual services, other)	\$ 930,800	\$ 930,800	\$930,800	Funded
63	60	63	CAD	Archaeological Studies as needed for Highway Projects	\$ 1,000,000	\$ 1,000,000	\$1,000,000	Funded
69	65	69	EMNRD	Rio Grande Trail routes	\$ 75,000	\$ 75,000	\$75,000	Funded
70	67	70	EMNRD	Youth Conservation Corps	\$ 5,187,800	\$ 5,187,800	\$5,187,800	Funded
71	67	71	ICO	Intertribal Ceremonial Office	\$ 322,000	\$ 322,000	\$322,000	Funded
89	86	89	HSD	Federal funds for job training & placement & job-related transportation services, employment-related costs & a transitional employment program.	\$ 16,648,300	\$ 16,648,300	\$16,648,300	Funded
89	86	89	HSD	Federal (TANF) funds for childcare programs (CYFD)	\$ 31,527,500	\$ 31,527,500	\$31,527,500	Funded
89	86	89	HSD	Federal funds for home-visiting programs (CYFD)	\$ 5,000,000	\$ 5,000,000	\$5,000,000	Funded
89	86	89	HSD	Federal funds for Pre-K (CYFD)	\$ 17,600,000	\$ 17,600,000	\$17,600,000	Funded
89	86	89	HSD	Federal funds --supportive housing project (CYFD)	\$ 900,000	\$ 900,000	\$900,000	Funded
81	78	81	HSD	Federal (TANF) funds for prekindergarten (PED)	\$ 3,500,000	\$ 3,500,000	\$3,500,000	Funded
129	126	129	PED	Graduation, Reality, and Dual-role Skills Program (PED)	\$ 650,000	\$ 650,000	\$650,000	Funded
133	130	133	HED	Adult Education Services, including materials and access to high school equivalency tests to adults	\$ 6,700,000	\$ 6,700,000	\$6,700,000	Funded
133	130	133	HED	Workforce Development Programs at community colleges that primarily educate & retrain recently displaced workers	\$ 126,100	\$ 126,100	\$126,100	Funded
133	130	133	HED	High skills program	\$ 761,100	\$ 761,100	\$761,100	Funded
133	130	133	HED	Tribal College Dual Credit Fund	\$ 263,900	\$ 263,900	\$263,900	Funded
133	130	133	HED	Adult Literacy Program	\$ 750,000	\$ 750,000	\$750,000	Funded
134	131	135	HED	Opportunity Scholarship	\$ 12,000,000	\$ 12,000,000	\$12,000,000	Funded
139	137	141	HED-UNM RPSP	Geospatial and population studies/BBER	\$ 346,200	\$ 346,200	\$346,200	Funded
140	138	142	HED-UNM-G	Gallup branch - nurse expansion	\$ 192,100	\$ 180,600	\$180,600	Funded
140	138	142	HED-UNM-G	Gallup branch - workforce development programs	\$ 182,400	\$ 182,400	\$182,400	Funded
148	147	150	HED-NMSU RPSP	Arrowhead Center for business development	\$ 2,621,500	\$ 2,621,500	\$2,621,500	Funded
166	166	169	HED-SJC	Nurse Expansion	\$ 235,000	\$ 235,000	\$235,000	Funded
166	166	169	HED-SJC	Renewable Energy Center of Excellence	\$ 328,000	\$ 328,000	\$328,000	Funded
-	171	174	PED	Teacher & Admin Minimum Salary Levels Increase	-	\$ 76,768,200	\$76,768,200	Funded

-	171	175	PED	To provide an average 4% salary increase to all public school personnel.	-	\$ 101,036,000	\$101,036,000	Funded
	172	175	PED	To provide targeted salary increases for hard-to-staff positions that provide instructional support of social services to students.	-	\$ 10,092,300	\$10,092,300	Funded
	173		PED	To provide an additional average 3% salary increase for all public school personnel who work in a K-5 plus school or extended learning time program		\$ 64,027,500	\$64,027,500	Funded
SECTION V. SPECIAL APPROPRIATIONS								
185	186	189	DFA	Grants Management for local governments and councils of government	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000	Funded
185	186	189	DFA	Grants for local governments to match federal infrastructure and other funding	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	Funded
185	186	190	DFA	Statewide Hunger Initiatives	\$ 8,000,000	\$ 24,000,000	\$ 24,000,000	Funded
186	187	190	DFA	Renovate Red Rock Park for Expenditure through fiscal year 2024.	\$ 300,000	\$ 300,000	\$ -	Vetoed
187	188	192	EDD	Collaborative Marketing effort with local and regional economic development organizations in certified communities	\$ 250,000	\$ 250,000	\$ 250,000	Funded
188	189	192	EDD	LEDA appropriation non-reverting	\$ 25,000,000	\$ 50,000,000	\$ 50,000,000	Funded
187/188	188	192	EDD	JTIP job training incentive program (dev. training fund)	\$ 6,000,000	\$ 6,000,000	\$ 6,000,000	Funded
189	190	194	EMNRD	Community Energy Efficiency program in underserved communities	\$ 15,000,000	\$ 10,000,000	\$ 10,000,000	Funded
190	191	194	OSE	To develop 50-year water plan	\$ 200,000	\$ 350,000	\$ 350,000	Funded
194	197	200	PED	To increase salaries for tachers who hold Native American Language and culture certificates	\$ 1,250,000	\$ 1,250,000	\$ 1,250,000	Funded
194	197	200	PED	For the career technical education fund to support high-quality career technical education pilot programs.	\$ 10,000,000	\$ 10,000,000	\$ 10,000,000	Funded
196	199	203	PED	For Tribal and Rural community-based extended learning programs.	\$ 13,310,300	\$ 13,310,300	\$ 13,310,300	Funded
196	199	203	PED	Planning, design and construction of tribal libraries	\$ 10,000,000	\$ 2,000,000	\$ 2,000,000	Funded
SECTION VI. SUPPLEMENTAL AND DEFICIENCY APPROPRIATIONS								
200	205	208/209	ICO	To plan, coordinate and develop the intertribal ceremonial event. \$200,000 shall be allocated to McKinley County for local contracts.	\$ 400,000	\$400,000	\$ 400,000	Partial Veto
SECTION IX. SPECIAL TRANSPORTATION APPROPRIATIONS								
213	219	223	NMDOT	To plan, design, construct, renovate and equip upgrades to regional airports. Including \$1,500,000 for the Grants Airport in Cibola County.	\$ 5,000,000	\$ 5,000,000	\$ 5,000,000	Funded
214	220	223	NMDOT	To the transportation project fund for expenditure in FY22 - 2025	\$ 60,000,000	\$ 60,000,000	\$ 60,000,000	Funded
214	219	223	NMDOT	For the rural infrastructure accelerator grant program for interstate 40 and interstate 10 planning	\$ 25,000,000	\$ 25,000,000	\$ 25,000,000	Partial Veto
214	220	224	NMDOT	For acquisition of ROW, planning, design and construciton and to match other state funds for projects. Interstate 40 corridor in McKinley and Cibola Counties. Pinon Hills Blvd in San Juan County	\$ 217,500,000	\$ 247,500,000	\$ 247,500,000	Funded

214	220	224	NMDOT	for planning, design and ROW for the preservation, rehabilitation, preventative maintenance, reconstruction and new construction of state-owned and tribal and local owned bridges. <i>(included into appropriation above)</i>	\$ 10,000,000	\$ 10,000,000	\$ 10,000,000	Funded
214	220	224	NMDOT	For statewide rest area improvements	\$ 20,000,000	\$ 20,000,000	\$ 20,000,000	Funded
SECTION X. OTHER APPROPRIATIONS								
215	227	230	DFA	for bridging Gallup Public Water systems until the NGWSP pipeline in operational	\$ 8,000,000	\$ 8,000,000	\$ 8,000,000	Funded
215/216	-	-	DFA/EDD	To the economic diversification and climate resilience fund, contingent on enactment of SB122 or similar legislation	\$ 125,000,000	\$ -	\$ -	N/A
216/217	224	-	DFA	To the hydrogen hub project fund, contingent on HB4 or similar legislation.	\$ 125,000,000	\$ 125,000,000	\$ -	N/A
217	224	227	DFA	To plan, design, construct, renovate and equip improvements at Red Rock Park in Gallup in McKinley County	\$ 5,000,000	\$ 5,000,000	\$ -	Vetoed
217	224	227	DFA	To plan, design, furnish and equip recreation centers and quality of life projects statewide.	\$ 45,000,000	\$ 45,000,000	\$ 45,000,000	Funded
217	230	233	DFA	For soil and water conservation districts	\$ 10,000,000	\$ 3,000,000	\$ 3,000,000	Funded
218	225	229	EDD	Opportunity Enterprise Revolving Loan Fund for business space development contingent on enactment of HB7	\$ 70,000,000	\$ 70,000,000	\$ 70,000,000	Funded
219	226	230	OSE	To plan, engineer, design, construct or repair acequias of community ditches for the purpose of restoration, repair, improvement of irrigation efficiency or protection from floods.	\$ 5,000,000	\$ 5,000,000	\$ 5,000,000	Funded
219	-	-	IAD	To the Tribal Infrastructure Fund for Water Projects	\$ 9,500,000	-	-	-
221	229	232	HED	Opportunity Scholarship Fund	\$ 53,000,000	\$ 63,000,000	\$ 63,000,000	Partial Veto

**Capital Outlay Projects - Northwest NM Region
CIBOLA COUNTY**

**Senate Bill
212**

Updated 4/19/22

ID#	Title	Amount	Language	Entity	Fund	Action
2397	Water Meters Purchase	\$666,500	to plan, design, construct and replace water lines for the Pueblo of Acoma in Cibola county	Acoma Pueblo	STB	Funded
2132	Water System Construction	\$1,500,000	to design and construct improvements to wastewater collection and treatment systems for the Pueblo of Acoma in Cibola county	Acoma Pueblo	STB	Funded
2366	Wastewater System Expansion	\$175,000	to plan, design and construct improvements to a water system for the Cebolletita acequia association in Cibola county	Cebolletita Acequia Assoc.	STB	Funded
561	Public Safety Building Construction	\$3,581,264	to plan, design, construct, renovate, furnish and equip a public safety building, including landscaping, fencing and parking lot improvements, in Grants in Cibola county	Cibola County	STB	Funded
2382	Water Meters Upgrade	\$125,000	to purchase, install and replace water meters, including related information technology, equipment and infrastructure, in the San Rafael water and sanitation district in Cibola county	San Rafael WSD	STB	Funded
565	CR5 Moquino Road Improvements	\$100,000	to plan, design and construct bridge and road improvements to county road 5, also known as Moquino road, in Seboyeta in Cibola county	Cibola County	STB	Vetoed
983	Park Improvements	\$300,000	to plan, design, construct, improve and equip parks in Grants in Cibola county	City of Grants	STB	Vetoed
982	Recreation Center Renovation	\$245,500	to plan, design, construct and equip improvements to the Grants recreation center in Grants in Cibola county	City of Grants	STB	Vetoed
1358	Veteran/Police/Firefighter Memorial Construction	\$220,000	to plan, design, construct and equip a veteran, police and firefighter memorial in Grants in Cibola county	City of Grants	STB	Funded
1205	Fire Station Construction	\$1,200,000	to plan, design, construct, furnish and equip a fire station for the Pueblo of Laguna in Cibola county	Laguna Pueblo	GF	Funded
1225	K'awaika Library Renovation	\$300,000	to plan, design, construct, renovate, furnish and equip the K'awaika library in the Pueblo of Laguna in Cibola county	Laguna Pueblo	STB	Funded
964	Heavy Equipment Purchase	\$100,000	to purchase and equip heavy equipment, including an enclosed mower, a street sweeper, a water truck and utility trucks, for Milan in Cibola county	Village of Milan	STB	Funded
2558	Kearns Park Improvements	\$50,000	to plan, design, construct and improve Kearns park, including outdoor lighting, in Milan in Cibola county	Village of Milan	STB	Funded
966	Swimming Pool Renovation	\$1,115,000	to plan, design, construct, renovate and equip a swimming pool in Milan in Cibola county	Village of Milan	STB	Vetoed
1094	Chapter House Renovation	\$350,000	to plan, design, construct, renovate, equip and furnish the chapter house in the Ramah chapter in the Navajo Nation in Cibola county	Ramah Chapter	STB	Funded
466	Senior Center Acquisition & Construction	\$150,000	to acquire land, easements and rights of way and to plan, design and construct a senior center for the Ramah chapter of the Navajo Nation in Cibola county	Ramah Chapter	STB	Funded
1134	Ditch Upgrade	\$350,000	to plan, design, construct, renovate, equip and furnish the chapter house in the Ramah chapter in the Navajo Nation in Cibola county	San Mateo Acequia Assoc.	STB	Funded
Total		\$10,528,264				

Approved Total	\$8,767,764
Vetoed Total	\$1,515,000
Approved %	83%

Capital Outlay Projects - Northwest NM Region
MCKINLEY COUNTY

Senate Bill
212

Updated 4/19/2022

ID#	Title	Amount	Language	Entity	Fund	Action
1139	Chapter House Improvements	\$100,000	to plan, design, construct and equip improvements to the chapter house in the Baahaali chapter of the Navajo Nation in McKinley county	Baahaali Chapter	STB	Funded
1143	Transfer Station Improvements	\$100,000	to plan, design, construct and equip improvements to the transfer station in the Baahaali chapter of the Navajo Nation in McKinley county	Baahaali Chapter	STB	Funded
904	Water Line Project Construction	\$150,000	to acquire rights of way, to conduct archaeological and environmental studies and to plan, design and construct a water line for the Baca/Prewitt chapter of the Navajo Nation in McKinley county	Baca Chapter	STB	Funded
440	Earth Tanks/Windmills Improvements	\$150,000	to plan, design, construct, equip, rehabilitate and repair earth tanks and windmills in the Becenti chapter of the Navajo Nation in McKinley county	Becenti Chapter	STB	Funded
1972	Bathroom Additions	\$50,000	to plan, design, construct, renovate and equip bathroom additions in the Casamero Lake chapter of the Navajo Nation in McKinley county	Casamero Lake Chapter	STB	Funded
1937	Community Cemetery Site Improvements	\$100,000	to acquire rights of way for and to plan, design and construct site improvements to the community cemetery in the Casamero Lake and Little Water chapters of the Navajo Nation in McKinley county	Casamero Lake Chapter	STB	Funded
276	Cedar Avenue & Lone Pine Drive Improvements	\$100,000	to plan, design and construct street improvements on Cedar avenue and Lone Pine drive in the Red Lake chapter of the Navajo Nation in McKinley county	Red Lake Chapter	STB	Funded
1428	Bathroom Additions	\$150,000	to plan, design and construct bathroom additions to homes on the current water line project in the Chichiltah chapter of the Navajo Nation in McKinley county	Chichiltah Chapter	STB	Funded
1430	Power Line Construction	\$100,000	to plan, design and construct a power line extension in the Chichiltah chapter of the Navajo Nation in McKinley county	Chichiltah Chapter	STB	Funded
1421	Water & Wastewater System Construction	\$200,000	to plan, design and construct a water system to serve the Vanderwagen community of the Chichiltah chapter of the Navajo Nation in McKinley county	Chichiltah Chapter	GF	Funded
1844	Bathroom Additions	\$75,000	to plan, design, construct and equip bathroom additions in the Church Rock chapter of the Navajo Nation in McKinley county	Churchrock Chapter	STB	Funded
1830	Chapter House Construction	\$75,000	to plan, design, construct, furnish, equip and renovate the chapter house for the Church Rock chapter of the Navajo Nation in McKinley county	Churchrock Chapter	STB	Funded
1820	Warehouse Design	\$2,000,000	to plan, design, construct, equip and furnish a new warehouse in the Church Rock chapter of the Navajo Nation in McKinley county	Churchrock Chapter	GF	Funded
1820	Warehouse Design	\$100,000	to plan, design, construct, equip and furnish a new warehouse in the Church Rock chapter of the Navajo Nation in McKinley county	Churchrock Chapter	STB	Funded
1946	Senior Center Outdoor Patio	\$150,000	to plan, design, construct, furnish and equip an outdoor patio for the Coyote Canyon senior center in the Coyote Canyon chapter of the Navajo Nation in McKinley county	Coyote Canyon Chapter	STB	Funded
1118	CR1 Extension & Bridge Construction	\$225,000	to plan, design, construct and improve county road 1, including an extension and bridge replacement, in McKinley county	McKinley County	STB	Funded
2322	CR5 Manuelito Repair	\$150,000	to plan, design, construct and repair McKinley county road 5, also known as Manuelito Canyon road, from bureau of Indian affairs route 7140 to past the Manuelito Canyon bridge for the Manuelito chapter in McKinley county	Manuelito Chapter	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
1661	HVAC Building Renovation	\$100,000	to design and renovate the heating, ventilation and air conditioning system and to make accessibility and other safety upgrades and improvements to a building in the Crownpoint chapter of the Navajo Nation in McKinley county	Crownpoint Chapter	STB	Funded
1716	Rodeo Grounds Renovation	\$100,000	to plan, design, construct and renovate the 4-H and vendor areas and parking lot at the rodeo grounds in the Crownpoint chapter of the Navajo Nation in McKinley county	Crownpoint Chapter	STB	Funded
1645	Multipurpose Building Plan	\$60,000	to plan, design and construct a multipurpose building for the Fort Defiance chapter of the Navajo Nation in McKinley county	Ft. Defiance Chapter	STB	Funded
958	Francisco Pond Road Bridge Improvements	\$200,000	to plan, design, construct and improve a bridge on Francisco Pond road in the Rock Springs chapter of the Navajo Nation in McKinley county	Rock Springs Chapter	STB	Funded
926	Cultural Center Improvements	\$175,000	to plan, design, construct, improve, equip and furnish the building housing the Gallup cultural center in Gallup in McKinley county	City of Gallup	STB	Funded
923	Parks & Sports Fields Artificial Turf Replacement	\$350,000	to plan, design and construct artificial turf fields at Ford Canyon park and the Gallup sports complex in Gallup in McKinley county	City of Gallup	STB	Funded
2507	Playground of Dreams Park Improvements	\$75,000	to plan, design, construct and equip improvements to the playground of dreams park in Gallup in McKinley county	City of Gallup	STB	Funded
1660	Police Vehicles Purchase	\$95,000	to purchase and equip vehicles for the police department in Gallup in McKinley county	City of Gallup	STB	Funded
924	Regional Animal Shelter Construction	\$150,000	to acquire property and to plan, design, construct, furnish and equip an animal shelter in Gallup in McKinley county	City of Gallup	STB	Funded
1762	Gate Valve Replacement	\$50,000	to purchase, install and equip gate valves for the Gamerco water and sanitation district in McKinley county	Gamerco WSD	STB	Funded
2407	Hunter's Point Road/CR4 Repair	\$100,000	to plan, design and construct improvements to county road 4, also known as Hunter's Point road, including repairing and repaving sections 4B and 4C, in the Manuelito chapter of the Navajo Nation in McKinley county	Manuelito Chapter	STB	Funded
2031	Highway 491 Lighting Construction	\$100,000	to construct and equip lighting on United States highway 491 in the Twin Lakes chapter of the Navajo Nation in McKinley county	Twin Lakes Chapter	STB	Funded
2387	Roads Improvement	\$200,000	to plan, design, construct and improve roads in the Iyanbito chapter of the Navajo Nation in McKinley county	Iyanbito Chapter	STB	Funded
2377	Administration Building Renovation	\$75,000	to plan, design, construct, renovate, furnish and equip the administration building of the Manuelito chapter of the Navajo Nation in McKinley county	Manuelito Chapter	STB	Funded
2392	Veterans Building Upgrade	\$250,000	to plan, design, renovate, furnish, improve and equip a modular building for veterans in the Manuelito chapter of the Navajo Nation in McKinley county	Manuelito Chapter	STB	Funded
2567	House Wiring / Recertification Construction	\$80,000	to plan, design and construct house wiring in the Mariano Lake chapter of the Navajo Nation in McKinley county	Mariano Lake Chapter	GF	Funded
2412	Roads Construction	\$200,000	to plan, design and construct improvements to roads in the Mariano Lake chapter of the Navajo Nation in McKinley county	Mariano Lake Chapter	STB	Funded
1673	Addiction Treatment Facility Purchase	\$2,035,000	to purchase land and buildings and to plan, design, construct, renovate, furnish and equip an addiction treatment facility in Gallup in McKinley county	Four Corners Recovery Center	STB	Funded
1113	Bridges Construction	\$140,000	to plan, design, construct, improve, repair and replace bridges in McKinley county	McKinley County	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
2315	Domestic Violence Shelter Construction	\$1,032,000	to plan, design, equip and construct an emergency shelter for victims and refugees of domestic violence in Gallup in McKinley county	Battered Families	STB	Funded
1135	Covid Survivors Clinic Construction	\$600,000	to plan, design, construct and equip a coronavirus disease 2019 survivors' clinic for the Rehoboth McKinley Christian hospital in McKinley county	RMCH/McKinley	STB	Funded
1112	Industrial Park Infrastructure Construction	\$380,000	to plan, design, construct and improve utilities and infrastructure for the county industrial park in McKinley county	McKinley County	STB	Funded
1137	Northside Trail Acquisition	\$100,000	to acquire land for and to plan, design and construct the northside trail system and outdoor mecca project in McKinley county	McKinley County	STB	Funded
1574	N30/US Highway 491 Intersection Construction	\$150,000	to plan, design, construct and equip the roadway lighting project at the junction of Navajo route 30 and United States highway 491 in the Mexican Springs chapter of the Navajo Nation in McKinley county	Mexican Springs Chapter	STB	Funded
1092	Bathroom Additions	\$125,000	to plan, design and construct bathroom additions in the Nahodishgish chapter of the Navajo Nation in McKinley county	Nahodishgish Chapter	STB	Funded
1731	Community Center Upgrades	\$200,000	for geotechnical mapping and to plan, design, construct and renovate the cemetery in the Crownpoint chapter of the Navajo Nation in McKinley county	Crownpoint Chapter / Navajo Nation	GF	Funded
1022	Building Improvements	\$75,000	to plan, design, construct and improve the northwest New Mexico council of governments building in Gallup in McKinley county	NWNM Council of Governments	STB	Funded
934	Transfer Station Design	\$50,000	to plan, design and construct a transfer station for the northwest New Mexico regional solid waste authority in McKinley county	NWNM Regional Solid Waste Authority	STB	Funded
867	Warehouse Construction	\$100,000	to plan, design and construct a heavy equipment and storage warehouse in the Ojo Encino chapter of the Navajo Nation in McKinley county	Ojo Encino Chapter	STB	Funded
1815	Community Center Construction	\$200,000	to plan, design and construct a multicomplex, including a senior center, head start youth center, library and multipurpose hall, in the Pinedale chapter of the Navajo Nation in McKinley county	Pinedale Chapter	STB	Funded
2570	Badger Spring Power Line Extension	\$50,000	to plan, design and construct electrical power lines in the Pueblo Pintado chapter of the Navajo Nation in McKinley county	Pueblo Pintado Chapter	GF	Funded
2569	Community Streets Improvements	\$200,000	to acquire easements and rights of way for and to plan, design and construct community street improvements in the Red Lake chapter of the Navajo Nation in McKinley county	Red Lake Chapter	GF	Funded
2327	Bathroom Additions	\$50,000	to plan, design and construct bathroom additions in the Smith Lake chapter of the Navajo Nation in McKinley county	Smith Lake Chapter	STB	Funded
1919	Veterans Center Renovation	\$150,000	to plan, design, construct, furnish and equip improvements to the Naazbah veterans' center in the Smith Lake chapter of the Navajo Nation in McKinley county	Smith Lake Chapter	STB	Funded
2563	House Wiring Construction	\$200,000	to plan, design and construct house wiring for the Thoreau chapter of the Navajo Nation in McKinley county	Thoreau Chapter	GF	Funded
1962	Veterans Service Center Construction	\$200,000	to plan, design, construct, furnish and equip a veterans' service center for the Thoreau chapter of the Navajo Nation in McKinley county	Thoreau Chapter	STB	Funded
444	Public Safety Complex Plan	\$950,000	to plan, design and construct a public safety complex, including the demolition of an existing building, for the Tohatchi chapter of the Navajo Nation in McKinley county	Tohatchi Chapter	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
442	Wastewater System Improvements	\$100,000	to plan, design, construct, improve, replace, install and equip septic tanks and leach fields for the Tohatchi chapter of the Navajo Nation in McKinley county	Tohatchi Chapter	STB	Funded
1018	Chapter House Construction	\$100,000	to plan, design, construct, furnish and equip a new chapter house for the Tsayatoh chapter in the Navajo Nation in McKinley county	Tsayatoh Chapter	STB	Funded
1020	Bathroom Additions	\$200,000	to plan, design and construct bathroom additions in the Tsayatoh chapter of the Navajo Nation in McKinley county	Tsayatoh Chapter	STB	Funded
2367	Power Line & Wiring Senior Residences Improvements	\$150,000	to plan, design and construct improvements to power lines and house wiring in remote locations and for aging, at-risk or medically compromised community members in the Tse'ii'ahi' chapter of the Navajo Nation in McKinley county	Tse'Il'ahi Chapter	STB	Funded
2329	Water Line Extension Construction	\$200,000	to plan, design and construct extensions to water lines connecting residential areas of the Tse'ii'ahi' chapter of the Navajo Nation in Crownpoint in McKinley county	Tse'Il'ahi Chapter	GF	Funded
1015	Modular Building Construction	\$200,000	to plan, design, purchase, install and construct a modular building in the Tse'Lichii chapter of the Navajo Nation in McKinley county	Tse'Lichii Chapter	STB	Funded
925	W. Aztec Avenue Storm Drainage Improvements	\$100,000	to plan, design, construct and improve storm drainage along west Aztec avenue in Gallup in McKinley county	City of Gallup	STB	Funded
1959	Decommissioned Sewer Lagoons	\$50,000	to remediate the decommissioned sewer lagoons for the Williams Acres water and sanitation district in McKinley county	Williams Acres WSD	STB	Funded
573	Sports Complex Design & Construct	\$1,200,000	to plan, design, construct, furnish and equip a sports complex for the Pueblo of Zuni in McKinley county	Zuni Pueblo	GF	Funded
573	Sports Complex Design & Construct	\$625,000	to plan, design, construct, furnish and equip a sports complex for the Pueblo of Zuni in McKinley county	Zuni Pueblo	STB	Funded
572	Tribal Admin Building Plan & Construct	\$100,000	to plan, design, construct, furnish and equip a tribal administration building in the Pueblo of Zuni in McKinley county	Zuni Pueblo	STB	Funded
Total		\$16,347,000				

Approved Total	\$16,347,000
Vetoed Total	\$0
Approved %	100%

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ID#	Title	Amount	Language	Entity	Fund	Action
4052	Farmington Magistrate Equipment	\$494,686	to plan, design, construct, renovate and equip improvements, including safety and security upgrades, at the eleventh judicial district court in Farmington in San Juan county	Farmington	STB	Funded
975	Parks Equipment Purchase	\$255,000	to purchase and install equipment for the parks department in Aztec in San Juan county	Aztec	STB	Funded
976	Police Vehicles Purchase	\$150,000	to purchase and equip vehicles for the police department in Aztec in San Juan county	Aztec	STB	Funded
2263	Helipad Construction	\$107,000	to plan, design and construct a helipad in the Beclabito chapter of the Navajo Nation in San Juan county	Beclabito Chapter	STB	Funded
1164	Blanco Flume Project Construction	\$300,000	to plan, design and construct improvements to the district irrigation ditch, including the Blanco flume, for the Bloomfield irrigation district in San Juan county	Bloomfield Irrigation District	STB	Funded
285	Police Vehicles Purchase	\$160,000	to purchase and equip police vehicles for Bloomfield in San Juan county	Bloomfield	STB	Funded
162	Water System Improvements & West Tank Replacement	\$2,100,000	to plan, design, construct, replace, equip and install water system improvements, including replacement of the west tank, in Bloomfield in San Juan county	Bloomfield	STB	Funded
1920	Math & Science Building Renovation	\$120,000	to plan, design, construct, renovate, furnish and equip the mathematics and science building at the south campus of Dine college in Shiprock in San Juan county	Dine College	STB	Funded
929	Fire Department SCBA Purchase	\$925,000	to purchase and equip self-contained breathing apparatus for the Farmington fire department in Farmington in San Juan county	Farmington	STB	Funded
937	Lions Pool Construction	\$600,000	to plan, design, construct and equip a therapy pool at the Lions pool in Farmington in San Juan county	Farmington	STB	Funded
932	Meal Center Construction	\$400,000	to acquire land and archaeological and environmental clearances for and to plan, design, construct, furnish and equip a soup kitchen and meal center in Farmington in San Juan county	Farmington	STB	Funded
939	Rescue Vehicle Purchase	\$45,000	to purchase and equip an off-road rescue and patient transport utility task vehicle for the fire department in Farmington in San Juan county	Farmington	STB	Funded
922	Security Camera System Installation	\$159,000	to plan, design, construct, purchase, furnish and install a security camera system in the downtown, park and trail systems and river walk areas in Farmington in San Juan county	Farmington	STB	Funded
1410	Administrative Complex Construction	\$100,000	to plan, design, construct and furnish an administrative complex, including associated infrastructure, in the Gadii'ahi/To'koi chapter of the Navajo Nation in San Juan county	Gadii'ahi Chapter	STB	Funded
1422	Housing Tract Plan	\$75,000	to plan, design and construct a housing complex in the Gadii'ahi/To'koi chapter of the Navajo Nation in San Juan county	Gadii'ahi Chapter	STB	Funded
1426	Pressurized Irrigation System Construction	\$90,000	to plan, design, construct and equip a pressurized underground irrigation system for the Gadii'ahi/To'koi chapter of the Navajo Nation in San Juan county	Gadii'ahi Chapter	STB	Funded
1415	Senior Citizen Center Construction	\$100,000	to acquire easements and rights of way and to plan, design, construct, replace, furnish and equip a facility for senior citizens at the Gadii'ahi/To'koi chapter of the Navajo Nation in San Juan county	Gadii'ahi Chapter	STB	Funded
2283	Helipad Access Road Construction	\$75,000	to plan, design and construct a helipad access road for the Beclabito chapter of the Navajo Nation in San Juan county	Beclabito Chapter	STB	Funded
1608	Jones Canyon Siphon System Replacement	\$68,000	to replace the Jones canyon siphon system on the Hillside irrigation ditch in San Juan county	Hillside Irrigation District	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
477	Wastewater System Improvements	\$100,000	to acquire rights of way and to plan, design and construct wastewater system improvements for the Kirtland Valley water and sanitation district in San Juan county	Kirtland Valley WSD	STB	Funded
206	Storage Facility Construction	\$50,000	to plan, design, construct, furnish and equip a storage facility for the Lake Valley chapter of the Navajo Nation in San Juan county	Lake Valley Chapter	STB	Funded
205	Vehicle & Equipment Purchase	\$100,000	to purchase and equip road equipment, including a grader and attachments, for the Lake Valley chapter of the Navajo Nation in San Juan county	Lake Valley Chapter	STB	Funded
2566	N571 Improvements	\$170,000	to plan, design and construct road improvements to Navajo route 571 in the Gadii'ahi/To'Koi chapter of the Navajo Nation in San Juan county	Gadii'ahi Chapter	GF	Funded
1547	Domestic Water Lin Design	\$150,000	to plan, design and construct a domestic water line in the Nageezi chapter of the Navajo Nation in San Juan county	Nageezi Chapter	STB	Funded
1294	Scattered Power Line Construction	\$200,000	to acquire easements and rights of way and to plan, design and construct scattered power lines in the Naschitti chapter of the Navajo Nation in San Juan county	Naschitti Chapter	GF	Funded
1294	Scattered Power Line Construction	\$100,000	to acquire easements and rights of way and to plan, design and construct scattered power lines in the Naschitti chapter of the Navajo Nation in San Juan county	Naschitti Chapter	STB	Funded
2101	School Building 32 Construction	\$1,000,000	to plan, design, construct, demolish, replace, renovate and equip building 32 at the Navajo preparatory school in Farmington in San Juan county	Navajo Prep	STB	Funded
2038	School Building /Grounds Construction	\$225,000	to plan, design, construct, equip and improve infrastructure at Navajo preparatory school in Farmington in San Juan county	Navajo Prep	STB	Funded
2037	Information Technology & Security Upgrade	\$150,000	to plan, design, construct, equip, purchase, install and upgrade security and network systems for Navajo preparatory school in Farmington in San Juan county	Navajo Prep	STB	Funded
175	Navajo Rt 367 Construction	\$75,000	to plan, design and construct improvements to Navajo route 367 in the Upper Fruitland chapter of the Navajo Nation in San Juan county	Upper Fruitland Chapter	STB	Funded
881	Chapter House Roof Repair	\$200,000	to plan, design, construct and renovate the chapter house roof for the Newcomb chapter in the Navajo Nation in San Juan county	Newcomb Chapter	GF	Funded
881	Chapter House Roof Repair	\$75,000	to plan, design, construct and renovate the chapter house roof for the Newcomb chapter in the Navajo Nation in San Juan county	Newcomb Chapter	STB	Funded
878	Solar Street Lighting Construction	\$75,000	to plan, design, construct and install overhead solar street lighting at the intersection of New Mexico highway 491 and bureau of Indian affairs route 5001 in the Newcomb chapter of the Navajo Nation in San Juan county	Newcomb Chapter	STB	Funded
2404	Water Lines Construction	\$75,000	to plan, design and construct water system improvements, including water lines and the purchase of equipment, in Kirtland in San Juan county	Kirtland	STB	Funded
287	Sherriff's Office Helicopter Purchase	\$3,280,000	to purchase and equip a helicopter for the sheriff's office in San Juan county	San Juan County	STB	Funded
2328	Farming Equipment Purchase	\$100,000	to purchase and equip farming equipment for the San Juan river Dineh water users in San Juan county	San Juan River Dineh Water Users	STB	Funded
1262	Health Center Construction	\$1,100,000	to plan, design, construct and equip a student health center at San Juan college in Farmington in San Juan county	San Juan College	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
1938	Gas System Equipment	\$265,000	to purchase and equip medical gas systems, including a bulk oxygen plant, medical air and vacuum systems, for the San Juan regional medical center in San Juan county	San Juan Regional Medical Center	STB	Funded
1931	Steam Plan Equipment	\$520,000	to plan, design, construct, equip and install steam plant improvements, including a deaerator tank and pumps, boiler, condensate storage tanks, condensate lift stations, feed pumps and tube bundles, for the San Juan regional medical center in Farmington in San Juan county	San Juan Regional Medical Center	STB	Funded
398	Women's Inpatient Unit Improvements	\$100,000	to plan, design, construct, renovate and equip the women's inpatient and childbirth unit, including room expansions and bathroom facilities improvements, at the San Juan regional medical center in Farmington in San Juan county	San Juan Regional Medical Center	STB	Funded
2173	Incident Command Center Construction	\$100,000	to construct the south Shiprock fire and emergency medical technician command center in Shiprock in San Juan county	Shiprock Chapter	STB	Funded
1030	Multipurpose Veterans Center Construction	\$50,000	to plan, design and construct a multipurpose veterans' center in the Shiprock chapter of the Navajo Nation in San Juan county	Shiprock Chapter	STB	Funded
2043	Senior Center Parking Lot Repair	\$200,000	to plan, design, construct and repair the Shiprock senior center parking lot in the Shiprock chapter of the Navajo Nation in San Juan county	Shiprock Chapter	GF	Funded
2043	Senior Center Parking Lot Repair	\$350,000	to plan, design, construct and repair the Shiprock senior center parking lot in the Shiprock chapter of the Navajo Nation in San Juan county	Shiprock Chapter	STB	Funded
1896	School of Energy Museum Construction	\$75,000	to plan, design, construct and equip an expansion to the Sherman Dugan museum of geology at the school of energy at San Juan college in San Juan county	San Juan College	STB	Funded
2216	Bathroom Additions	\$100,000	to plan, design and construct bathroom additions in the Teec Nos Pos chapter of the Navajo Nation in San Juan county	Teec Nos Pos Chapter	STB	Funded
1130	Rural Roads Upgrade	\$78,000	to plan, design, construct and improve rural roads for the Tooh Haltsooi chapter of the Navajo Nation in San Juan county	Tooh Haltsooi Chapter	STB	Funded
1867	Bathroom Additions	\$100,000	to plan, design, construct, purchase and equip bathroom additions in the Tse'Daa'Kaan chapter of the Navajo Nation in San Juan county	Tse'Daa'Kaan Chapter	STB	Funded
1863	Septic Systems Improvements	\$100,000	to plan, design, construct, equip, repair and improve septic sewage tanks and leach lines for the Tse'Daa'Kaan chapter of the Navajo Nation in San Juan county	Tse'Daa'Kaan Chapter	STB	Funded
910	River Diversion Construction	\$782,000	to plan, design and construct a permanent water supply diversion for the Turley Manzanares ditch on the San Juan river in San Juan county	Turley Manzanares Ditch	STB	Funded
2292	Veterans Memorial Park Construction	\$75,000	to plan, design and construct a veterans' memorial park in the Toadlena/Two Grey Hills area of the Toadlena/Two Grey Hills chapter of the Navajo Nation in San Juan county	Two Grey Hills Chapter	STB	Funded
177	Walter Collins Center Renovation	\$203,000	to plan, design, construct, furnish and equip improvements to the Walter Collins center in the Upper Fruitland chapter of the Navajo Nation in San Juan county	Upper Fruitland Chapter	STB	Funded
1823	Bathroom Additions	\$50,000	to plan, design, construct and equip bathroom additions in the White Rock chapter of the Navajo Nation in San Juan county	White Rock Chapter	STB	Funded
1850	Cistern System Construction	\$75,000	to plan, design and construct cistern systems in the White Rock chapter of the Navajo Nation in San Juan county	White Rock Chapter	STB	Funded

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ID#	Title	Amount	Language	Entity	Fund	Action
Total		\$16,771,686				

Approved Total	\$16,771,686
Vetoed Total	\$0
Approved %	100%

2022 - Reauthorization Bill (SB 213) Breakdown

Updated 4/19/2022

County	Original Entity	New Entity	Purpose	Language	Action
Cibola County					
Cibola County	Ramah Chapter	Ramah Chapter	Extend Time	SECTION 43. RAMAH CHAPTER SOLID WASTE TRANSFER STATION CONSTRUCTION--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project originally authorized in Subsection 6 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 32 to plan, design and construct a solid waste transfer station, including a trash compactor dumpster, a trash bin and an operator building, in the Ramah chapter of the Navajo Nation in Cibola county is extended through fiscal year 2024.	Approved
Cibola County	Ramah Chapter	Ramah Chapter	Extend Time	SECTION 77. RAMAH CHAPTER SOLID WASTE TRANSFER STATION CONSTRUCTION--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 6 of Section 22 of Chapter 80 of Laws 2018 to plan, design, purchase, construct, equip and install a solid waste transfer station, including a trash compactor dumpster, a trash bin and an operator building, in the Ramah chapter of the Navajo Nation in Cibola county is extended through fiscal year 2024.	Approved
McKinley County					
McKinley County	White Cliffs MDWUA	McKinley County	Change Purpose	SECTION 67. WHITE CLIFFS MUTUAL DOMESTIC WATER USERS ASSOCIATION WASTEWATER SYSTEM IMPROVEMENTS--CHANGE TO MCKINLEY COUNTY SHERIFF'S DEPARTMENT VEHICLE PURCHASE--CHANGE AGENCY-- SEVERANCE TAX BONDS.--The unexpended balance of the appropriation to the department of environment in Subsection 45 of Section 21 of Chapter 138 of Laws 2021 to plan, design, construct, purchase, equip and install water and wastewater system improvements for the White Cliffs mutual domestic water users association in McKinley county shall not be expended for the original purpose but is appropriated to the local government division to purchase and equip vehicles for the sheriff's department in McKinley county.	Approved
McKinley County	Ojo Encino Chapter	Ojo Encino Chapter	Extend Time	SECTION 68.OJO ENCINO CHAPTER EAGLE SPRING POWERLINE--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project originally authorized in Subsection 23 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 59 to plan, design and construct the Eagle Spring powerline in the Ojo Encino chapter of the Navajo Nation in Sandoval and McKinley counties is extended through fiscal year 2024.	Approved
McKinley County	Pueblo Pintado Chapter	Pueblo Pintado Chapter	Extend Time	SECTION 69. PUEBLO PINTADO CHAPTER POWERLINE CONSTRUCTION--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project originally authorized in Subsection 14 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 51 to plan, design and construct a powerline in the Pueblo Pintado chapter of the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	Tohatchi Chapter	Tohatchi Chapter	Extend Time	SECTION 70. TOHATCHI CHAPTER POWERLINE EXTENSION--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project originally authorized in Subsection 16 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 54 to plan, design and construct powerline extensions in the Tohatchi chapter of the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	Coyote Canyon Chapter	Coyote Canyon Chapter	Extend Time	SECTION 71. COYOTE CANYON CHAPTER CEMETERY--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 12 of Section 22 of Chapter 80 of Laws 2018 to plan, design and construct a community cemetery, including water lines and power lines, in the Coyote Canyon chapter of the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	Nahodishgish Chapter	Nahodishgish Chapter	Extend Time	SECTION 73. NAHODISHGISH CHAPTER HOUSE ACCESSIBILITY IMPROVEMENTS--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 17 of Section 22 of Chapter 80 of Laws 2018 to plan, design and construct accessibility improvements at the chapter house of the Nahodishgish chapter of the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	McKinley County	McKinley County	Extend Time	SECTION 74. THOREAU SENIOR CENTER IMPROVEMENTS--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the aging and long-term services department project in Subsection 9 of Section 5 of Chapter 80 of Laws 2018 to plan, design and construct improvements, including roofing, parking lot and accessibility features, to the senior center in Thoreau in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	McKinley County	McKinley County	Change Purpose	Original Purpose: to plan, design and construct improvements, including accessibility improvements, parking lot improvements, roofing and wastewater connections, to the Ramah senior center in McKinley county New Purpose: to plan, design and construct improvements, including roofing, parking lot and accessibility features, to the senior center in Thoreau in McKinley county	Reverted
McKinley County	Tohatchi Chapter	Tohatchi Chapter	Extend Time	SECTION 75. TOHATCHI CHAPTER RED WILLOW FARM WATER AND POWER LINES CONSTRUCTION AND ROAD IMPROVEMENTS--EXTEND TIME-- SEVERANCE TAX BONDS.-The time of expenditure for the Indian affairs department project originally authorized in Subsection 17 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2017, Chapter 133, Section 66 to plan, design, construct and make improvements to water lines, power lines and roads for Red Willow farm in the Tohatchi chapter of the Navajo Nation in McKinley county and for which the time of expenditure was extended in Laws 2020, Chapter 82, Section 55 is extended through fiscal year 2024.	Approved

2022 - Reauthorization Bill (SB 213) Breakdown

Updated 4/19/2022

County	Original Entity	New Entity	Purpose	Language	Action
McKinley County	Tohatchi Chapter	Tohatchi Chapter	Extend Time	SECTION 76. TOHATCHI CHAPTER WAREHOUSE CONSTRUCTION-- EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 19 of Section 22 of Chapter 80 of Laws 2018 to plan, design and construct a warehouse for the Tohatchi chapter of the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	Smith Lake Chapter	Smith Lake Chapter	Extend Time	SECTION 78. SMITH LAKE CHAPTER PARKING LOT IMPROVEMENTS--EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 18 of Section 22 of Chapter 80 of Laws 2018 to plan and design parking lots, including curbs and lighting, for the chapter complex at the Smith Lake chapter in the Navajo Nation in McKinley county is extended through fiscal year 2024.	Approved
McKinley County	Ojo Encino Chapter	Ojo Encino Chapter	Expand Purpose	SECTION 80. OJO ENCINO CHAPTER RURAL ADDRESSING SYSTEM CONSTRUCTION-- EXPAND PURPOSE--EXTEND TIME--SEVERANCE TAX BONDS.--The Indian affairs department project in Subsection 25 of Section 22 of Chapter 80 of Laws 2018 to plan, design and construct a rural addressing system, including house numbers and road signs, in the Ojo Encino chapter of the Navajo Nation in Sandoval and McKinley counties may include the acquisition of right-of-way permits and the purchase of equipment for the installation of sign posts and address posts in the Ojo Encino chapter of the Navajo Nation in Sandoval and McKinley counties. The time of expenditure is extended through fiscal year 2024.	Approved
San Juan County					
San Juan County	Lake Valley Chapter	Lake Valley Chapter	Extend Time	SECTION 72. LAKE VALLEY CHAPTER POWER LINE EXTENSION--EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 35 of Section 22 of Chapter 80 of Laws 2018 to plan, design and construct power line extensions in the Lake Valley chapter of the Navajo Nation in San Juan county is extended through fiscal year 2024.	Approved
San Juan County	San Juan County	San Juan County	Extend Time	SECTION 99. SAN JUAN COUNTY ROAD 5500 BRIDGE REPLACEMENT--EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the department of transportation project in Subsection 56 of Section 32 of Chapter 80 of Laws 2018 to plan, design, construct and replace a bridge over the San Juan river on county road 5500 in San Juan county is extended through fiscal year 2024	Vetoed by Governor
San Juan County	Shiprock Chapter	Shiprock Chapter	Extend Time	SECTION 100. SHIPROCK CHAPTER WASTEWATER SYSTEM AND EXTENSION CONSTRUCTION--EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the department of environment project originally authorized in Subsection 59 of Section 18 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 74 to acquire rights of way and easements and to plan, design and construct a wastewater system and extension south of Shiprock in the Navajo Nation in San Juan county and appropriated to the Indian affairs department in Laws 2021, Chapter 139, Section 64 for that purpose is extended through fiscal year 2024.	Approved
San Juan County	City of Aztec	City of Aztec	Extend Time	EAST AZTEC ARTERIAL ROUTE CONSTRUCTION-- EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the department of transportation project originally authorized in Subsection 63 of Section 25 of Chapter 66 of Laws 2014 to construct the east Aztec arterial route in Aztec in San Juan county and for which the time of expenditure was extended in Laws 2018, Chapter 68, Section 98 and reauthorized in Laws 2020, Chapter 82, Section 70 to include planning and designing is extended through fiscal year 2024.	Approved
San Juan County	City of Farmington	City of Farmington	Extend Time	SECTION 102. FARMINGTON FIRE DEPARTMENT EXTRICATION EQUIPMENT--EXTEND TIME--GENERAL FUND.--The time of expenditure for the local government division project in Subsection 47 of Section 72 of Chapter 81 of Laws 2020 to purchase extrication equipment for the fire department in Farmington in San Juan county is extended through fiscal year 2024.	Approved
San Juan County	San Juan College	San Juan College	Extend Time	SECTION 103. SAN JUAN COLLEGE DOOR LOCK SYSTEM PURCHASE-- EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the higher education department project in Subsection 3 of Section 33 of Chapter 80 of Laws 2018 to plan, design, purchase and install an electronic door lock system at San Juan college in Farmington in San Juan county is extended through fiscal year 2024.	Approved
San Juan County	Nenahnezad Chapter	Nenahnezad Chapter	Extend Time	SECTION 104. NENAHNEZAD CHAPTER MULTIPURPOSE BUILDING ROOF RENOVATION-- EXTEND TIME--SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project in Subsection 36 of Section 22 of Chapter 80 of Laws 2018 to plan, design, construct and renovate the roof on the multipurpose building in the Nenahnezad chapter of the Navajo Nation in San Juan county is extended through fiscal year 2024.	Approved
San Juan County	San Juan County	San Juan County	Extend Time	SECTION 105. SAN JUAN COUNTY RADIO INFRASTRUCTURE AND EQUIPMENT IMPROVEMENTS--TIME EXTEND--SEVERANCE TAX BONDS.--The time of expenditure for the local government division project in Subsection 333 of Section 35 of Chapter 81 of Laws 2020 to replace and upgrade radio infrastructure and equipment for San Juan county is extended through fiscal year 2024.	Approved
San Juan County	Nageezi Chapter	Nageezi Chapter	Extend Time	SECTION 106. NAGEEZI CHAPTER ELECTRIC POWERLINE EXTENSION--EXTEND TIME-- SEVERANCE TAX BONDS.--The time of expenditure for the Indian affairs department project originally authorized in Subsection 33 of Section 20 of Chapter 81 of Laws 2016 and reauthorized in Laws 2020, Chapter 82, Section 73 to construct the Kinnadiz and Pillow Crest electrical powerline extension project in the Nageezi chapter of the Navajo Nation in San Juan county is extended through fiscal year 2024.	Approved

2022 - Junior Bill Appropriations - Northwest NM Region	Senate Bill 1
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Reinstated during 3rd Special Session of the 2022 Legislature

Title	Amount	Language	Entity	Action
Cibola County				
Domestic Violence Services	\$50,000	to provide domestic violence services in Grants	City of Grants	Funded
Grants Fire Department Equipment Purchase	\$100,000	one hundred thousand dollars (\$100,000) to purchase equipment for the Grants fire department	City of Grants	Funded
Grants Police Vehicles Purchase	\$50,000	to purchase and equip Grants police vehicles	City of Grants	Funded
Milan Kerns Field Equipment and Lighting	\$130,000	to purchase and install equipment and lighting and make other improvements to Kerns field in Milan	Village of Milan	Funded
Cibola Police Vehicles Purchase	\$50,000	to purchase and equip Cibola county law enforcement vehicles	Cibola County	Funded
NMSU Workforce Development Programs	\$50,000	for instruction and general purposes for workforce development programs	NMSU-G	Funded
NMSU Nursing Development Programs	\$100,000	for the nursing development programs	NMSU-G	Funded
Grants Mining Museum Improvements	\$50,000	for improvements at the Grants mining museum	City of Grants	Funded
Grants Mining Museum Signage & Improvements	\$80,000	to purchase signage and provide other improvements to the Grants mining museum	City of Grants	Funded
Grants Veterans, Firefighters and Police Memorial	\$130,000	for the veterans', firefighters' and police memorial in Grants	City of Grants	Funded
Milan Fire Department Vehicle Purchase	\$50,000	to purchase and equip an off-road utility vehicle for the Milan fire department	Village of Milan	Funded
Milan Police Vehicles Purchase	\$50,000	to purchase and equip police vehicles for the Milan police department	Village of Milan	Funded
Rockin 66 Express	\$50,000	for operational expenses of the rockin' 66 express transit system in Cibola county	Village of Milan	Funded
Grants Mainstreet	\$50,000	for the main street program in Grants	City of Grants	Funded
CCEDF	\$50,000	for economic development	City of Grants	Funded
NMSU Career and Technical Education Programs	\$80,000	for career and technical education programs	NMSU-G	Funded
	\$1,120,000			
McKinley County				
Gallup Police Vehicles Purchase	\$50,000	to purchase and equip law enforcement vehicles for Gallup	City of Gallup	Funded
McKinley Police Vehicles Purchase	\$50,000	to purchase and equip McKinley county public safety law enforcement vehicles	McKinley County	Funded
McKinley County Police Vehicles	\$50,000	to purchase and equip McKinley county law enforcement vehicles	McKinley County	Funded
McKinley County K-9 Unit Purchase	\$120,000	for a K-9 unit for the McKinley county sheriff's office	McKinley County	Funded
Adventure Gallup & Beyond	\$105,000	for the adventure Gallup and beyond outdoor services recreation plan	McKinley County	Funded
Greater Gallup Industrial Workforce Program	\$50,000	to continue the industrial workforce program in Gallup	City of Gallup	Funded
Industrial Work Force Program Support	\$60,000	to the workforce solutions department, sixty thousand dollars (\$60,000) for McKinley county for the industrial workforce program to support construction education	Workforce Solutions	Funded
NMSD Equipment for Hearing Loss Program	\$10,000	for the deaf, ten thousand dollars (\$10,000) for equipment for a hearing loss program in McKinley county	NMSD	Funded
Emergency Shelter Support	\$130,000	for support of emergency shelters in Gallup	City of Gallup	Funded
Battered Families Program Support	\$60,000	for operational costs for the battered families program for the city of Gallup	McKinley County	Funded
	\$685,000			
San Juan County				
Scott Reservoir Construction	\$100,000	to plan, design and construct the Scott reservoir in Bloomfield	Bloomfield	Funded

2022 - Junior Bill Appropriations - Northwest NM Region			Senate Bill 1	
Reinstated during 3rd Special Session of the 2022 Legislature				
Title	Amount	Language	Entity	Action
Bloomfield Irrigation District Canal Maintenance	\$50,000	for Bloomfield irrigation district canal maintenance	Bloomfield	Funded
San Juan Regional Medical Center Equipment Purchase	\$330,000	for medical equipment at San Juan regional medical center	SJRM	Funded
Unarmed De-Escalation Reponse Unit	\$305,000	for an unarmed de-escalation medical community risk reduction response unit in Farmington	Farmington	Funded
Senior Centers Operational Expenses	\$100,000	for operational expenses of senior centers in San Juan county	San Juan County	Funded
San Juan County Harvest Food Hub	\$150,000	for the economic development harvest food hub	San Juan College	Funded
Ricketts Park Building Construction	\$120,000	for construction costs of the San Juan county Ricketts park building	City of Farmington	Funded
County Sherriff's Department Mobile Data Units Purchase	\$60,000	for mobile data units for the San Juan county sheriff's office	San Juan County	Funded
Aztec School resource Officer	\$70,000	for the Aztec police department to place a school resource officer in the Aztec municipal school district	City of Aztec	Funded
Mental Wellness Center	\$110,000	for the San Juan county mental wellness center	San Juan County	Funded
Child Abuse Services	\$60,000	to contract with a nonprofit organization to provide services to child abuse victims and assist the supportive parents in Farmington	City of Farmington	Funded
Domestic Violence Services	\$60,000	to contract with a nonprofit agency that provides comprehensive services for persons affected by domestic violence in Farmington	City of Farmington	Funded
Healthcare Personnel	\$60,000	to hire health center personnel	San Juan College	Funded
	\$1,575,000			
State or Regionwide				
Grant Writing Services	\$75,000	for the northwest New Mexico council of governments for grant writing services for rural communities	NWCOG	Funded
	\$75,000			
Cumulative Total	\$3,455,000			

2022 - General Obligation Bond Projects - Northwest NM Region

House
Bill 153

Title	Amount	Language	Entity	Action
Cibola County				
Pueblo of Acoma Senior Center Improvements	\$75,000	to plan, design, construct, renovate and improve the Acoma Pueblo senior center, including replacement of wheelchairaccessible doors and energy-efficient windows, for the Pueblo of Acoma in Cibola county	Acoma	Funded
Pueblo of Laguna Senior Center Construction	\$123,000	to plan, design, construct, purchase and equip the Laguna senior center for the Pueblo of Laguna in Cibola county	Laguna	Funded
NMSU Grants	\$1,250,000	to plan, design, construct, renovate and equip infrastructure improvements, including roof replacement, to Martinez hall at the Grants branch campus of New Mexico state university in Cibola county	NMSU-G	Funded
McKinley County				
Regional Senior Center Construction	\$5,415,300	to plan, design, construct, equip and furnish the Gallup senior center in Gallup in McKinley county	City of Gallup	Funded
Senior Center Vehicles Purchase	\$76,000	to purchase and equip vehicles for the Gallup senior center in Gallup in McKinley county	City of Gallup	Funded
Navajo Technical University Trades Building Construction	\$4,000,000	to plan, design, construct, furnish and equip a trades building at Navajo technical university in Crownpoint in McKinley county	NTU	Funded
UNM Gallup Gurley Hall Renovations	\$3,000,000	to plan, design, construct, renovate and equip infrastructure improvements to Gurley hall at the Gallup branch campus of the University of New Mexico in McKinley county	UNM-G	Funded
San Juan County				
Blanco Senior Center Equipment Purchase	\$24,000	to purchase and install meals equipment and other equipment for the Blanco senior center in San Juan county	San Juan County	Funded
Blanco Senior Center Vehicles Purchase	\$55,000	to purchase and equip vehicles for the Blanco senior center in San Juan county	San Juan County	Funded
Bloomfield Senior Center Renovations	\$35,000	for renovations to the Bloomfield senior center in Bloomfield in San Juan county	Bloomfield	Funded
Lower Valley Senior Center Equipment Purchase	\$24,000	to purchase and install meals equipment and other equipment for the Lower Valley senior center in San Juan county	San Juan County	Funded
Dine College Student Services Facility Construction	\$5,000,000	to plan, design, construct, furnish and equip a student services facility for the south campus at the Shiprock branch campus of Dine college in San Juan county	Dine College	Funded
San Juan College Technology Infrastructure Upgrades	\$3,000,000	to plan, design, construct, purchase and equip information technology infrastructure upgrades campuswide at San Juan college in Farmington in San Juan county	San Juan College	Funded
Total		\$22,077,300		
SENIOR CENTER PROJECTS	\$5,827,300			
HIGHER EDUCATION	\$16,250,000			

NWNMCOG

MISSION SUPPORT

CORE MISSION

MS-1
Regional Leadership & Partnerships

MS-2
Operational Innovation

CM-1
All-Level Planning, Implementation & Integration

CM-2
Projecteering Strategic Infrastructure

CM-3
Center for Regional Innovation

MS-1 .1
Partnerships & Networking

MS-2.1
Technology Deployment

CM-1.1
Economic Development Planning & Implementation

CM-2.1
Infrastructure Planning & Priorization

CM-3.1
Water System Regionalization & Support

MS-1.2
Enterprise Loan Fund

MS-2.2
Building & Asset Management

CM-1.2
Transportation Planning & Development

CM-2.2
Projecteering Training & Workshops

CM-3.2
Energy Efficiency & Conservation

MS-1.3
*Americorps*VISTA Program & Internships*

MS-2.3
Marketing & Branding

CM-1.3
Water Planning & Development

CM-2.3
Projecteering Action Plans & Financing Strategies

CM-3.3
Trails Planning, Design, & QA/QC

MS-1 .4
Economic Transition & Recovery Teams

MS-2.4
Data Management & Dissemination

CM-1.4
Broadband Planning

CM-2.4
Investment Attraction & Grantsmanship

CM-3.4
Brownfields

MS-1.5
Shovel-Ready Site Coordination

MS-2.5
Board & Staffing Support and Training

CM-1.5
Local Strategic, Comprehensive, and Land Use Planning

CM-2.5
Legislative Technical Assistance

CM-3.5
Regional Tourism, Arts, & Outdoor Recreation

MS-1.6
Four Corners Commission Revitalization

MS-2.6
Fiscal & Financial Management

CM-2.6
FUNDIT

CM-3.6
Special Fee-for-Service Projects & Services

MS-2.7
Regional NPO Strategy

Northwest New Mexico Regional Development Partnership

March 2022

Northwest New Mexico Council of Governments (COG)

- Form: Quasi-Governmental Planning and Development District
- Focus: Regional
- Function: lead the planning and process for the Comprehensive Economic Development Strategy

New NPO Corporation (RDC)

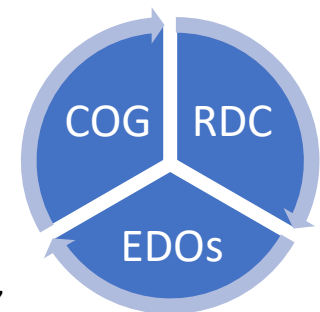
- Form: 501 (c) 3 corporation independent and private sector Board members
- Focus: Regional
- Function: implement the regional plan and programs of an Economic Development District
 - Enterprise Loan Fund – growing from RLF to CDFI
 - Economies of Scale – conferences, marketing, service contracts, etc.
 - Collaborative Projects – regional authorities, redevelopment corporation, and holding company
 - Innovations, Pilots, & Special Initiatives – Energy Clearinghouse, Healthcare, Housing, Retail, Broadband, Agriculture & Food Systems, NPO Roundtable, Wealthworks, DEI, and Asset-building

Economic Development Organizations (EDOs)

- Form: Various, 501 (c) 3 corporations
- Focus: Local
- Function: deliver local economic development programs
 - Business Recruitment & Attraction
 - Business Retention & Expansion
 - Business Creation, Start-ups, & Entrepreneurship
 - Workforce & Special Projects

Recommendations based on:

- An Organization Review of the NWNMCOG by Sheldon Bartel, National Development Council (2021)
- A Regional Development Sustainability Plan for Northwest New Mexico by Patty Lundstrom, LAMGA (2010)





NWNMCOG | NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS

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Gallup, NM 87301
Phone: [505] 722-4327
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US Economic Development District #1
NM State Planning District #1
www.nwnmcog.com
Executive Director | Evan Williams

Memorandum

DATE: April 19, 2022
TO: COG Board of Directors
FROM: Evan Williams, Executive Director
RE: Membership Structure & Dues Increases

A. **BACKGROUND:** The COG Board was surveyed in the Summer 2019 on the four revenue strategies an organization has including:

- Sell More Existing Services to Existing Members
- Sell More Existing Services to new Members
- Sell New Products Existing Services to existing Members
- Sell New Products to Existing Members

There was not a clear direction provided except to caution the additional new members that would not be able to support services required and dilute services to other members. The Board of Directors did adopt a Wage & Classification Plan that provide annual increase of 2.5%. COG staff has worked diligently to add new fee-for-service contracts and temporary programs, but these do not provide the base from which to grow.

B. **ACTIONS TO DATE:** The Executive Committee has meet over the past year to discuss this item and provide direction. Staff provided an initial briefing at the July meeting and was instructed to hold a Strategic Planning session on October 20, 2021 with key consultants. A follow-up session was held in January 13, 2022 to discuss further.

C. **PROPOSAL:** The proposal is two-fold:

- (1) Ask each member to provide a 2.5% increase annually in order to keep up with inflation and staffing costs;
- (2) To provide staffing capacity in San Juan County, ask each member to double dues until they reach their FY2010 levels and enough to sustain 1 full-time equivalent. Once achieved (FY2025), San Juan area members would go on 2.5% annual price increase.

D. **WORK ANTICIPATED:** If approved, staff is recommending the following steps by end of April:

1. Update COG Membership Dues Policies;
2. Sending out letters to each entity on new levels; and
3. Follow-up with members to present for approval as no action of the COG Board ties members into contributing at the proposed levels.

E. **RECOMMENDATION:** Staff has no official recommendation on this item.

Northwest New Mexico Council of Governments
MEMBERSHIP DUES SUMMARY, FY 2004 - FY 2022

Updated
1.10.2022

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
VOTING MEMBERS										
Cibola County	\$20,000	\$20,000	\$20,000	\$22,500	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Village of Milan	\$10,000	\$12,500	\$12,500	\$11,500	\$12,500	\$12,500	\$12,500	\$12,500	\$13,500	\$13,500
City of Grants	\$30,000	\$35,000	\$35,000	\$33,750	\$37,500	\$37,500	\$37,500	\$37,500	\$37,500	\$37,500
Subtotal, CIBOLA	\$60,000	\$67,500	\$67,500	\$67,750	\$75,000	\$75,000	\$75,000	\$75,000	\$76,000	\$76,000
McKinley County	\$45,000	\$50,000	\$50,000	\$56,250	\$62,500	\$62,500	\$62,500	\$62,500	\$65,000	\$65,000
City of Gallup	\$50,000	\$50,000	\$50,000	\$35,495	\$62,500	\$62,500	\$62,500	\$62,500	\$65,000	\$65,000
Subtotal, McKINLEY	\$95,000	\$100,000	\$100,000	\$91,745	\$125,000	\$125,000	\$125,000	\$125,000	\$130,000	\$130,000
San Juan County	\$15,000	\$15,000	\$25,000	\$30,000	\$35,000	\$35,000	\$37,500	\$5,000	\$20,000	\$20,000
City of Aztec	\$10,000	\$10,000	\$10,000	\$15,000	\$15,000	\$18,750	\$18,750	\$1,000	\$2,000	\$3,000
City of Bloomfield	\$10,000	\$10,000	\$10,000	\$15,000	\$18,750	\$18,750	\$18,750	\$0	\$2,000	\$5,000
City of Farmington	\$35,000	\$35,000	\$35,000	\$39,375	\$43,750	\$43,750	\$43,750	\$5,000	\$25,000	\$25,000
Subtotal, SAN JUAN	\$70,000	\$70,000	\$80,000	\$99,375	\$112,500	\$116,250	\$118,750	\$11,000	\$49,000	\$53,000
TOTAL, All Voting Members	\$225,000	\$237,500	\$247,500	\$258,870	\$312,500	\$316,250	\$318,750	\$211,000	\$255,000	\$259,000
GROWTH <DECLINE>		5.6%	4.2%	4.6%	20.7%	1.2%	0.8%	-33.8%	20.9%	1.6%
AFFILIATE MEMBERS										
NWNM Reg'l Solid Waste Auth	\$1,000	\$1,000	\$1,000	\$2,000	\$2,500	\$0	\$0	\$4,000	\$7,500	\$7,500
Special Use Districts, Other	\$2,000	\$2,000	\$2,000	\$2,000	\$2,500	\$0	\$0	\$0	\$0	\$0
Native American Entities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal, Affiliate Members	\$3,000	\$3,000	\$3,000	\$4,000	\$5,000	\$0	\$0	\$5,000	\$7,500	\$7,500
TOTAL, MEMBERSHIP FUND	\$228,000	\$240,500	\$250,500	\$262,870	\$317,500	\$316,250	\$318,750	\$216,000	\$262,500	\$266,500

2014	2015	2016	2017	2018	2019	2020	2021	2022	Proposed 2023	Proposed 2024	Proposed 2025	Proposed 2026
\$25,000	\$25,000	\$25,000	\$25,000	\$22,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,500	\$21,013	\$21,538	\$22,076
\$13,500	\$13,500	\$13,500	\$13,500	\$13,500	\$13,500	\$13,500	\$13,500	\$13,500	\$13,838	\$14,183	\$14,538	\$14,901
\$37,500	\$37,500	\$37,500	\$37,500	\$37,500	\$20,000	\$37,500	\$37,500	\$37,500	\$38,438	\$39,398	\$40,383	\$41,393
\$76,000	\$76,000	\$76,000	\$76,000	\$73,000	\$53,500	\$71,000	\$71,000	\$71,000	\$72,775	\$74,594	\$76,459	\$78,371
\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$66,625	\$68,291	\$69,998	\$71,748
\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$65,000	\$66,625	\$68,291	\$69,998	\$71,748
\$130,000	\$130,000	\$130,000	\$130,000	\$130,000	\$130,000	\$130,000	\$130,000	\$130,000	\$133,250	\$136,581	\$139,996	\$143,496
\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$5,000	\$5,000	\$5,000	\$10,000	\$20,000	\$35,000	\$35,875
\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$6,000	\$12,000	\$18,000	\$18,450
\$10,000	\$10,000	\$10,000	\$0	\$1,000	\$3,000	\$3,000	\$3,000	\$3,000	\$6,000	\$12,000	\$18,000	\$18,450
\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$10,000	\$20,000	\$35,000	\$35,875
\$38,000	\$38,000	\$38,000	\$28,000	\$29,000	\$31,000	\$16,000	\$16,000	\$16,000	\$32,000	\$64,000	\$106,000	\$108,650
\$244,000	\$244,000	\$244,000	\$234,000	\$232,000	\$214,500	\$217,000	\$217,000	\$217,000	\$238,025	\$275,176	\$322,455	\$330,516
-5.8%	0.0%	0.0%	-4.1%	-0.9%	-7.5%	1.2%	0.0%	0.0%	9.7%	15.6%	17.2%	2.5%
\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,688	\$7,880	\$8,077	\$8,279
\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,500	\$7,688	\$7,880	\$8,077	\$8,279
\$251,500	\$251,500	\$251,500	\$241,500	\$239,500	\$222,000	\$224,500	\$224,500	\$224,500	\$245,713	\$283,055	\$330,532	\$338,795



NWNMCOG | NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS

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US Economic Development District #1
NM State Planning District #1
www.nwnmcog.com
Executive Director | *Evan Williams*

Memorandum

DATE: April 14, 2022

TO: COG Board of Directors

FROM: Jim Cox, Loan Committee Chairman
Evan Williams, Executive Director

cc: Michael Sage, Economic Development Manager

RE: Enterprise Loan Fund (ELF) Report

A. BACKGROUND

In February 2021, the Northwest New Mexico Council of Governments was awarded \$990,000 by the US Economic Development to capitalize a revolving loan fund to address the economic development needs of small businesses and entrepreneurs impacted by the COVID-19 pandemic in Cibola, McKinley and San Juan counties. The project aims to create 100 jobs and retain 100 jobs. Originally created in 1996, the Enterprise Loan Fund was awarded \$990,000 in February 2020 from the US Economic Development Administration (EDA) CARES Act funding. The Enterprise Loan Fund intends to use its recent capitalization of funds to provide business loans ranging from \$20,000 to \$100,000.

B. ACTIONS TO DATE

In August 2021, the NWNMCOG Board of Directors approved policies and procedures for the ELF. In addition, the NWNMCOG Board of Directors took action to appoint Jim Cox, CPA, COG Board member for San Juan County, as the Loan Committee Chair for the ELF.

In September 2021, the NWNMCOG executed a professional services contract with National Development Council to provide underwriting services as well as technical and program assistance.

In October 2021, the NWNMCOG Board of Directors approved nominations for the RLF Loan Committee and empowered the Executive Committee to review and approve any other needed members recommended by Jim Cox and Evan Williams.

In November 2021, the program forms including application intake, business analysis review, loan report summary, and closing documents are developed.

In December 2021, began populating the Loan Committee which is envisioned as an 11-member committee with members drawn from the tri-county area and representing segments of the regional economy. Additionally, completed orientation training with US Economic Development Administration for reporting and financial compliance including utilization of Salesforce CRM software to track and report loan activity.

C. WORK TO DATE

For the period of January 2022 – March 2022, the following items outline our work to date:

- January: Completed RLF Loan Committee orientation. Launched RLF Program.
- February: Created RLF Loan Program landing page on NWNMCOG website. Launched Marketing & Outreach.
- March: Compiled revisions for RLF Policies and Procedures. Continued Marketing & Outreach.

D. WORK ANTICIPATED

The RLF Loan Program will continue marketing and outreach including on site engagements with lenders, businesses, and community stakeholders to generate loan demand and applications. In addition, the RLF Loan Program will conduct another training of the RLF Loan Committee in preparation for submission of an application.

E. RECOMMENDATION

Executive Director Williams and Loan Committee Chairman Jim Cox are recommending two actions:

1. Approval of the RLF Plan including policies and procedures as updated and discussed at the NWNMCOG Board of Directors:
 - a. 'Ineligible Businesses' – includes a new paragraph to define businesses ineligible for consideration under the RLF Program. The new language is drawn from the SBA 7(a) Loan Program. (Pg. 5 - RLF Policies and Procedures)
 - b. Loan Application Documents – Reformats the loan document checklist to ensure consistency with the RLF loan application. (Pg. 13 – RLF Policies and Procedures).
 - c. General Edits – Update the document to correct grammatical and typographical errors including spelling, punctuation, acronym consistency, and entity references.



NORTHWEST NEW MEXICO COUNCIL OF GOVERNMENTS
New Mexico Economic Development District I

Revolving Loan Fund Plan

FOR THE

ENTERPRISE LOAN FUND OF NORTHWEST NEW MEXICO

capitalized in part by

The US Department of Commerce, Economic Development Administration

and

**The State of New Mexico, Local Government Division,
HUD Community Development Block Grant (CDBG) Program**

approved on April 20, 2022

by

**The Board of Directors
Northwest New Mexico Council of Governments**

**Billy W. Moore, Board Chairman
Evan J. Williams, Executive Director/ELF Director**

ACKNOWLEDGEMENTS

***This Revolving Loan Fund Plan* for the Northwest New Mexico Enterprise Loan Fund**

was revised during Fiscal Year 2020-21 and 2021- 22
with the consultation & assistance of

National Development Council,
the Board of Directors of the Northwest New Mexico COG &
Economic Development Administration, Austin Regional Office.

ENTERPRISE LOAN FUND OF NORTHWEST NEW MEXICO REVOLVING LOAN FUND PLAN

Revised July 28, 2021 and April 20, 2022

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ENTERPRISE LOAN FUND OF NORTHWEST NEW MEXICO

INTRODUCTION

The Enterprise Loan Fund (ELF) of Northwest New Mexico came into being in 1996 as a partnership of the City of Farmington, the Northwest New Mexico Council of Governments and small business assistance partners in the Four Corners region. It was co-capitalized by a \$300,000 grant from the *Revolving Loan Fund* program of the Economic Development Administration, US Department of Commerce, and a \$300,000 grant from the State of New Mexico under the *Community Development Block Grant (CDBG)* program funded by the US Department of Housing and Urban Development.

This document will address:

- The **need for this public financing tool** to complement other business assistance programs and services available to firms and/or would-be entrepreneurs in industry sectors and/or locations targeted by the Five-Year Comprehensive Economic Development Strategy for Northwest New Mexico, published Spring 2021,
- The **types of financing activities** employed,
- The capacity of the ELF's organization to make loans and to work effectively with the business community and other financing providers, to function as an integral part of the overall economic adjustment effort and to manage the lending function, and
- Other matters as required by the EDA's Revolving Loan Fund Guidelines.

The Northwest New Mexico Council of Governments (NWNMCOG) is the Economic Development District designated by the Economic Development Administration for the tri-county region encompassing the New Mexico counties of Cibola, McKinley and San Juan. The District covers three counties, seven municipalities, and four tribal entities including the Navajo Nation and Pueblos of Acoma, Laguna, and Zuni. The District has been disempowered by long-term economic stagnation, as defined in the Economic Adjustment Program administered by the EDA, in accordance with all three of the relevant economic problem criteria: (a) very high unemployment; (b) low per capita income; and (c) chronic distress. Further, in recent years the collapse of coal and other natural resource markets, has caused massive economic disruption in our region, population loss, and tax base depletions. This sector was a key foundational element of the Northwest New Mexico economy that is not easily replaced even through proactive and aggressive diversification efforts.

The **mission** of the Northwest New Mexico ELF is to improve access to capital for businesses and individuals in support of business and job creation, retention and expansion throughout the tri-county region.

This *Revolving Loan Fund Plan* is organized and presented according to the guidelines of the Economic Development Administration.

PART I.

THE REVOLVING LOAN FUND STRATEGY

The RLF is designed to address areas of economic distress in Northwest New Mexico and help implement the Comprehensive Economic Development Strategy (CEDS) for the Northwest New Mexico Council of Governments (NWNMCOG) as summarized below. Small businesses often are unable to access private capital for financing business expansion and improvements. Those who can meet private capital procurement requirements may do so at higher prices. In order to lessen this burden to local businesses, the RLF is designed to supplement private financing for tenant improvements and fixed assets such as equipment for businesses, as well as permanent working capital, refinancing of existing business debts, and the acquisition and rehabilitation of commercial real estate. Use of RLF funds in tandem with select private financing can reduce the cost of overall private financing, thereby increasing the availability of private capital to businesses that are located in Northwest New Mexico.

A. ECONOMIC ADJUSTMENT OVERVIEW

Northwest New Mexico comprises 15,503 square miles of high arid plateau and mountainous terrain and contains an ethnically diverse and geographically diffuse population of about 220,000 residents, reflecting an average density of 14 persons per square mile. The region is made up of three rural counties (*Cibola, McKinley and San Juan*) and portions of four Indian reservations (*Acoma, Laguna, Navajo, and Zuni*). Much of the land outside the reservations is federal land (managed by the Bureau of Land Management or Forest Service) or State land. The principal municipalities are: Farmington (pop. 46,624) in San Juan County; Gallup (pop. 21,899) in McKinley County; and Grants (pop. 9,163) in Cibola County, based on 2020 US Census population counts

1. The Economic Adjustment Problem

Prior to the 1950's, the District's economy was predominantly agricultural, much of it at subsistence levels, except for the modest impact of travel along U.S. Route 66 through the southern portion of the District. The exploitation of oil, gas, coal and uranium resources beginning in the 1950's introduced a new dynamic to the regional economy: rapid growth with jobs that paid well and required skills often not available in the local population, spurring in-migration, followed by severe job loss and out-migration during the down cycles in these industries.

The District-wide unemployment rate has averaged levels greater than the nation, while per capita incomes have been less than three-fifths of the national average. Poverty numbers remain high throughout the region based on 2020 US Census data:

- For San Juan County, 21.5% of the population is in poverty;
- For McKinley County, 32.0% of the population is in poverty, and
- For Cibola County, 25.1% of the population is in poverty.

Other long-term problems faced by the District include:

- Lack of accessible investment capital;
- Loss of better paying jobs resulting from down cycles in basic economic activities;
- Economic disparity and excessive dependence on government;
- Socioeconomic isolation resulting from the great distances between communities within the District and remoteness from major markets;
- Cultural and jurisdictional obstacles to economic planning and development resulting from the mix of cultures, multiple sovereign boundaries and diverse governmental jurisdictions;
- Inadequate water supply, especially in southern portions of the District, and inadequate community infrastructure throughout the Indian reservations;
- Inadequate transportation linkages from some portions of the region to major markets;
- Inadequate last-mile telecommunications infrastructure; and
- Lack of affordable, quality housing.

2. The CEDS

This RLF Administrative Plan stems from a regional strategy document, La Rista Northwest, that has been reviewed by the US Economic Development Administration (EDA) and certified as a Comprehensive Economic Development Strategy (CEDS).

There are also several area plans that dictate the direction of economic development activity in Northwest New Mexico, including:

- ***New Mexico 5-Year Economic Development Plan (2021)***
- ***Trail of the Ancients SET Plan (2017)***
- ***POWER (Partnerships & Opportunities in Workforce & Economic Revitalization) Report (2018)***
- ***Navajo Nation Comprehensive Economic Development Strategy (2018)***
- ***Regional Water Plans***

3. Area Resources and Assets

The commercial areas within the tri-county area of northwest New Mexico are primarily located in the few incorporated municipalities spread throughout the region. San Juan County is the largest economy in the tri-county area anchored by the City of Farmington, and including the City of Aztec, City of Bloomfield, City of Kirtland. McKinley County is the second largest economy in the tri-county area anchored by the City of Gallup. Cibola County is the third largest economy anchored by City of Grants and including the Village of Milan.

Commercial areas continue to possess a number of resources and potential opportunities for synergistic economic development and growth, including:

- Availability of commercial zoned vacant or underutilized parcels along major traffic corridors;
- Numerous commercial districts with unique tenant mixes;
- Organized merchant associations in many city districts;
- Recent and planned public improvements along major corridors;
- A high degree of community involvement and support for economic development and revitalization efforts;
- Commitment of a significant amount of local and federal resources, including the recent development projects and public improvements around Farmington, Gallup, Grants, and Aztec; and
- Availability of high quality educational and job-training resources through San Juan College, UNM-Gallup, NMSU-Grants, and several EDO-operated workforce training programs and other local employment training programs.

B. THE BUSINESS DEVELOPMENT STRATEGY

1. Objectives of the Business Development Strategy

Based on the CEDS planning process, the EDA-RLF will prioritize the type of investments that will be the most effective in attaining the objectives outlined in the CEDS. These priorities are:

- Increase existing small businesses, as defined by SBA size standards, in NWNM that have the ability to repay the loan with historical and/or projected cash flows;
- Increase minority enterprise development;
- Increase women-owned business development;
- Increase veteran-owned business development; and
- Increase business development in low-to-moderate income census tracts.

The ELF will expand access to capital through the following loan fund:

- **Enterprise Loan Fund (ELF)**. The ELF will make loans to growing businesses that have existed for at least two years. The intent of the ELF is to finance business development projects, partnering with a bank or other lending institution. Under the ELF, loans range from \$20,000 to \$100,000.

2. Targeted Sectors

The NWNMCOG has targeted the following industry clusters in the Target Area for business assistance:

- Healthcare, medical devices, biotechnology and healthcare services;
- Environmental, including environmental technology and environmental services;
- Business services, including locally serving professional services, business related services, technical services, finance, and real estate services;
- Light manufacturing, including food processing;
- Retail Trade; and
- Outdoor Recreation

3. Ineligible Businesses

Ineligible businesses include those engaged in illegal activities, loan packaging, speculation, multi-sales distribution, gambling, investment or lending, or where the owner is on parole.

Specific types of businesses not eligible include:

- Real estate investment firms, when the real property will be held for investment purposes as opposed to loans to otherwise eligible small business concerns for the purpose of occupying the real estate being acquired.
- Firms involved in speculative activities that develop profits from fluctuations in price rather than through the normal course of trade, such as wildcatting for oil and dealing in commodities futures, when not part of the regular activities of the business.
- Dealers of rare coins and stamps are not eligible.
- Firms involved in lending activities, such as banks, finance companies, factors, leasing companies, insurance companies (not agents), and any other firm whose stock in trade is money.
- Pyramid sales plans, where a participant's primary incentive is based on the sales made by an ever-increasing number of participants. Such products as cosmetics, household goods, and other soft goods lend themselves to this type of business.
- Firms involved in illegal activities that are against the law in the jurisdiction where the business is located. Included in these activities are the production, servicing, or distribution of otherwise legal products that are to be used in connection with an illegal activity, such as selling drug paraphernalia or operating a motel that permits illegal prostitution.
- Gambling activities, including any business whose principal activity is gambling. While this precludes loans to racetracks, casinos, and similar enterprises, the rule does not restrict loans to otherwise eligible businesses, which obtain less than one-third of their annual gross income from either the sale of official state lottery tickets under a state license, or legal gambling activities licensed and supervised by a state authority.
- Charitable, religious, or other non-profit or eleemosynary institutions, government-owned corporations, consumer and marketing cooperatives, and churches and organizations promoting religious objectives are not eligible.

C. FINANCING POLICIES

The ELF will be administered in accordance with the *Title IX Economic Adjustment Program Revolving Loan Fund Administrative Manual*, and the following policies will be implemented:

1. **Eligible Lending Area**. Three counties of Northwest New Mexico: Cibola, McKinley, and San Juan, plus the four tribal entities of Navajo (New Mexico portion only), Acoma, Laguna, and Zuni.
2. **Allowable Borrowers**. Any registered for-profit business (Sole Proprietorship, Partnership, C/S Corporation, Limited Liability Company, and Limited Liability Partnership.) , in operation for a minimum of 2-years, that can repay the debt from historical or projected cash flows.

3. **Loan Size** – Loan size range from \$20,000 to \$100,000. The Loan Committee (LC) may approve loan amounts larger than the standard maximum on an exception basis. The maximum individual loan size, per Economic Development Administration (EDA) program-wide policy, is to be no more than 25% of the LEF program’s capital base at the time of the loan application.

D. INTEREST RATES

Interest rates on loans will be fixed. The standard interest rate on ELF loans will be equivalent to the prime interest rate quoted in the Wall Street Journal plus 2% at the time of loan closing by the Loan Committee. The minimum interest rate is 5%. The maximum interest rate is 10% based on the standard max per 13 CFR 307.15(b)(2)

1. **Application Fee** - There will be a \$250 fee for each loan application. The application fee will be credited towards the loan-underwriting fee or will be refunded to the applicant if not approved.
 2. **Loan Fees** – Standard loan fees will be as follows:
 - Loan Origination Fee – 1% of loan amount
 - Loan Closing Fees - 3rd Party Fees associated with loan closing can be rolled into the loan amount.
- **Payment Terms** - Payments will be made monthly using ACH payment;
 - **Loan Terms** - Standard loan terms will be as follows:
 - Working Capital (7-10 years);
 - Machinery & Equipment (7 to 10 years);
 - Real Estate – New & Improvements (25 years);
 - Leaseholder Improvements (Life of the lease but not to exceed 10 years); and
 - Debt Refinance (term commensurate with use of proceeds from loan to be refinanced).

In general, loan terms will not exceed the average useful life of the assets being financed.

- **Private Leveraging/Participation** – ELF recipients are highly encouraged to participate in other financing institutions’ loans. To provide context for this goal, there is an Economic Development Administration (EDA) portfolio-wide requirement in which the full ELF (all participants collectively) will leverage a minimum of two private dollars for each ELF dollar loaned. That is, the leveraging requirement applies to the portfolio as a whole rather than to the individual loan. Private “leveraging/participation” is defined as capital (cash or real estate only) invested to the business by the borrower, others (partners) or financing from private entities such as banks or crowd sourced funding.
- **Equity/Borrower Injection** - The ELF, with the exception of working capital loans, will require all borrowers to inject owner equity as a percentage of the requested loan amount; this may

be in form of owner equity and/or private financing. The borrower's equity injection should be at least 10% of requested loan amount for existing businesses.

- **Collateral** – Collateral pledged and personal guarantees for each loan will depend upon the loan amount, the overall risk of the credit, and the availability of personal and business assets to be pledged as collateral. Loans will be secured by collateral (business and personal) to the maximum extent possible to ensure an adequate secondary source of repayment. Generally, collateral pledged through UCC-1 filings for ELF loans shall not be pledged to other lenders or for other obligations of a business.
- **Credit Memorandums** - Each application will be reviewed for standard underwriting criteria. A credit memorandum summarizing the applicant's satisfaction of the criteria will be presented to the Loan Committee prior to consideration for loan approval. Generally, the credit memo will address the following qualities: management ability, market feasibility, primary source of repayment, secondary source of repayment, leverage, environmental issues, job creation, credit history, and the project or applicant's overall economic impact. Credit memorandums will also address other program requirements, such as the ratio of funds loaned to jobs created.
- **Financing Restrictions** – ELF Capital may **not** be used to:
 - a) Undertake research and development;
 - b) Acquire an equity position in a private business;
 - c) Subsidize interest payments on an existing loan;
 - d) Provide the equity contribution required of borrowers under other Federal loan programs;
 - e) Enable a borrower to acquire an interest in a business, either through the purchase of stock or through the acquisition of assets, unless the need for ELF financing is sufficiently justified and documented in the loan write-up/credit memo. Acceptable justification could include acquiring a business to substantially save it from imminent foreclosure, or acquiring it to facilitate a significant expansion or increased investment;
 - f) Provide loans to a borrower for the purpose of investing in interest bearing accounts, certificates of deposit or other investment not related to the objectives of the ELF;
 - g) Refinance debt unless:
 - 1. It is sufficiently documented in the attendant credit memo that the ELF loan is not replacing private capital solely for the purpose of reducing the risk of loss to an existing lender(s), or
 - 2. An ELF loan is used to purchase the rights of a prior lien holder during an in- process foreclosure action in order to preclude a significant loss on an ELF loan. This action may be undertaken only if there is a high probability of receiving compensation within 18 months from the sale of assets sufficient to cover the ELF's expenses plus a reasonable portion of the outstanding loan obligation.
 - h) Finance any activity that serves to relocate jobs from one commuting area to another. (A commuting area is that area defined by the distance people travel to work to and from NWNM) unless:

1. The applicant has moved or will move into region for reasons unrelated to ELF assistance;
2. The applicant has relocated to the region to the date of the applicant's request for ELF assistance;
3. The applicant will expand employment in the region substantially beyond employment in the area where the business was originally located;
4. The applicant is relocating from technologically obsolete facilities to remain competitive; and
5. The applicant is expanding into the new area by adding a branch affiliate of subsidiary while maintaining employment levels in the old area(s).

E. PORTFOLIO STANDARDS AND TARGETS

1. Private Investment Leveraging Ratio

As specified in the EDA Terms and Conditions, the portfolio shall maintain a private leverage ratio of 2:1, or \$2 of private dollars or funds to every \$1 in EDA funding.

2. Cost per Job

- a. Every project shall have a new job creation or jobs saved component.
- b. The portfolio shall target a cost per job ratio of \$50,000 or less.
- c. The maximum cost per job for any single loan will generally not exceed \$100,000.

F. ELF LOAN SELECTION CRITERIA

Priority in processing loan applications will be given to applications that further the targeting of ELF funds as outlined above. Loan applications will be considered for processing, if:

1. Staff can demonstrate credit worthiness based upon the financing policies of this plan;
2. The project is consistent with the business development strategy;
3. The loan will meet program goals to facilitate in the creation of higher paying, higher skilled, private sector jobs; diversify and strengthen the economy; and stimulate private investment. Priority will be given to those projects that provide the highest economic benefit, which may be evidenced by creation of jobs;
4. The loan is consistent with the goal of maintaining a diversified portfolio; and
5. Business has sufficient historical and projected cash flow to repay loan.

G. PERFORMANCE ASSESSMENT PROCESS

The portfolio goals will be monitored at the time of the semi-annual report to EDA. If targets are out of line or a trend is noticed in this direction, these trends will be analyzed to determine if any modifications to the Plan and/or portfolio are required. This will be accomplished by utilizing the current database software that is utilized to generate the EDA report.

The EDA Administrative Plan will be reviewed annually as part of the annual certification. Changes will be made to the plan as deemed appropriate to ensure the plan is consistent with the area's

current economic development strategy and that the ELF is being operated in accordance with policies and procedures contained in the approved plan. (13 CFR 308.14).

ELF OPERATIONAL PROCEDURES

The operation of the Enterprise Loan Fund of Northwest New Mexico will draw upon the collaborative strengths of local governments, economic development organizations and educational institutions within the District, and will be based upon an organizational structure that clearly defines authority and responsibility for administration of the Program.

A. ORGANIZATIONAL STRUCTURE

1. **Genesis of the ELF:** The ELF was initially created by a joint powers agreement among the City of Farmington, the Counties of San Juan, McKinley and Cibola, and the Northwest New Mexico Council of Governments. This is a regional fund, serving all three counties in order to achieve economies of scale and to qualify for the maximum funding available. The Council of Governments is under contract to the EDA to assure compliance with federal requirements. The joint powers agreement empowers the Council of Governments Board of Directors as the body responsible for oversight and policy making, and vest in it the power to contract for marketing and administration of the ELF.
2. **Marketing:** Marketing of the ELF will be done through collaboration with locally owned commercial financial institutions. Additional marketing will be done in collaboration with the Small Business Development Centers in Farmington, Gallup and Grants. Further, direct marketing will be achieved through presentations to community organizations and outreach at community events.
3. **Fund Administration:** The administrative organization of the Revolving Loan Fund is briefly envisioned as follows, and expanded on below:
 - a. **Authority for Fund.** The COG Board of Directors establishes regional ELF policy and reviews operations and performance; solicits nominations for, and appoints, the Loan Committee; and as needed, outsources for ELF marketing and administration.
 - b. **Fund Manager.** The Enterprise Loan Fund Director manages and supervises the ELF and its staff or contractor and, in coordination with the Loan Committee Chair, reports to the COG Board of Directors. The ELF Director is responsible for ensuring implementation of the ELF program in accordance with this Plan and pertinent federal and state requirements and guidelines.
 - c. **Market Linkages.** The Directors of the Small Business Development Centers (SBDCs) in Farmington, Gallup and Grants constitute a secondary mechanism for loan marketing and consultation with prospective borrowers regarding preparation of business plans and loan application packages, and for delivering entrepreneurial training to clients. Local bankers also provide the ELF with potential clients from the banks' pool of applicants who fall short of bank requirements and thus need gap financing to complete the loan package.
 - d. **Technical Assistance.** Under Memorandum of Understanding between the ELF and the New Mexico Small Business Development Center, the Small Business Development Centers in Grants, Gallup and Farmington, respectively, will provide technical

assistance services to ELF clients, client consultation and written feedback to the ELF as a means of increasing clients' opportunity for success in the loan program. Agreements with a commercial banker in each county also provide a means of obtaining feedback and recommendations on improving the financial conditions of each client.

- e. Fund Policy Governance: ELF policy will be governed by the Board of Directors of the Northwest New Mexico COG, which is composed of members from units of local government in Cibola, McKinley and San Juan Counties. Lending decisions will be made by the Loan Committee. Loan Committee membership will generally include balanced participation from the financial and entrepreneurial sectors of the regional community.
- f. Use of Fund Revenues: Loan fees, borrower charges, interest and other program income will be used to offset administrative costs when available and as allowed under the guidelines of the Economic Development Administration. All program income not used or allowed to be used for administrative expenses will be credited to the capital base of the ELF and used to fund additional loans.

- 4. Loan Committee: The Northwest New Mexico Council of Governments will form a Loan Committee (LC) to provide policy oversight and financial review services for new loan applications and modification agreements. The ELF Program Director ensures that the Loan Committee receives the ELF Managers analysis of the application to make effective recommendations about the viability of each business' financing request. The Loan Committee will meet as needed and will be responsible for implementing the policies contained in this ELF Plan and any procedures as may be adopted and promulgated by the Board of Directors of the Northwest New Mexico Council of Governments, as well as for recommending policy changes, approving loans, modifying loans, and concurring with all loan foreclosure and workout actions.

- a. Loan Committee. The Loan Committee (LC) will schedule meetings "as needed" to review transactions requiring action. The Loan Committee shall meet in person or virtually. Organizational and operational matters, including loan decisions, will be made by a majority vote of the appointed members of the Loan Committee. A quorum will exist whenever at least half of appointed Loan Committee members are in attendance. However, at least one Loan Committee member with financing experience (similar to the type of loans to be made) must be present for each loan decision. No loan will be committed, no major loan modification or waiver agreed to, no loan foreclosure action initiated without formal prior review and comment (in the form of Loan Committee minutes) of the Loan Committee. Staff will recommend on interest rates, terms and conditions, and approvals for all loans.
- b. Loan Committee Chair. The NWNMCOG Board of Directors shall appoint the Loan Committee Chair who shall report the status of ELF activity to a quorum of the Board in coordination with the ELF Manager. The Loan Committee Chair must be a member of the NWNMCOG Board or designated alternate.

- 5. Conflict of Interest. No officer, employee, or member of the NWNMCOG or Loan Committee that advises, approves, recommends or otherwise participates in decisions

concerning loans or the use of ELF funds, or person related to the officer, another employee, or any member of the NWNMCOG and Loan Committee may receive any benefits resulting from the use of ELF loan or grant funds.

In addition, the NWNMCOG may not lend ELF funds to an employee of the NWNMCOG or any member of the Loan Committee. Former board members and members of his or her immediate family shall not receive a loan from the ELF for a period of two (2) years from the date that board member last served on the board. Immediate family is defined as domestic partner or significant other, parents, grandparents, siblings, children and grandchildren, but does not include more distant relatives, including cousins, unless they live in the same household.

Loan Committee members are responsible for disclosing any possible conflict of interest that may exist with respect to a particular action of the Loan Committee, and recusing themselves from all relevant votes on said loan as appropriate.

An officer, employee, or member of the NWNMCOG and Loan Committee shall not solicit or accept, directly or indirectly, any gift, gratuity, favor, entertainment or any other thing of monetary value, for him or herself or for another person, from any person or organization seeking to obtain a loan or any portion of the ELF funds. Former Loan Committee members and/or officers are ineligible to apply for or receive loan or grant funds for a period of one year from the date of termination of his/her services.

Loan Committee members that have other professional relationships (i.e., a banker with loan to borrower) with a prospective borrower cannot be present for deliberations, but may respond to questions from other members of the Loan Committee, to avoid the appearance of a conflict of interest. All Loan Committee members will be required to comply with local and state conflict of interest policies and filing requirements (see: 13 CFR 300.3).

B. LOAN PROCESSING PROCEDURES

1. Standard Application Requirements

Each potential borrower will be required to initially complete the NWNMCOG's standard loan application. Staff will review the application to determine if it meets the general intent and purpose of the ELF. In evaluation of applicants, staff will consider whether the project/loan:

- Meets the targeting criteria;
- Demonstrates a reasonable assurance of repayment; and
- Is consistent with the portfolio job/cost ratio established for the ELF.

Potential borrowers are required to submit the following documents (as applicable) with their loan application:

- I. Statement of Need for RLF to include:
 - a. Plans, cost estimates/bids for leaseholds and equipment (include installation costs)
 - b. Purchase agreements for real estate acquisitions
 - c. Private lender commitment or denial of funds letter(s)
- II. Business Federal Income Tax Returns – prior 3 years
- III. Most recent Full Fiscal Year-End Financial Statement (signed / initialed) if no Tax Return for most recent year is available, to include:
 - a. Balance Sheet
 - b. Income / Expense Statement
 - c. Aging of accounts receivable and payable and list of vendors and addresses and account numbers for any account over 90 days.
 - d. Schedule of Business Debts (including the following: original amount of debt, current balance outstanding, payment amount(s), interest rate, collateral, & status)
 - e. Additional personal guarantees of other debts
- IV. Individual Federal Income Tax Return – prior 3 years
- V. Personal financial statement (any owner with 20% ownership or more)
- VI. Statement of Personal History
- VII. Credit release (any owner with 20% ownership or more)
- VIII. Legal to include:
 - a. Articles of incorporation / By-laws and/or partnerships/operating agreements / dba certificates / business licenses
 - b. Certificate of good standing (corporations only)
- IX. Resume(s) of principal(s) (normally those with 20% ownership or more; worker cooperatives should submit resumes of all members)
- X. Lease Agreement / Site Control or Letter of Intent to Lease:
- XI. Pro forma financial statement / projects (including notes and schedules) to include:
 - a. 2 years profit and loss projections
 - b. Explanations of the underlying assumptions supporting cash flow projections
- XII. 4506T signed and dated

Borrowers shall be approved based upon a reasonable assurance and determination of repayment ability and potential economic benefits to the community, i.e., number and quality of jobs they will create, worker ownership opportunities created, amount of taxes generated, extent to which they expand support businesses and services.

2. Credit Reports

Standard commercial and personal credit reports on all principals owning 20% or more of a business under consideration for a loan and the business will be ordered and reviewed by the contracted underwriting party. Adverse credit deficiencies that would cause the underwriter to question the ability and or willingness of the potential borrower to repay the loan will be deemed a valid reason for declining the request. A summary review of the results of the credit reports shall be a part of the loan write-up.

3. Uniform Commercial Code (UCC) Lien Search and/or Title Report

A UCC search may be completed to determine any existing liens, where personal property is being taken as security (i.e. equipment, or business assets). A real estate title report may be required in those instances where real property is being taken as collateral.

4. Appraisal Reports

Appraisal reports or other valuation determinations may be obtained, where existing fixed assets and/or real properties are being used as primary collateral. If completed, appraisals will utilize qualified appraisers having expertise appropriate to the assets being pledged.

5. Environmental Reviews

For proposed Real Estate projects, new or improvements, the ELF Administrator with the assistance of appropriate staff, shall assess the significance of all environmental impacts of activities to be financed in compliance with the National Environmental Policy Act of 1969 and other Federal environmental mandates, as per the Assurances (SF 424D as revised) executed with the Economic Development Administration (EDA). No activity shall be financed which would result in a significant adverse environmental impact unless the impact is to be mitigated to the point of insignificance. When necessary to ensure compliance, any required mitigation shall be made part of the loan conditions.

No project shall be approved which would result in the alteration of or have an adverse impact on any wetland without prior consultation with the U.S. Department of the Interior, Fish and Wildlife Service, and, if applicable, obtaining a section 404 permit from the Army Corps of Engineers.

Consistent with E.O. 11988, no project shall be approved which would result in new above ground development in a 100-year flood plain. This determination will be made by reviewing the proposed development against FEMA Flood Insurance Rate Maps.

The State Historic Preservation Officer, (SHPO) shall be notified of each loan proposal that involves significant new construction or expansion and asked to submit comments on the effect of the proposed activity on historic and archaeological resources. The ELF Administrator shall work with the SHPO and EDA in cases where the SHPO has recommended actions or has been determined an adverse impact.

All real estate loan applicants shall be requested to provide information indicating whether or not there was hazardous materials such as EPA listed (see 40 CFR 300), hazard substances, leaking underground storage tanks, asbestos, polychlorinated biphenyls (PCB), or other hazardous materials on site that have been improperly handled and have the potential of endangering public health. If deemed necessary, real estate loan applicants may be required to perform or provide evidence of a Phase I site assessment to identify possible sources of contamination, a Phase II site assessment to test soil and/or groundwater samples, and a Phase III site remediation involving mitigation of applicable contaminants. In cases where there are unresolved site contamination issues, the ELF Administrator shall

work with the real estate loan applicant and the appropriate state environmental agency office to resolve these outstanding issues.

6. Standard Collateral Requirements

Loans will be secured to the fullest extent possible to protect the interests of the ELF as a secondary source of repayment. Loans may be secured with the following types of assets:

- Real property
- Machinery & equipment
- Inventory
- Accounts Receivable
- Stock pledges
- Patents and other intellectual properties
- Securities
- Intangibles
- Personal and/or corporate guarantees.

A personal guarantee will be required of any principal having a 20% or more ownership in the company being considered; it shall also be required of the principal(s) trust(s) deemed to be controlled by him or her. Personal guarantees may be collateralized with liens on business and/or personal property. Appropriate hazard and liability insurance shall be required, and key man life insurance shall be considered depending on the size and nature of the transaction and the health and ages of the principals. The NWMMCOG shall be named as a Loss Payee on the appropriate insurance policies. Trust deeds may be obtained and supported by lenders title policies in those cases where real property is pledged as collateral. Liens on all personal property will be perfected by UCC-1 filings. UCC searches will be conducted to determine encumbrances and to ensure the ELF obtains desired lien position. All ELF program funding is subject to the Davis-Bacon Act and Related Acts, which establishes a requirement for paying the local prevailing wages on public works projects for laborers, unless the EDA determines that loan amounts are so de minimis that property cannot be purchased or renovated with the loan.

7. Standard Equity Requirements

Existing businesses may be required to inject at least 10% of the requested loan amount. Working capital loans are exempt. Assets (e.g. equipment), which are added to a project from outside sources, may be considered part of the equity investment, provided they are lien free.

8. Loan Write-Up/Credit Memos

Written loan presentations ("credit memos") to the Loan Committee Oversight will contain at a minimum the following information:

1. Evidence that the Borrower is in NWNM;
2. Recommendation: Support funding recommendation based on analysis of the business' industry, its place in that industry, financial analysis, and ability to repay.

3. Findings: Indicate if borrower is eligible, under criteria established in the ELF Plan and EDA Grant Agreement.
4. Description of Business
5. Background and History of Business Operation: Describe the history and background of the business, including a brief industry analysis.
6. Detailed description of the borrower; i.e., is it a corporation, partnership, sole proprietorship, list owners and their percentage of the business.
7. List of names and relationships of the guarantors to the owners of the business as appropriate.
8. Provide loan details:
 - a) Loan Request: State the amount of the request and state the recommended monthly amortization and term.
 - b) Interest: Indicate the rate, specifying a fixed rate.
 - c) Use of Funds by category.
 - d) Loan Fees: The maximum fee charged will be 1% of the total loan amount.
 - e) Expected cash to close the loan
9. Purpose: Describe in detail the purpose of the loan by category, i.e., fixed asset financing, tenant improvements, etc. Be specific about sources and uses of proceeds to complete the project. Any proposed exceptions to loan policies need to be explained.
10. Credit Report: Indicate the results of the credit reports obtained on the principals, guarantors, and company.
11. Financial Analysis: Indicate the source of information for the analysis. Describe the company's financial performance as reflected by its financial statements, with special emphasis on revenues and operating income, leverage, cash flow, and debt capacity. Projection should be consistent with historical performance unless there is an extraordinary event such as a new contract. Any significant changes in financial positions or performance of the company must be explained.
12. Personal Financial Analysis: Write an analysis of the principal's and guarantor(s) personal financial statements. Any significant changes in financial position or performance must be explained.
13. Collateral: Describe the collateral pledged for this loan and indicate the secured position of the ELF. If property is being pledged, show the present market value and the net equity available for all properties pledged. Date of appraisal, name of appraiser and loan to value must also be included.
14. Job/Cost Ratio: Divide the total loan amount by the number of jobs saved and/or created as a result of this loan. Recall, that the ELF program is subject to the Davis-Bacon Act and Related Acts, applying to contractors and subcontractors performing on federally funded or assisted contracts in excess of \$2,000 for the construction, alteration, or repair (including painting and decorating) of public buildings or public work.
15. Public Benefit: Describe the benefit of this loan in terms of jobs retained and/or created. Indicate the value of this borrower to the community. Specify whether this loan would create or preserve sole proprietors. Jobs saved are defined as jobs that would be imminently lost without ELF assistance.

16. Environmental Problems: Loans will not be made to any project that has a Phase I finding. Borrower must provide proof of successful remediation.

9. Procedure for Loan Approvals

When a loan is approved, staff will draft a memo stipulating the terms of approval and obtain the signature of the chairperson of the Loan Committee Oversight. The minutes of the Loan Committee meeting shall reflect this approval and be circulated to all members of the Loan Committee. ELF staff shall be directed to prepare and send a commitment letter with a time expiration date signed by the RLF Manager to the prospective borrower, stating the terms and conditions of the committed loan consistent with the written credit memo to the Loan Committee, and any provisions or changes recommended by the Loan Committee. It shall also state *"this commitment is based on the fact that there have been no material adverse changes in the credit condition of the borrower since statements and information has been submitted to the ELF. If any such material adverse changes have taken place, this commitment is void and not in effect."*

10. Loan Decline – Appeal Process

The Loan Committee will act upon recommendations for loan approval with the knowledge that the due diligence and underwriting on each loan has been completed, and upon reliance that the financial exhibits provided in the application are correct. Where a loan is denied by the Loan Committee, an applicant may request further review by the Loan Committee if the applicant can provide additional information that addresses the concerns of the Loan Committee about the proposed loan. However, Loan Committee decisions on loans are final and binding and cannot be appealed.

C. LOAN CLOSING AND DISBURSEMENT PROCEDURES

1. General Closing Requirements

- a. Proof of equity, such as current bank account statements showing the needed funds on deposit, will be required for all loans requiring an equity injection;
- b. If existing debt is being converted to equity, or subordinated, evidence such as a subordination agreement, the original note, the conversion agreement, corporate resolutions and copies of shares issued will be required;
- c. Where another lender is involved in the financing of a business an inter-creditor agreement setting forth the respective rights of the parties shall be required where appropriate for the protection for the ELF; and
- d. ELF operator has deemed conditions listed on commitment letter have been fulfilled.

2. Loan Closing Documentation Requirements

- a. All loans will require a promissory note and a loan agreement;
- b. All loan documents will be reviewed and approved by the NWNMCOG Attorney prior to loan closing;
- c. Sole proprietorships using a "doing business as/dba" will be required to provide copies of fictitious name filings;

- d. Partnerships will be required to provide copies of the partnership agreements and buyout agreements if applicable;
- e. Corporations will normally be required to provide copies of the Articles of Incorporation, By Laws, certificates of good standing, and corporate resolution to borrow;
- f. All loans will require a security agreement where personal property secures a loan;
- g. Perfection of collateral will require UCC-1 filings on equipment and fixtures, inventory and receivables, recording deeds of trust on real property, and certificates of title or stock registration, as appropriate;
- h. UCC searches will be performed before loan committee review to determine position. UCC searches may also be performed after loan closing and UCC filings to confirm that the desired lien position was actually obtained;
- i. Borrower will be advised if Lenders Title insurance will be required for all financed real property;
- j. Borrower will be advised if vehicle titles will be required to show the NWNMCOG as lien holder. If a third party owns the collateral, hypothecation and assignment agreements shall be required;
- k. All principals with 20% or more ownership will normally be required to provide continuing guarantees, and subordination agreements, as appropriate;
- l. Inter-creditor Agreement, if necessary, to preclude prior lien holder from increasing debt, and/or to delineate collateral and responsibilities of lenders;
- m. Prior to closing, the borrower will present the required hazard and liability insurance policies, and any other insurance coverage such as key life insurance, as required
- n. Lease assignments will be taken as appropriate; and
- o. ELF operator will provide a pre-closing memo to the file identifying any adverse changes in the financial condition of the applicant(s) collateral, and other changes if they occurred since commitment letter was returned (signed).

The Loan Agreement shall contain covenants that shall require the borrower to comply with Federal statutory and regulatory requirements that apply to activities carried out with ELF loans. The Loan Agreement shall contain a provision to protect and hold the Federal government harmless from and against all liabilities that the Government may incur as a result of providing an award to assist (directly or indirectly) in site preparation as well as the renovation or repair of any facility or site. This applies to the extent that such liabilities are incurred because of ground water, surface, soil or other conditions caused by operations of the ELF Recipient or any of its predecessors on the property. The Loan Agreement shall also include a list of the Federal requirements that apply to ELF Borrowers as provided in the U.S. Department of Commerce Economic Development Administration Revolving Loan Fund Standard Terms and Conditions.

3. Loan Disbursement Requirements

- a. The borrower will certify in the loan agreement that the funds are to be used for the purposes intended as specified in the loan application. A positive covenant shall be included in the loan agreement stating the purpose of the loan. A breach of this covenant shall be deemed an event of default and the loan may be called.

- b. Funds will not be made directly to the business or its principals but rather to 3rd party vendors. Borrower shall provide invoices and purchase orders to ELF fiscal agent who will then disburse funds to the party listed.
- c. Funds may be disbursed over 12 months. If funds are not drawn within 6 months from closing date, ELF operator will require updated financials and other docs as deemed necessary by ELF operator.

D. LOAN SERVICING PROCEDURES

1. Loan Payment and Collection Procedures

The NWNMCOG staff, including the ELF program manager, will provide borrowers with payment coupons annually and upon request, receive and deposit loan and interest payments into an interest bearing ELF bank account. Additionally, upon request, the ELF Program Manager will provide monthly reports of disbursements, receipts of interest and principal and any past due accounts. Timely notification of any payment due and not paid will be provided to borrowers.

Late fees (which will be incorporated in the body of the Promissory note) shall be 5% of the payment outstanding, compounded monthly, and begin accruing on the next calendar day after the payment is due. If the loan is past due more than 15 business days, late fees shall commence.

2. Loan Monitoring Procedures

- a. Annual financial statements (defined to include a balance sheet and profit and loss statement, compiled by an independent accountant or certified by the chief financial officer and president) and business and personal tax returns will be required on all loans. RLF staff shall monitor these and other dated requirements such as insurance renewals, and UCC renewals. If documents are not received on a timely basis as stipulated by the Loan Agreement, designated staff will be responsible for correcting the deficiency. Provisions will be made in the Loan Agreement that audited statements may be required.
- b. ELF staff will visit each borrower on an annual basis to determine whether the business is in line with its stated business plan. Each visit will be documented by memorandum and will contain a summary of the progress the business is making (or not making) from a marketing and financial perspective, as well as an assessment of the business' future. This report shall be provided to the Loan Committee.
- c. As part of the required semi-annual reports, jobs saved/created data will be compiled by ELF staff and supplied to the EDA. All jobs will be reported in full time equivalents.
- d. All other required loan documentation and special provisions will be monitored by ELF staff.

3. Late Payment Follow-up Procedures

- a. Monthly invoices/statements will be sent.
- b. If payment is more than 10 days late, 5% of the late payment will be charged as a late fee. Interest shall compound monthly.

- c. On the 11th day, ELF staff will contact the borrower promptly to determine the problem, if any exists.
- d. Late payment will be applied to fees first, then interest and principal.
- e. If, after 90 days a delinquency still exists and the loan has not been renegotiated or brought current, the loan will generally be determined to be in default and recovery of the security will commence.
- f. Any renegotiation of loan terms to remedy a default must be approved the Loan Committee.
- g. If at any time during this 90-day period, the Economic Development Manager believes that the borrower cannot or will not bring the loan current, with Loan Committee Oversight approval, ELF staff can declare the loan in default and begin recovery against collateral, if deemed appropriate.

4. Collection Procedures

The RLF staff will work to exercise all rights and privileges of a lender in order to collect the proceeds on delinquent loans. To ensure that the delinquent loan is collected in an appropriate, efficient, and timely manner, staff will:

- a. Prepare a plan of action with guidance by the Loan Committee Oversight for collecting the loan and taking action against the collateral.
- b. Make sure all required loan documentation is in order.
- c. Consult with the NWNMCOG Attorney on all default notices and collection efforts and to ensure that no laws or regulations will be violated by the collection effort and that all legally required actions are taken.
- d. Contact all other co-lenders as appropriate.
- e. List defaulted or chronically delinquent loans with credit bureaus.
- f. Notify the guarantors of the default and put them on notice that they are expected to make payment, in full, upon demand.
- g. Begin collection procedures and/or asset liquidation process.

5. Write-off Policy and Procedures

Loans with an outstanding balance that have been placed in default and remain outstanding after 180 days will generally be written off. However, collection efforts will continue until determined not to be cost effective or prospects for recovery no longer exist. A reasonable loss through defaults will be considered without establishing a loan loss reserve. All write-offs must be directed by the Loan Committee Oversight for approval by the NWNMCOG Board of Directors.

E. LOAN SERVICING PROCEDURES

A. ADMINISTRATIVE PROCEDURES

1. Procedures for Loan Files and Record Retention

All original primary loan documents will be maintained in the Loan/Collateral file, which will be stored in a secure location by the ELF Manager. This file will contain the original note(s), loan agreement, collateral perfection documents (UCC-1 filings, deeds of trust, etc.), and all other

original legal documents. All files will be filed and recorded as specified by the EDA Terms and Conditions. Each individual borrower will have a loan file consisting of two items: i) a credit file and ii) an application file.

The Credit File will contain copies of the appropriate legal documents needed to monitor the loan, as well as the original credit memo to the Loan Committee, financial statements and tax returns, credit reports, personal financial statements, a copy of the commitment letter, copies of proof of insurance, site visit memorandum, job reports, and any other correspondence relating to the relationship between the borrower and the ELF. A chronological record shall be maintained recording all significant events by date with a brief description.

The Application File shall be established to contain the original application, business plan, financials, business plan evaluation, and any other documentation provided to evaluate the application. Every effort will be made to maintain confidentiality of applicant records/applications. There will be a public file, which can be reviewed by the public with all personal protected information (eg. Social Security numbers, loan and credit card numbers redacted). A private file that will include all documentation including, but not limited to, application, credit memorandum, third party evaluations and non-confidential reports and UCC-1 filings.

Record Retention Loan files and related documents and records must be retained for the life of the loan and for a seven-year period from the date of final disposition of the loan. The NWNMCOG will maintain four different kinds of file records: 1) an application file, 2) a loan file, 3) a decline file and 4) a closed loan file. This will ensure that we have records of all decisions made by the Loan Committee for public review. The date of final disposition of the loan is defined as the date of: (a) Full payment of the principal, interest, fees, penalties, and other costs associated with the loan; or (b) Final settlement or write-off of any unpaid amounts associated with the loan.

Administrative records NWNMCOG staff or loan servicer must maintain adequate accounting records and source documentation to substantiate the amount and percent of ELF income expended for eligible ELF administrative costs and retain records of administrative costs incurred for activities and equipment relating to the operation of the ELF for five years from the date the costs were claimed.

The loan servicers must also make any retained records, even those retained for longer than the period described, available for inspection. The record retention periods, described above, are minimum periods and such prescription is not intended to limit any other record retention requirement of law or agreement.

2. Procedures for Complying with EDA Reporting Requirements

The ELF program will follow the EDA guidelines articulated in the Revolving Loan Funds Capital Utilization Standard Program. During the 'revolving phase', the Northwest New Mexico must manage their repayment and lending schedules to provide that at all times at least seventy- five (75%) percent of their ELF capital is loaned or committed.

When the percentage of loaned ELF capital falls below the application capital utilization percentage, the dollar amount of the ELF funds equivalent to the difference between the actual percentage of ELF capital loaned and the applicable capital utilization percentage is referred to as “excess funds.”

Sequestration of excess funds If the NWNMCOG RLF fails to satisfy the applicable utilization percentage requirements for two (2) consecutive reporting periods, EDA may require the NWNMCOG to deposit excess funds in an interest-bearing account. The portion of interest earned on the account holding excess funds attributable to the Federal Share of the ELF grant shall be remitted to the U.S. Treasury. The NWNMCOG must obtain EDA’s written authorization to withdraw any sequestered funds.

Persistent non-compliance The NWNMCOG, as a long standing ELF program administrator, will generally be allowed a reasonable period of time (as determined by EDA) to lend excess funds and achieve the applicable capital utilization percentage. However, if the NWNMCOG fails to achieve the applicable capital utilization percentage after a reasonable period of time, as determined by EDA, it may be subject to sanctions such as suspension or termination.

Loan Default Rates The EDA shall monitor the NWNMCOG’s loan default rate to ensure proper protection of the Federal Share of the ELF property, and request information from the NWNMCOG as necessary to determine whether it is collecting loan repayments and complying with the financial obligations under the ELF Grant.

Such information may include:

- A written analysis of the NWNMCOG’s portfolio, which shall consider the Recipient’s business plan, loan and collateral policies, loan services and collection policies and procedures, the rate of growth of the ELF Capital Base, and detailed information on any loan in default; and
- A corrective action plan subject to EDA’s approval, which shall include specific actions the ELF recipient must take to reduce the loan default rate; and
- A quarterly status report indicating the NWNMCOG’s progress on achieving the milestones outlined in the corrective action plan.

Failure to provide the information requested and to take steps to protect the Federal Share may subject the NWNMCOG to enforcement action under §307.21 and the terms and conditions of this grant. A collection account has been established and maintained in coordination with the NWNMCOG Attorney and the NWNMCOG’s Finance Department in accordance with NWNMCOG regulations governing collections.

3. Grantee Control Procedures

ELF staff shall, periodically review the loan files to determine if they are consistent, complete and correct. All accounts, books, records and loan files shall be reviewed and audited in accordance with NWNMCOG Auditor standards, and Federal Administrative and Audit Standards.

4. Plan Amendment Procedures

Any changes to this Administrative Plan must be approved by the Loan Committee and NWNMCOG Board of Directors and shall be submitted to the EDA in writing for approval consistent with requirements of the EDA.

F. MODIFICATION

The Loan Committee may from time to time recommend, and the NWNMCOG Board of Directors may approve, changes in strategy, policy, standards and procedures, so long as such changes are consistent with the statutes and regulations governing the use of EDA funds for the ELF, subject to review and approval by the EDA.

G. OTHER REQUIREMENTS

1. **Equal Opportunity.** The ELF will work through the Small Business Development Centers and the Navajo Nation Regional Business Development Offices, and through Chambers of Commerce, local economic development agencies, local governments, community and civic groups, to promote its services to minority communities, and will clearly state in any advertising and promotional material that loans will be available on a non-discriminatory basis, and that no loan will be denied on the basis of race, color, national origin, religion, age, disability, political affiliation, or sex. All applicants subject to affirmative action will be required to provide the relevant assurances and hiring plans in writing.
2. **Environmental Protection.** Each applicant will be required to comply with all federal and state statutes and regulations concerning environmental impact, and to provide any relevant mitigation plans. No loan will be approved until appropriate environmental, historical and archaeological clearances are obtained.
3. **Non-Relocation.** No loan involving a move from one labor market to another within the time period specified by EDA regulations will be approved. A certificate of non-relocation will be required from all applicants.
4. **Flood Insurance.** A site survey will be required of each loan to identify flood plain/hazard areas. Any project located in such an area will be required to have appropriate flood insurance.
5. **Nepotism.** No ELF loans will be made to any business entity if the owner of such entity or any owner of an interest in such entity is related by blood, marriage, law or business arrangement to any officer or employee of the NWNMCOG, the Loan Committee, any subcommittee, or member of the Boards of Directors of said entities or any other Board which advises, approves, recommends or otherwise participates in decisions concerning loans or the use of grant funds.

APPENDIX

Appendix I: Definitions

When used in context with the Enterprise Loan Fund (ELF) the following definitions shall apply:

Minority-owned Business – *A small business in which the majority (at least 51%) of the company is owned and controlled by a member (or collection of members) of four ethnic or racial groups: African American, Asian American, Hispanic American, and Native American.*

Small Business – *A privately owned corporation, partnership, or sole proprietorship that has fewer than 1,500 employees and a maximum of \$38.5 million in average annual receipts, according to the SBA.*

Veteran-owned Business – *A small business that is at least 51% owned and controlled by one or more service-disabled veterans. Eligible veteran must have a service-connected disability.*

Women-owned Business – *A small business that is at least 51% owned and controlled by women who are US citizens.*



Northwest New Mexico Council of Governments

Financial Statements
and
Independent Auditor's Report

June 30, 2021

Northwest New Mexico Council of Governments

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Northwest New Mexico Council of Governments

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**Northwest New Mexico
Council of Governments
Official Roster
June 30, 2021**

DIRECTORS	POSITION	MEMBER GOVERNMENT/TITLE
Billy Moore	Chair	McKinley County - County Commissioner
Glo Jean Todacheene	1st Vice-Chair	San Juan County - County Commissioner
Martha Garcia	2nd Vice-Chair	Cibola County - County Commissioner
Louie Bonaguidi	Board Treasurer	City of Gallup - Citizen Appointee
Linda Rodgers	Member	City of Farmington - City Councilor
Michael Padilla, Sr.	Member	City of Aztec - City Manager
Jason Thomas	Member	City of Bloomfield - City Engineer
Fran Palochak	Member	City of Gallup - City Councilor
Michael Shaaf	Member	City of Gallup - City Councilor
Maryann Ustick	Member	City of Gallup - City Manager
Anthony Dimas	Member	McKinley County - County Manager
Bill Lee	Member	McKinley County - County Commissioner
Tommy Nelson	Member	McKinley County - County Commissioner
Erik Garcia	Member	City of Grants - City Councilor
Modey Hicks	Member	City of Grants - Mayor
Judy Horacek	Member	Cibola County - Commissioner
Ellen Baca	Member	Village of Milan - Trustee
Administrative Officer		
Evan Williams, Executive Director & Board Secretary <i>ex officio</i>		

Independent Auditors' Report

Board of Directors
Evan Williams, Executive Director
Northwest New Mexico Council of Governments

And

Honorable Brian S. Colón, Esq., New Mexico State Auditor
Office of the State Auditor

Report on Financial Statements

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the budgetary comparison for the general fund of the Northwest New Mexico Council of Governments (the "Council"), as of and for the year ended June 30, 2021 and the related notes to the financial statements, as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

OFFICE LOCATIONS

TEXAS | Waco | Temple | Hillsboro | Houston
NEW MEXICO | Albuquerque

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the budgetary comparison for the general fund of the Council as of June 30, 2021, and the respective changes in financial position, and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 5-14 and the Public Employee Retirement Association schedules on pages 46-47 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statement that collectively comprise the Council's basic financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, and is not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated November 24, 2021, on our consideration of the Council's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Council's internal control over financial reporting and compliance.

Pattillo, Brown & Hill, LLP

Albuquerque, New Mexico

November 24, 2021

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

This section of the financial report presents a discussion and analysis of the Northwest New Mexico Council of Governments (the "Council") financial performance during the fiscal year ended June 30, 2021. Please read it in conjunction with the Council's financial statements, which follow this section.

The Management's Discussion and Analysis and the accompanying financial statements cover all activities of the Council.

Financial Highlights

- ◆ During fiscal year 2021, the Council's total net position increased by \$472,612 to \$808,259. This increase was almost completely in governmental activities, which began the year with net position of \$76,358 and ended the year with a net position of \$548,961. Net position of business-type activities increased by \$9 to \$259,298.
- ◆ Expenses of the Council's governmental activities were \$1,423,529 in fiscal year 2021, an increase of \$121,756 from fiscal year 2020 expenses, all of which was for regional community development activities. The increase is primarily attributable to increased federal grant spending related to Economic Adjustment Assistance grants from the U.S. Department of Commerce.

Overview of Financial Statements

This annual report consists of four parts:

1. Management's discussion and analysis (this section).
2. The basic financial statements.
3. Required supplementary information.
4. Compliance Section.

The basic financial statements include two kinds of statements that present different views of the Council. The first two statements, the statement of net position and the statement of activities, are Council-wide financial statements that provide both long-term and short-term information about the Council's overall financial status. The remaining statements are fund financial statements that focus on individual parts of the Council, reporting operations in more detail than the Council-wide statements. The fund financial statements include the following:

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

- Governmental fund financial statements report how the Council's regional community development activities were financed in the short-term as well as what remains for future spending.
- Proprietary fund financial statements report short and long-term financial information on the Council's Enterprise Loan Fund enterprise.

The financial statements also include notes that explain some of the information in the financial statements and provide more detail. The statements are followed by a section of required supplementary information that provides schedules for the Council's participation in the Public Employees Retirement Association (PERA).

Council-wide Statements

The Council-wide financial statements are designed to provide readers with a broad overview of the Council's finances, in a manner similar to a private-sector business.

The statement of net position presents information on all of the Council's assets and liabilities, with the difference between the two reported as net position. Over time, increases or decreases in net position serves as a useful indicator of whether the financial position of the Council is improving or deteriorating.

The statement of activities presents information showing how the Council's net position changed during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods (e.g., accrued vacation leave).

In the statement of net position and the statement of activities, we divide the Council into two kinds of activities:

- *Governmental Activities* – The Council's regional community development activities are reported here. These activities are financed primarily through federal, state and local grants, and membership dues.
- *Business-Type Activities* – The Council's Enterprise Loan Fund operation is reported here.

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

Fund Financial Statements

The fund financial statements provide detailed information about the most significant funds, not the Council as a whole. Separate funds are established by the Council to help control and manage revenues and expenditures for particular purposes or to show that it is meeting legal responsibilities for using certain grants and other money. The two fund types, governmental and proprietary, use different accounting approaches:

- *Governmental Funds* – Most of the Council's services are reported in governmental funds. Governmental funds focus on how cash and other financial assets flow in and out, and the balances left at year-end are available for spending. Consequently, the governmental funds provide a detailed short-term view that helps you determine whether there are more or fewer financial resources that can be spent in the near future to finance the Council's programs. Because this information does not encompass the additional long-term focus of the Council-wide statements, we provide additional information at the bottom of the governmental funds balance sheet, or on a subsequent page, that explains the relationship (or differences) between the amounts reported in the Council-wide statements (statement of net position and the statement of activities) and the governmental funds statements.
- *Proprietary Funds* – When the Council charges customers for the services it provides, these services are generally reported in proprietary funds, which are reported the same way that all activities are reported in the statement of net position and the statement of activities. In fact, the proprietary funds present the same information as the business-type activities reported in the Council-wide statements but provide more detail and additional information, such as a statement of cash flows.

**Northwest New Mexico
Council of Governments**
Management's Discussion and Analysis
For the Year Ended June 30, 2021

Financial Analysis of the Council as a Whole

Net position. As of June 30, 2020, the Council's total net position increased by \$472,612. Table 1 summarizes the Council's net position of its governmental and business-type activities.

**Table 1
The Council's Net Position
As of June 30,**

	Governmental Activities		Business-Type Activities		Totals	
	<u>2021</u>	<u>2020</u>	<u>2021</u>	<u>2020</u>	<u>2021</u>	<u>2020</u>
Current and other assets	\$ 861,294	\$ 558,936	\$ 259,298	\$ 259,289	\$ 1,120,592	\$ 818,225
Capital assets	<u>546,684</u>	<u>432,906</u>	<u>-</u>	<u>-</u>	<u>546,684</u>	<u>432,906</u>
Total assets	<u>1,407,978</u>	<u>991,842</u>	<u>259,298</u>	<u>259,289</u>	<u>1,667,276</u>	<u>1,251,131</u>
Deferred outflows - pension related	<u>216,871</u>	<u>147,082</u>	<u>-</u>	<u>-</u>	<u>216,871</u>	<u>147,082</u>
Current and other liabilities	342,598	389,418	-	-	342,598	389,418
Long-term liabilities	<u>686,565</u>	<u>572,849</u>	<u>-</u>	<u>-</u>	<u>686,565</u>	<u>572,849</u>
Total liabilities	<u>1,029,163</u>	<u>962,267</u>	<u>-</u>	<u>-</u>	<u>1,029,163</u>	<u>962,267</u>
Deferred inflows - pension related	<u>46,725</u>	<u>100,299</u>	<u>-</u>	<u>-</u>	<u>46,725</u>	<u>100,299</u>
Net position						
Net investment in capital assets	546,684	432,906	-	-	546,684	432,906
Restricted	-	-	259,298	259,289	259,298	259,289
Unrestricted (deficit)	<u>2,277</u>	<u>(356,548)</u>	<u>-</u>	<u>-</u>	<u>2,277</u>	<u>(356,548)</u>
Total net position	<u>\$ 548,961</u>	<u>\$ 76,358</u>	<u>\$ 259,298</u>	<u>\$ 259,289</u>	<u>\$ 808,259</u>	<u>\$ 335,647</u>

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

Change in Net Position. Total revenues were \$1,896,132 and \$1,350,209 for 2021 and 2020 compared with expenses of \$1,423,529 and \$1,301,773, respectively. The increase in revenues is primarily attributable to a one-time contribution of \$250,000 received from the Tri-State Generation & Transmission Association and increased profits from service contracts. Total expenses increased compared to 2020 and is largely attributable to increased U.S Department of Commerce grant award expenditures. Table 2 summarizes the Council's change in net position for its governmental and business-type activities:

**Table 2
Changes in the Council's Net Position
For the Years Ended June 30,**

	Governmental Activities		Business-Type Activities		Totals	
	<u>2021</u>	<u>2020</u>	<u>2021</u>	<u>2020</u>	<u>2021</u>	<u>2020</u>
Revenues						
Program revenues						
Operating grants and contributions	\$ 1,326,801	\$ 551,024	\$ -	\$ -	\$ 1,326,801	\$ 551,024
Capital grants and contributions	138,928	105,623	-	-	138,928	105,623
Charges for services	146,000	433,639	-	-	146,000	433,639
General revenues						
Membership dues	224,500	234,500	-	-	224,500	234,500
Donation of capital assets	-	-	-	-	-	-
Gain on sale of capital assets	-	-	-	-	-	-
Miscellaneous income	55,197	25,423	9	10	55,206	25,433
Contributed capital asset	4,706	-	-	-	4,706	-
Total revenues	<u>1,896,132</u>	<u>1,350,209</u>	<u>9</u>	<u>10</u>	<u>1,896,141</u>	<u>1,350,219</u>
Expenses						
Regional community development	1,423,529	1,301,773	-	-	1,423,529	1,301,773
Enterprise loan fund	-	-	-	-	-	-
Total expenses	<u>1,423,529</u>	<u>1,301,773</u>	<u>-</u>	<u>-</u>	<u>1,423,529</u>	<u>1,301,773</u>
Change in net position	472,603	48,436	9	10	472,612	48,446
Net position, beginning of year	<u>76,358</u>	<u>27,922</u>	<u>259,289</u>	<u>259,279</u>	<u>335,647</u>	<u>287,201</u>
Net position, end of year	<u>\$ 548,961</u>	<u>\$ 76,358</u>	<u>\$ 259,298</u>	<u>\$ 259,289</u>	<u>\$ 808,259</u>	<u>\$ 335,647</u>

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

Governmental activities

Spending for governmental activities was \$1,423,529 and \$1,301,773 for 2021 and 2020, respectively, which was offset by approximately \$1,896,132 and \$1,350,209 in revenues primarily from grants and contracts and membership dues. Increases in revenues and expenses are primarily due to an increase in U.S. Department of Commerce Economic Adjustment Assistance grant awards and expenditures. Revenues were also impacted by a one-time contribution of \$250,000 received from the Tri-State Generation & Transmission Association.

Business-type activities

Activity in the Council's Revolving Loan Fund was limited to \$9 and \$10 of interest income for the years ended June 30, 2020, and 2019.

Financial Analysis of the Council's Funds

The general fund is the chief operating fund of the Council. During the year ended June 30, 2021, the general fund's fund balance increased \$349,499 to \$526,873. During the year ended June 30, 2020, the general fund's fund balance increased \$35,445 to \$177,374.

All of the Council's proprietary fund net position is restricted for economic development activities. Net position of the proprietary fund increased by \$9 to \$259,298 at June 30, 2021.

General Fund Budgetary Highlights

General fund actual revenues exceeded budgeted revenues by \$198,846 while general fund expenditures were under budgeted expenditures by \$22,736.

Capital Assets

Governmental activities had net capital assets of \$546,684 and \$432,906 as of June 30, 2021 and 2020, respectively. Capital asset additions during 2021 consisted primarily of roof repairs. Capital asset additions during 2020 included roof repairs and telephone and internet communication equipment improvements. Depreciation expense totaled \$25,150 and \$19,089 for the years ended June 30, 2021 and 2020, respectively.

Additional details for capital assets can be found in the notes to the financial statements.

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

Economic Factors and Next Year's Activities

The Council's budget continues to reflect the overall economy of local communities, the region, State and nation, as well as public funds available from each level. As federal funding becomes less available, and as budgets are stretched for states, municipalities, counties and tribes, entities such as the Council have also struggled to re-shape budget resources while continuing their robust relevance and presence in the work of supporting local and regional economies and quality of life.

Public funding for the Council has been somewhat stable, and members sometimes tap other resources to subcontract with the Council for specialized services. Among those services, New Mexico Executive Order 2013-006 imposed restrictions on all units of government with problematic financial reporting or audit issues. To allow for the continued flow of appropriated funds to those entities, the Governor's office permitted the use of "alternative fiscal agents" to provide the necessary oversight on the local expenditure of State appropriations. The State authorized the Council to serve as an alternative fiscal agent for these purposes and to charge a fee. The Northwest Council entered into alternative fiscal agency agreements with several such entities, covering many different appropriated projects. Upon project completion and drawdown of funds, the Council will be reimbursed per a percentage management fee. These revenues may assist the Council with cash flow and reserve capacity.

Operational budget continues to be relatively stable. Other factors are outlined below:

- ◆ Membership: Membership dues are estimated to be slowly decreasing in the coming couple of years mainly due to COVID-19 and shrinking local tax collections to those realized in fiscal year 2019 and moreover are still about 20% below pre-recession levels. Local governmental members have experienced increasing budget stress as a consequence of ongoing decreases in state and federal funding, uncertainties in local gross receipt tax revenue, projected decreases resulting from the progressive phasing out of the "hold harmless" compensations to local governments related to elimination of the food tax, COVID-19 impacts, and volatility in the region's traditional industries. The COG has engaged its Executive Committee and Board of Directors on a proposal to raise wages linked to inflation and one-time increases over several years to re-staff a San Juan County office.

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

◆ US Economic Development Administration (EDA):

- Planning and Technical Assistance Grant: Planning and technical assistance funding for the annual "Partnership Planning Program" grant to "Economic Development Districts" from EDA (U.S. Department of Commerce), administered under a renewed three-year grant agreement for Calendar Years 2019 through 2021, received an increase in Fall 2019, adding \$20,000 to the original \$180,000 three-year agreement and resulting in adjusted annual budgets of about \$70,000 for each of the Federal fiscal years beginning October 1, 2016. The Council matches the federal funds at the rate of 25% of grant funds (which computes to 20% of "total project cost") for this economic development function. Annual renewal is assumed within the agreement, but may be subject to certain factors, including (a) Congressional reauthorization of and appropriations to the EDA and (b) EDA compliance and peer reviews. The Council continued service on the Peer Review Committee of the Southwest Region Executive Directors Association (SWREDA), which carried out EDA's mandated peer review process involving economic development districts in the five Southwestern states under contract with the EDA Austin Regional Office; this Council completed a formal Peer Review in July 2018 at the SWREDA annual conference.
- The Council closed a multi-year grant awarded by EDA under the inter-agency "POWER" initiative, tapping resources reflecting the government's commitment to coal-impacted regions. Two-thirds of this funding was committed to an outside contract for performance of a regional economic assessment related to the coal industry, and the balance of grant funds were sufficient to support senior-level staffing of the project up through Summer 2017, and thereafter to support the major regional Forum held in Farmington in November 2017. This special program was closed thereafter.
- Assistance to Coal Communities Grant #1: In September 2019, the Council was awarded a \$988,400 grant to develop master plans and design documents for the Prewitt and Milan Industrial Parks, respectively, and develop and implement a pilot workforce program. This funding was matched by \$540,000 in State investment. The majority of this grant was provided to a contractor but did allow the Council to restore a part-time Regional Economic Development Manager position that went unfunded since the POWER initiative discussed above.

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

- Assistance to Coal Communities Grant #2: In September 2020, the Council was awarded a \$988,400 grant to develop final design for the Milan Industrial Park, aid the Four Corners Economic Development, Inc. economic development efforts, and develop a master plan for Fort Wingate. The matching fund required was waived as COG and its members did not have the financial ability to raise funds. The majority of this grant was provided to a contractor but did allow the Council to continue its a part-time Regional Economic Development Manager position.
- CARES Act Recovery Grant: The Council is in the second year ending June 30, 2022 set of a grant providing \$400,000 in non-competitive EDA-CARES Act funds to provide and implement a COVID-19 Recovery Plan for the region.
- ◆ State Grant-in-Aid: Annual appropriations from the State Legislature, administered by the Local Government Division and identified as grant-in-aid funding for regional planning councils were subjected to a series of budget cuts administered “across the board” in State government, reducing annual SGIA budgets by over 10% from January 2016-2019, now stabilized at \$99,000.
- ◆ New Mexico Department of Transportation Regional Transportation Planning (NMDOT):
 - The Council's annual contract from the NMDOT for management of the Northwest Regional Transportation Planning Organization (RTPO) is administered under two-year agreements. Annual funding for this agreement continued at \$85,000 for Federal Fiscal Year 2021 (October 2020 – September 2021 funding cycle), with the requirement of a 25% match (or 20% of total project).

Future funding is subject to numerous federal and state budget processes, and managerial demands and requirements have increased in the past two years, resulting in numerous adjustments in the documentation of services and expenses for the program.

The Council received and is implementing a special planning fund grant from NMDOT for the Prewitt-Milan Transportation Master Plan (TMP) at \$120,000 with a \$30,000 match required coming from a private donation. This plan will guide transportation network development to support economic projects in the bi-county region.
- ◆ Private Contracts: On January 8, 2021, the Council was presented a private donation of \$250,000 for economic development related to the closure of the Tri-State Prewitt Escalante Generating Station. The Executive Committee has asked for a plan to utilize funding for required grant matches and other needed purposes.

**Northwest New Mexico
Council of Governments
Management's Discussion and Analysis
For the Year Ended June 30, 2021**

- ◆ Local Grants and Contracts: Additional subcontracts have been entered into with local governments for special projects related to local and regional infrastructure, with new opportunities shaping up for fiscal year 2021.

Initiatives to grow the budget in fiscal year 2021 will continue to be pursued, e.g.:

- The Council is requesting guidance from the Board and its Executive Committee on strategies and direction on adding services, increasing memberships, or both.
- The Council lead an application process for EDA's Build Back Better that would have the COG serve as the lead agency on a substantial grant with funding for staffing.
- The Council has engaged the New Mexico Legislature's Rural Economic Opportunities Task Force to formulate a rural development strategy that would bring additional multi-year funding and positions dedicated to that work including the Federal Infrastructure Bill.
- Contracts with local governments for legislative appropriations and planning grants under the Community Development Block Grant program administered by the State Department of Finance and Administration (DFA) under annual funding from the U.S. Department of Housing and Urban Development.
- Specialized and supplemental technical assistance to local governments and other public entities in the field of planning, organizational development and funding.
- Continued research into funding opportunities for the Council.

Continued management of short-term grants and contracts, which can serve as an implementation avenue for various initiatives while at the same time diversifying funding into the Council and building short and long-term reserves.

Request for Information

This financial report is designed to provide a general overview of the Council's finances and demonstrate its accountability for funds received. If you have questions about this report or need additional financial information, contact the Council at the following address:

Northwest New Mexico Council of Governments
106 West Aztec Ave
Gallup, NM 87301
(505) 722-4327

Council-Wide Financial Statements

**Northwest New Mexico
Council of Governments**
Statement of Net Position
June 30, 2021

	Primary Government		
	Governmental Activities	Business-type Activities	Total
Assets			
Current assets			
Cash and cash equivalents	\$ 134,132	\$ -	\$ 134,132
Cash restricted for loan programs	-	259,298	259,298
Grants receivable, net	727,162	-	727,162
Total current assets	861,294	259,298	1,120,592
Noncurrent assets			
Nondepreciable	73,244	-	73,244
Depreciable, net of accumulated depreciation	473,440	-	473,440
Total noncurrent assets	546,684	-	546,684
Total assets	1,407,978	259,298	1,667,276
Deferred Outflows - Pension Related	216,871	-	216,871
Liabilities			
Current liabilities			
Accounts payable	217,334	-	217,334
Accrued payroll liabilities	15,075	-	15,075
Unearned grant revenue	102,012	-	102,012
Compensated absences, current portion	8,177	-	8,177
Total current liabilities	342,598	-	342,598
Noncurrent liabilities			
Net pension liability	663,291	-	663,291
Compensated absences, net of current portion	23,274	-	23,274
Total noncurrent liabilities	686,565	-	686,565
Total liabilities	1,029,163	-	1,029,163
Deferred Inflows - Pension Related	46,725	-	46,725
Net Position			
Net investment in capital assets	546,684	-	546,684
Restricted for loan programs	-	259,298	259,298
Unrestricted	2,277	-	2,277
Total net position	\$ 548,961	\$ 259,298	\$ 808,259

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments
Statement of Activities
For the Year Ended June 30, 2021**

	Program Revenues				Net (Expense) Revenue and Changes in Net Position		
	Expenses	Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions	Governmental Activities	Business-type Activities	Total
Functions/Programs:							
Governmental activities							
Regional community development	\$ 1,423,529	\$ 146,000	\$ 1,326,801	\$ 138,928	\$ 188,200		\$ 188,200
Total governmental activities	<u>1,423,529</u>	<u>146,000</u>	<u>1,326,801</u>	<u>138,928</u>	<u>188,200</u>		<u>188,200</u>
Business-type activities							
Enterprise loan fund	-	-	-	-	-	-	-
Total business-type activities	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 1,423,529</u>	<u>\$ 146,000</u>	<u>\$ 1,326,801</u>	<u>\$ 138,928</u>	<u>188,200</u>	<u>-</u>	<u>188,200</u>
General revenues:							
Membership dues					224,500	-	224,500
Miscellaneous income					55,197	9	55,206
Contributed capital asset					<u>4,706</u>	<u>-</u>	<u>4,706</u>
Total general revenues					<u>284,403</u>	<u>9</u>	<u>284,412</u>
Changes in net position					472,603	9	472,612
Net position, beginning of year					<u>76,358</u>	<u>259,289</u>	<u>335,647</u>
Net position, end of year					<u>\$ 548,961</u>	<u>\$ 259,298</u>	<u>\$ 808,259</u>

The accompanying notes are an integral part of these financial statements.

Fund Financial Statements

**Northwest New Mexico
Council of Governments**

**Balance Sheet
Governmental Fund
June 30, 2021**

	General Fund
<hr/>	
Assets	
Cash and cash equivalents	\$ 134,132
Grants receivable	727,162
Total assets	<u>\$ 861,294</u>
 Liabilities and Fund Balance	
Liabilities	
Accounts payable	\$ 217,334
Accrued payroll and related liabilities	15,075
Unearned grant revenue	102,012
Total liabilities	<u>334,421</u>
Fund Balance	
Unassigned	526,873
Total fund balance	<u>526,873</u>
Total liabilities and fund balance	<u>\$ 861,294</u>

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
**Reconciliation of the Governmental Fund Balance Sheet
to the Statement of Net Position
June 30, 2021**

Fund Balance - Governmental Fund	\$ 526,873
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Amounts reported for governmental activities in the statement of net position are different because:

Capital assets used in government activities are not financial resources and, therefore, are not reported in the funds	546,684
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Some liabilities, listed below, are not due and payable in the current period and, therefore, are not reported in the funds

Compensated absences	(31,451)
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Defined benefit pension plan deferred outflows are not financial resources and, therefore, are not reported in the funds	216,871
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Defined benefit pension plan deferred inflows are not financial resources and, therefore, are not reported in the funds	(46,725)
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The net pension liability is not due and payable in the current period and, therefore, is not reported in the funds	<u>(663,291)</u>
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Net Position - Governmental Activities	<u><u>\$ 548,961</u></u>
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The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
Statement of Revenues, Expenditures and Changes in Fund Balance
Governmental Fund
For the Year Ended June 30, 2021

	General Fund
Revenues	
Membership dues	\$ 224,500
State and local grants and contributions	446,802
Federal grants and contracts	1,018,927
Project management and fiscal agent fees	146,000
Miscellaneous income	55,197
Total revenue	<u>1,891,426</u>
Expenditures	
Current	
Regional community development	1,407,705
Capital outlay	134,222
Total expenditures	<u>1,541,927</u>
Net change in fund balance	<u>349,499</u>
Fund balance, beginning of year	<u>177,374</u>
Fund balance, end of year	<u><u>\$ 526,873</u></u>

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
**Reconciliation of the Statement of Revenues, Expenditures and
Changes in Fund Balance to the Statement of Activities
For the Year Ended June 30, 2021**

Net change in fund balance - governmental fund \$ 349,499

Amounts reported for governmental activities in the statement of activities
are different because:

Capital outlays are reported in governmental funds as expenditures.
However, in the statement of activities, the cost of those assets are
allocated over their estimated useful lives and reported as depreciation
expense.

Capital outlay	134,222	
Contributed capital asset	4,706	
Depreciation expense	<u>(25,150)</u>	113,778

Expenses reported in the statement of activities that do not require the
use of current financial resources are not reported as expenditures in
governmental funds:

Current year increase in compensated absences	(1,236)
---	---------

Changes in deferred outflows of resources-pension related, deferred
inflows of resources-pension related, and the net pension liability

	<u>10,562</u>
Change in net position - governmental activities	<u><u>\$ 472,603</u></u>

**Northwest New Mexico
Council of Governments**
Statement of Net Position
Proprietary Fund
June 30, 2021

	Enterprise Loan Fund
Assets	
Cash and cash equivalents	\$ 259,298
Total assets	<u>259,298</u>
Net Position	
Restricted for loan programs	<u>259,298</u>
Total net position	<u><u>\$ 259,298</u></u>

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
**Statement of Revenues, Expenses and Changes in Fund Net Position -
Proprietary Fund**
For the Year Ended June 30, 2021

	Enterprise Loan Fund
Operating Revenues	
Interest income	\$ 9
Total operating revenues	<u>9</u>
Operating income	9
Net position, beginning of year	<u>259,289</u>
Net position, end of year	<u><u>\$ 259,298</u></u>

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
Statement of Cash Flows
Proprietary Fund
For the Year Ended June 30, 2021

	Enterprise Loan Fund
	<hr/>
Cash flows from operating activities	
Interest income received	\$ 9
Net cash provided by operating activities	<hr/> 9
Net increase in cash and cash equivalents	9
Cash and cash equivalents, beginning of year	<hr/> 259,289
Cash and cash equivalents, end of year	<hr/> \$ 259,298 <hr/>
 Reconciliation of operating income to net cash provided by operating activities	
Operating income	\$ 9
Adjustments to reconcile operating income to net cash provided by operating activities	<hr/> -
Total adjustments	<hr/> -
Net cash provided by operating activities	<hr/> \$ 9 <hr/>

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
Statement of Revenues, Expenditures and Changes in Fund Balance
Budget and Actual – General Fund
For the Year Ended June 30, 2021

	Budgeted Amounts		Actual	Variance with Final Budget Positive (Negative)
	Original	Final		
Revenues				
Grants and contracts	\$ 1,101,321	\$ 1,426,080	\$ 1,465,729	\$ 39,649
Membership dues	224,500	224,500	224,500	-
Project management and fiscal agent fees	-	-	146,000	146,000
Miscellaneous income	39,000	42,000	55,197	13,197
Total revenues	<u>1,364,821</u>	<u>1,692,580</u>	<u>1,891,426</u>	<u>198,846</u>
Expenditures				
Current				
Regional community development	1,213,391	1,430,441	1,407,705	22,736
Capital outlay	<u>129,391</u>	<u>134,222</u>	<u>134,222</u>	<u>-</u>
Total expenditures	<u>1,342,782</u>	<u>1,564,663</u>	<u>1,541,927</u>	<u>22,736</u>
Net change in fund balance	22,039	127,917	349,499	(221,582)
Fund balance, beginning of year	<u>177,374</u>	<u>177,374</u>	<u>177,374</u>	
Fund balance, end of year	<u>\$ 199,413</u>	<u>\$ 305,291</u>	<u>\$ 526,873</u>	

The accompanying notes are an integral part of these financial statements.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies

Reporting Entity

The Northwest New Mexico Council of Governments (the “Council”) was established in 1968 pursuant to New Mexico State Law and Executive Order to serve as State Planning District I, initially serving local governments in McKinley County and subsequently adding local governments in Cibola and San Juan Counties. For the fiscal year ended June 30, 2021, the Council was composed of ten units of local government, including:

- Cibola, McKinley and San Juan Counties,
- The incorporated communities of Gallup, Grants, Farmington, Milan, Aztec and Bloomfield, and
- Northwest New Mexico Regional Solid Waste Authority.

Funding comes from each member government, as well as many public and private funding sources.

The basic activities are as follows:

- Obtain grants, contracts and other sources of funds for local governments and various agencies and entities;
- Disseminate information regarding federal programs and the establishment of new programs;
- Provide technical assistance to members in the developing, financing and implementing of local programs and projects;
- Serve as a liaison and facilitator of communications between and among local members and other public and private agencies;
- Sponsor workshops, seminars and forums on local and regional issues, as well as on public and private programs available for members;
- Develop regional plans and initiatives for addressing local and regional needs, such as in the areas of water, community development, housing transportation, energy and economic development; and
- Serve as a Federally designated economic development district.

The Council is the primary government and is not a component unit of any other entity. It is the financial reporting entity, and it did not have any component units during the fiscal year ended June 30, 2021.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

Accounting Standards

The financial statements of the Council conform with generally accepted accounting principles (GAAP) applicable to state and local governments. The Governmental Accounting Standards Board (GASB) is the standard-setting body for governmental accounting and financial reporting. GASB statements and interpretations constitute GAAP for governments and their enterprises.

Basis of Presentation – Fund Accounting

The accounting system is organized and operated on a fund basis. A “fund” is defined as a fiscal and accounting entity with a self-balancing set of accounts, which are segregated for the purpose of carrying out specific activities or attaining certain objectives in accordance with special regulations, restrictions or limitations.

Basis of Accounting

Council-wide Financial Statements

The Council-wide financial statements (i.e., the Statement of Net Position and the Statement of Activities) are prepared using the economic resources measurement focus and the accrual basis of accounting. This is the same approach used in preparation of proprietary fund financial statements but differs from the manner in which governmental fund financial statements are prepared. Therefore, governmental fund financial statements include reconciliations with brief explanations to better identify the relationship between the Council-wide statements and the governmental fund statements. The Council-wide focus is more on the sustainability of the Council as an entity and the change in aggregate financial position resulting from the activities of the period. Neither fiduciary funds nor component units that are fiduciary in nature are included in the Council-wide financial statements.

Net Position

Components of net position include the following:

- Net investment in capital assets — represents the cost of capital assets netted with accumulated depreciation and the outstanding balance of any debt, excluding unspent proceeds, that is directly attributable to the acquisition, construction or improvement of these capital assets
- Restricted net position — when constraints placed on the use of net position is either externally imposed by law through constitutional provisions or enabling legislation. Legal enforceability means that a government can be compelled by an external party – such as citizens, public interest groups, or the judiciary – to use resources created by enabling legislation only for the purposes specified by the legislation.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

- Unrestricted net position — represents all other net position that does not meet the definition of “restricted” or “net investment in capital assets.”

Fund Financial Statements

The fund financial statements provide information about the Council’s funds. Separate statements for each fund category – governmental and proprietary – are presented. The emphasis of fund financial statements is on major governmental and enterprise funds, with each displayed in a separate column. All remaining governmental and enterprise funds are aggregated and reported as nonmajor funds. The Council’s sole governmental fund is its general fund.

The Council’s only enterprise fund is as follows:

- *Enterprise Loan Fund* – makes loans to new and growing businesses through “gap” or “bridge” financing. It is the intent of this fund to fill the gap in financing, by partnering with a bank or other lending agency.

The Council-wide and proprietary fund financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Under this method, revenues are recorded when earned and expenses are recorded when liabilities are incurred, regardless of when the related cash flow takes place.

Proprietary fund *operating* revenues result from providing services and producing and delivering goods in connection with a proprietary fund’s principal ongoing operations. *Operating* expenses of proprietary funds include the cost of services and administrative expenses. All revenues and expenses not meeting these definitions are reported as *nonoperating* revenues and expenses.

The governmental fund statements are presented on the current financial resources measurement focus and the modified accrual basis of accounting. Under this basis, revenues are recognized in the accounting period in which they become measurable and available. Expenditures are recognized in the accounting period in which the fund liability is incurred, if measurable. This presentation is deemed appropriate to (a) demonstrate legal compliance, (b) demonstrate the source and use of liquid resources, and (c) demonstrate how the Council’s actual experience conforms to the budget plan.

Unearned Grant Revenue

Unearned grant revenue balances represent an excess of cash received (advances) over expenditures. These balances are caused by differences in the timing of cash advances and expenditures and will reverse in the remaining grant period.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

Revenue Recognition

In applying the “susceptible to accrual concept” under the modified accrual basis, revenue is recognized when it is deemed both measurable and available (i.e., collectible within the current year or within 60 days of year-end, and available to pay obligations of the current period). Reimbursements from state and federally funded projects are accrued as revenue at the time the expenditures are made. If funds are received in advance, the revenue is deferred until expenditures are made.

Program Revenues

Program revenues are made up of fees received from members of the Council and grants and contracts that have been used to meet the operational or capital requirements of a particular program.

Expenditure Recognition

The measurement focus of governmental fund accounting is based on decreases in net financial resources (expenditures) rather than expenses. Most expenditures are measurable and are recorded when the related fund liability is incurred. However, principal and interest on general long-term debt, as well as other long-term liabilities (e.g. accrued leave) are recognized when paid. Expenditures charged to federal programs are recorded utilizing the cost principles prescribed by the various funding sources.

Fund Balance Classifications

In February 2009, the GASB issued Statement No. 54, *Fund Balance Reporting and Governmental Fund Type Definitions* (GASB 54). This statement enhances the usefulness of fund balance information by providing clearer fund balance classifications that can be more consistently applied. GASB 54 also clarifies governmental fund type definitions.

GASB 54 fund balance classifications include:

- *Nonspendable* — amounts cannot be spent because they are either (1) not in spendable form or (2) are legally or contractually required to be maintained intact;
- *Restricted* — amounts constrained to specific purposes that are externally imposed or imposed by law through constitutional provisions or enabling legislation;
- *Committed* — amounts can only be used for specific purposes pursuant to constraints imposed by formal action of the highest level of decision-making authority (Board of Directors);
- *Assigned* — constrained by the Council’s intent to be used for a specific purpose(s);
- *Unassigned* — the residual classification for remaining amounts after all other classifications have been considered.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

Capital Assets and Depreciation

The Council capitalizes expenditures over \$5,000 according to 12-6-10 NMSA 1978. Capital assets are valued at historical cost or estimated historical cost if actual historical cost is not available. Donated capital assets are valued at their estimated fair market value on the date they are donated, and when construction is financed, interest during construction is capitalized.

Although depreciation is not funded, it must be considered in order to properly reflect the results of operations and the replacement of the equipment and furniture. Depreciation of capital assets is computed and recorded by the straight-line method. Estimated useful lives of the various classes of depreciable capital assets are as follows:

<u>Assets</u>	<u>Years</u>
Building	30
Leasehold improvements	Life of the lease
Furniture and fixtures	5-10
Software	3-5
Vehicles	5

Pensions

For purposes of measuring the net pension liability, deferred outflows of resources and deferred inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the Public Employees Retirement Association (PERA) and additions to/deductions from PERA's fiduciary net position have been determined on the same basis as they are reported by PERA. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are reported at fair value.

Budgets

An annual operating budget is prepared by Council staff for the general and proprietary fund after the New Mexico Department of Finance and Administration (DFA) notifies the Council's Board of Directors of the amount of state grants-in-aid available to be allocated. The Council must obtain non-federal matching funds or services, or both, from local governments and private sources at least equal to the amount of the state grant-in-aid. The governing board of the Council certifies that the budget adopted is consistent with the expenditure of state and local funds under the Planning District Act.

Northwest New Mexico
Council of Governments
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

The adopted budget is then presented to and certified by DFA with respect to the budget and work program under the State Grant in Aid funding. The Council's Board of Directors exercises budgetary control at the fund level for capital outlay and all other operations. Subsequent budget adjustments must be approved by the governing board of the Council but are not subject to approval by the Department of Finance and Administration. The president or treasurer of the Council's Board of Directors certifies from time to time that the matching funds from local or private sources are on deposit in the Council's own account before quarterly payment of state grant-in-aid is made.

Any state funds unexpended on June 30th each year revert to the state's General Fund and, if any state funds have been used for any purpose not within the purposes of the Planning District Act, the amount shall be reimbursed to the state. The Council has received no funds from the state's General Fund.

The Council records and maintains the general fund budget on the modified accrual basis, and therefore, a reconciliation of the budget financial statements to the fund financial statements is not necessary.

Spending Policy

When an expenditure/expense is incurred for purposes for which both restricted and unrestricted resources are available, it is the Council's policy to use restricted resources first. When expenditures/expenses are incurred for purposes for which unrestricted (committed, assigned, and unassigned) resources are available, and amounts in any of these unrestricted classifications could be used, it is the Council's policy to spend committed resources first.

Indirect Cost

The Council does not have a negotiated Indirect Cost Rate with federal grantors. Expenditures not directly attributable to specific programs are allocated monthly among all funds based upon the budgeted expenditures for each fund as a percentage of total budgeted expenditures.

Interfund Receivable and Payables – Due To/Due From

During the course of operations, numerous transactions occur between individual funds that may result in amounts owed between funds, and those related to goods and services type transactions are classified as "due to and from other funds." Short-term interfund loans are reported as "interfund receivables and payables," and these amounts are presented at the net amounts for each fund. Interfund receivables and payables between funds within governmental activities are eliminated in the Statement of Net Position.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

1) Summary of Significant Accounting Policies – continued

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Deferred Outflows/Inflows of Resources

In addition to assets, the Council will sometimes report deferred outflows of resources. This separate financial statement element, *deferred outflows of resources*, represents a consumption of net position that applies to future period(s) and so will not be recognized as an outflow of resources (expense/expenditures) until then. Also, in addition to liabilities, the Council will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, *deferred inflows of resources*, represents an acquisition of net position that applies to a future period(s) and so will not be recognized as an inflow of resources (revenue) until that time. At June 30, 2021, the Council reported deferred outflows of resources of \$216,871 and deferred inflows of resources of \$46,725, both related to the Council's participation in PERA.

Cash and Cash Equivalents

Cash and cash equivalents consist of short-term highly liquid investments, which are readily convertible to cash within ninety (90) days of purchase.

2) **Key Programs of the Council**

The General Fund is the general operating fund of the Council and is used to account for all financial resources except those required to be accounted for in another fund. The General Fund is always considered a major fund.

The key programs of the Council are organized by "funds" (also referred to administratively as "programs" or "projects", or alternatively as "departments" by the Council's accounting system), each of which is considered a separate accounting entity for internal record-keeping purposes. The operations of each fund (program) are accounted for with a unique set of self-balancing accounts that comprise its assets, liabilities, fund balances, revenues and expenditures.

Special revenue funds are used to account for the proceeds from specific revenues sources that are legally restricted to expenditures for specified purposes. The Board of Directors authorizes the establishment of special revenue funds. The Council did not have any special revenue funds during fiscal year 2021.

**Northwest New Mexico
Council of Governments
Notes to the Financial Statements
June 30, 2021**

2) Key Programs of the Council – continued

The following are the grant and contract programs included in the General Fund during fiscal year 2021:

Federal Grants and Contracts

EDA/Planning and Technical Assistance — A multi-year grant from the Economic Development Administration (EDA) of the U.S. Department of Commerce to establish and maintain a Comprehensive Economic Development Strategy (CEDS) process, including: economic demographics work; coordinating economic development planning with other economic development entities; notifying the EDA Austin Regional Office of any plant closures or significant downsizing disaster designations, base realignments or closures, or any other sudden and severe economic dislocation within the district; providing staff support to develop and monitor projects that will increase economic opportunities within the district; and providing technical assistance as appropriate to member agencies.

State Grants and Contracts

NMLGD/State Grant-in-Aid — The Council utilizes State-appropriated Grant-in-Aid funds, administered by the Local Government Division of the New Mexico Department of Finance and Administration, in combination with other funds, to support planning and technical assistance activities in program management, project development and technical assistance in Grantsmanship, Community Development Block Grants (CDBG) for local members, local Infrastructure Capital Improvement Plans (ICIP), legislative relations, infrastructure capital outlay, rural community development, water planning, and general regional planning.

NMDOT/Regional Transportation Planning Organization (RTPO) — A multi-year grant from the New Mexico Department of Transportation (NMDOT), renewed annually and funded in part by Federal appropriations to New Mexico by the Federal Highway Administration, to provide staffing services in support of regional transportation planning under the auspices of the Northwest Regional Transportation Planning Organization (NWRTPO), including coordination and staffing of the NWRTPO Committee comprised of representation from the region's local and tribal governments, networking with transportation partners within the region and the state, preparation of general and special regional plans in collaboration with the State (such as in freight and long-range planning), and annual submission to NMDOT of regional recommendations for project inclusion in the State Transportation Improvement Program (STIP).

**Northwest New Mexico
Council of Governments
Notes to the Financial Statements
June 30, 2021**

2) Key Programs of the Council – continued

Local and Private Grants and Contracts

GLP: NM-LCO Fiscal Agent – An intergovernmental agreement between the City of Gallup and the Council to provide alternative Fiscal Agency Services in connection with fund projects as appropriated by the State Legislator for utilization by the City of Gallup.

BLM: NM-LCO Fiscal Agent – An intergovernmental agreement between the City of Bloomfield and the Council to provide alternative Fiscal Agency Services in connection with fund projects as appropriated by the State Legislature for utilization by the City of Bloomfield.

Milan: NM-LCO Fiscal Agent – An intergovernmental agreement between the Village of Milan and the Council to provide alternative Fiscal Agency Services in connection with fund projects as appropriated by the State Legislature for utilization by the Village of Milan.

Cibola: Comprehensive Plan – The Council operated a grant from Cibola County to oversee update several plans including their Comprehensive Plan.

McK/Milan: ACC Match – The Council operated a combined program under three contracts received by McKinley County and the Village of Milan, respectively, that provides match for our EDA ACC grant program as follows:

- *McK: PIP* – The Council operated a grant from McKinley County to produce a plan and design the Prewitt Industrial Park including a spec-building.
- *Milan: MIP* – The Council operated a grant from the Village of Milan to produce a plan and design the Milan Industrial Park including a spec-building.
- *McK: Workforce* – The Council operated a grant from McKinley County to produce a pilot workforce program.

McK CARES: – The Council operated a small contract to help McKinley County with management of allocated CARES act funding and project development.

McK: ZMTP QA-QC & Design– The Council operated a combined program under two contracts received by McKinley County to conduct fieldwork, prepare maps and trail designs, and assist with oversight and quality control on field construction work on trails under the Zuni Mountains Trails Project.

Cib: ZMTP QA-QC & Design– The Council operated a combined program with an additional contract received by Cibola County to conduct fieldwork, prepare maps and trail designs, and assist with oversight and quality control on field construction work on trails under the Zuni Mountains Trails Project.

McK: Energy Service Performance Contract (ESPC) – The Council continues a multi-year contract with McKinley County for assistance with its guaranteed energy program.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

2) Key Programs of the Council – continued

Aztec: Outdoor Recreation Project – The Council oversees a contract to develop an outdoor recreation plan and project in Aztec.

Enterprise Loan Fund

The Council administers the Enterprise Loan Fund (the “ELF”), funded in part by the US Economic Development Administration under its Revolving Loan Fund program, which makes loans to new and growing businesses through “gap” or “bridge” financing including a new capitalization through an EDA-CARES grant.

3) **Cash Balances**

In accordance with Section 6-10-17, NMSA 1978, the Council is required to obtain pledged collateral from each bank that is a depository of public funds, in an aggregate amount equal to one-half of the amount of public money in each account. Securities, which are obligations of the United States, State of New Mexico, its agencies, institutions, counties, municipalities or other subdivisions are accepted as security at market value. No security is required for the deposit of public money that is insured by the Federal Deposit Insurance Corporation (FDIC), or the National Credit Union Administration.

Deposits (cash or cash equivalents) are carried at cost, which approximates market value. For the period beginning January 1, 2013, the standard maximum deposit insurance amount is \$250,000 per depositor at each separately chartered insured depository institution. For more information, visit www.fdic.gov.

Custodial Credit Risk is the risk that in the event of a bank failure, the Council’s deposits may not be returned to it. The Council’s deposit policy is to collateralize one-half of the uninsured public money amount in each account.

A summary of the Council’s deposits, as well as pledged collateral in place over deposits, is as follows:

Pinnacle Bank	Type	Balance Per Bank 6/30/2021	Balance Per Books 6/30/2021
General fund	Checking	\$ 223,627	\$ 134,132
Enterprise loan fund - revolving loan fund	Checking	101,458	101,458
Enterprise loan fund - forest service loan fund	Checking	62,316	62,316
Enterprise loan fund - sequestered	Checking	95,524	95,524
Total cash in banks		<u>\$ 482,925</u>	<u>\$ 393,430</u>
As reported in the financial statements:			
Cash			\$ 134,132
Cash restricted for loan programs			259,298
			<u>\$ 393,430</u>

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

3) Cash Balances – continued

<u>Pledged Collateral at Banks</u>	<u>Pinnacle Bank</u>
Deposits	\$ 482,925
Less FDIC coverage	<u>(250,000)</u>
Total uninsured public funds	<u>232,925</u>
50% collateral requirement	116,463
<u>Collateral Pledged</u>	
Gallup McKinley: CUSIP 364010SA7	
maturing 8/1/2025; security interest rate of 3%	150,005
GNMA 12 111 AB: CUSIP 38378BH51	
maturing 9/16/2052; security interest rate of 4%	<u>132,008</u>
Over (under) collateralized	<u><u>\$ 165,550</u></u>

4) **Grants Receivable**

Grant receivable balances at June 30, 2021, are composed of the following:

U.S. Department of Commerce	\$ 663,358
McKinnley County	9,301
New Mexico Department of Transportation	47,014
Cibola County	6,739
City of Bloomfield	<u>750</u>
Total	<u><u>\$ 727,162</u></u>

5) **Loans Receivable**

Loans receivable in the Enterprise Loan Fund as of June 30, 2021, consist of five loans made through the Revolving Loan Fund loan program for business and job creation in the tri-county region of Cibola County, San Juan County, and McKinley County.

Loans receivable	\$ 173,438
Less allowance	<u>(173,438)</u>
Loans receivable, net	<u><u>\$ -</u></u>

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

6) Capital Assets

A summary of changes in capital assets for governmental activities during the year ended June 30, 2021, are as follows:

	Beginning Balances	Increases	Decreases	Ending Balances
<i>Governmental activities</i>				
Capital assets not being depreciated				
Land	\$ 73,244	\$ -	\$ -	\$ 73,244
Construction in progress	-	-	-	-
Total capital assets not being depreciated	73,244	-	-	73,244
Capital assets being depreciated				
Buildings	235,756	-	-	235,756
Building improvements	143,388	134,222	-	277,610
Furniture, fixtures and equipment	48,308	-	-	48,308
Software	42,496	-	-	42,496
Vehicles	24,576	4,706	-	29,282
Total capital assets being depreciated, at cost	494,524	138,928	-	633,452
Less accumulated depreciation for				
Buildings	30,126	7,859	-	37,985
Building improvements	10,815	7,453	-	18,268
Furniture, fixtures and equipment	33,403	4,845	-	38,248
Software	42,496	-	-	42,496
Vehicles	18,022	4,993	-	23,015
Total accumulated depreciation	134,862	25,150	-	160,012
Total capital assets being depreciated, net	359,662	113,778	-	473,440
Capital assets, net	\$ 432,906	\$ 113,778	\$ -	\$ 546,684

Depreciation expense of \$25,150 was allocated to the regional community development function in the Council-wide statement of activities, which is the Council's only governmental activity function.

Business-type activities capital assets is made up of a software program with an acquisition cost of \$4,178 that became fully depreciated in a prior fiscal year. There was no business-type capital asset activity during the year ended June 30, 2021.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

7) Accounts Payable and Accrued Payroll Liabilities

A summary of accounts payable and accrued payroll liabilities at June 30, 2021, is as follows:

	Vendors	Salaries and Benefits	Total
<i>Governmental activities</i>			
General fund	\$ 217,334	\$ 15,075	\$ 232,409
Total government activities	<u>\$ 217,334</u>	<u>\$ 15,075</u>	<u>\$ 232,409</u>

8) Long-Term Debt

Compensated Absences

Employees are paid for annual leave and absences due to sickness by a prescribed formula based on length of service. Annual leave benefits anticipated to be liquidated with expendable available resources are accrued and reported as liabilities in the Council-wide financial statements. These liabilities are allocated to the general fund and the enterprise loan fund based on indirect cost formulas. No more than 240 hours of annual leave may be carried over from a previous fiscal year. Upon termination for whatever reason, the employee shall be paid for any unused annual leave at the employee's then-current rate of pay. Sick leave benefits are not accrued but rather expensed in the applicable fund(s) as they are paid.

Regular employees may carry over a maximum of 480 hours of sick leave from a previous fiscal year. Upon retirement or termination, all unused accrued sick leave shall be forfeited, except that, employees participating and vested in the PERA retirement program and with not less than five years of employment with the Council, shall be entitled to compensation for unused sick leave hours up to but not exceeding 480 hours, at a rate equal to thirty-three percent of their hourly rate of pay.

A summary of changes in long-term debt for the year ended June 30, 2021 is as follows:

	Beginning Balance	Increases	Decreases	Ending Balance	Amount Due Within One Year
<i>Governmental activities</i>					
Compensated absences	\$ 30,215	\$ 21,346	\$ (20,110)	\$ 31,451	\$ 8,177
Total long-term debt	<u>\$ 30,215</u>	<u>\$ 21,346</u>	<u>\$ (20,110)</u>	<u>\$ 31,451</u>	<u>\$ 8,177</u>

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

9) Unearned Revenue

Amounts recorded as unearned revenue represents an excess of grant funds received over expenditures. Unearned revenue at June 30, 2021, represents unexpended grant funds for the Prewitt and Milan Industrial Parks projects and total \$102,012 at June 30, 2021. Management anticipates fully expending these funds on the projects during fiscal year 2022.

10) Restricted Net Position – Enterprise Loan Fund

The Enterprise Loan Fund's restricted net position of \$259,298 at June 30, 2021 is restricted for economic development activity (i.e. loan programs) as a result of agreements with the granting organizations.

11) Membership Dues

Membership dues consist of annual assessments to member organizations. Membership dues are established by the Council based on a schedule of dues and service levels aligned with apportionment of Board seats and negotiated annually with the respective members.

12) Lease Commitments

Equipment Leases

In March 2017, the Council entered into a new copier lease agreement for office equipment. The lease is accounted for as an operating lease and has a term of 60 months.

In March 2017, the Council entered into a postage machine lease agreement. The lease is accounted for as an operating lease and has a term of 60 months.

Future minimum payments for operating leases are as follows:

<u>Year ending June 30,</u>	
2022	\$ 4,817
	<u>\$ 4,817</u>

Total lease expense for the year ended June 30, 2021, was approximately \$6,500. All leases may be terminated at any time with sixty days' notice if the New Mexico Legislature does not grant sufficient appropriation for the lease or if the Council decides that termination is necessary to protect the best interests of the State of New Mexico.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) Public Employees Retirement Association (PERA) Pension Plan

General Information about the Pension Plan

Plan description. *Public Employees Retirement Fund* is a cost-sharing, multiple employer defined benefit pension plan. This fund has six divisions of members, including State General, State Police/Adult Correction Officers, Municipal General, Municipal Police/Detention Officers, Municipal Fire, and State Legislative Divisions, and offers 24 different types of coverage within the PERA plan. All assets accumulated may be used to pay benefits, including refunds of member contributions, to any of the plan members or beneficiaries, as defined by the terms of this plan. Certain coverage plans are only applicable to a specific division. Eligibility for membership in the Public Employees Retirement Fund is set forth in the Public Employees Retirement Act (Chapter 10, Article 11, NMSA 1978).

Except as provided for in the Volunteer Firefighters Retirement Act (10-11A-1 to 10-11A-7, NMSA 1978), the Judicial Retirement Act (10-12B-1 to 10-12B-19, NMSA 1978), the Magistrate Retirement Act (10-12C-1 to 10-12C-18, NMSA 1978), and the Educational Retirement Act (Chapter 22, Article 11, NMSA 1978), each employee and elected official of every affiliated public employer is required to be a member in the Public Employees Retirement Fund, unless specifically excluded.

Benefits Provided. Benefits are generally available at age 65 with five or more years of service or after 25 years of service regardless of age for TIER I members. Provisions also exist for retirement between ages 60 and 65, with varying amounts of service required. Certain police and fire members may retire at any age with 20 or more years of service for TIER I members. Generally, the amount of retirement pension is based on final average salary, which is defined under TIER I as the average of salary for the 36 consecutive months of credited service producing the largest average; credited service; and the pension factor of the applicable coverage plan. Monthly benefits vary depending upon the plan under which the member qualifies, ranging from 2% to 3.5% of the member's final average salary per year of service. The maximum benefit that can be paid to a retiree may not exceed a range of 60% to 90% of the final average salary, depending on the division. Benefits for duty and non-duty death and disability and for post-retirement survivors' annuities are also available.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) PERA Pension Plan – continued

TIER II. The retirement age and service credit requirements for normal retirement for PERA state and municipal general members increased effective July 1, 2013 with the passage of Senate Bill 27 in the 2013 Legislative Session. Under the new requirements (TIER II), general members are eligible to retire at any age if the member has at least eight years of service credit and the sum of the member's age and service credit equals at least 85 or at age 67 with 8 or more years of service credit. General members hired on or before June 30, 2013 (TIER I) remain eligible to retire at any age with 25 or more years of service credit. Under TIER II, police and firefighters in Plans 3, 4 and 5 are eligible to retire at any age with 25 or more years of service credit. State police and adult correctional officers, peace officers and municipal juvenile detention officers will remain in 25-year retirement plans, however, service credit will no longer be enhanced by 20%. All public safety members in TIER II may retire at age 60 with 6 or more years of service credit. Generally, under TIER II, pension factors were reduced by 0.5%, employee contributions increased 1.5% and effective July 1, 2014 employer contributions were raised 0.05%. The computation of final average salary increased as the average of salary for 60 consecutive months.

Contributions. See PERA's publicly available comprehensive annual financial report obtained at <http://www.nmpera.org/financialoverview>, for the employer and employee contribution rates in effect for fiscal year 2021.

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions. At June 30, 2021, the Council reported a liability of \$663,291 for its proportionate share of the net pension liability. The net pension liability was measured as of June 30, 2020, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2019. The total pension liability was rolled-forward from the valuation date to the plan year ending June 30, 2020, using generally accepted actuarial principles. Therefore, the employer's portion was established as of the measurement date of June 30, 2020. There were no significant events or changes in benefit provisions that required an adjustment to the roll-forward liabilities as of June 30, 2020. The Council's proportion of the net pension liability was based on a projection of the Council's long-term share of contributions to the pension plan relative to the projected contributions of all participating entities, actuarially determined. At June 30, 2020, the Council's proportion was 0.0328%, which was an increase of 0.001% from its proportion measured as of June 30, 2019.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) PERA Pension Plan – continued

For the year ended June 30, 2021, the Council recognized pension expense of \$10,562. At June 30, 2021, the Council reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 18,382	\$ -
Changes of assumptions	12,272	-
Net difference between projected and actual investment earnings on pension plan investments	121,296	-
Changes in proportion and differences between the Council's contributions and proportionate share of contributions	31,762	46,725
The Council's contributions subsequent to the measurement date	<u>33,159</u>	<u>-</u>
Total	<u>\$ 216,871</u>	<u>\$ 46,725</u>

\$33,159 reported as deferred outflows of resources related to pensions resulting from the Council's contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2022. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

Year ended June 30:

2021	\$ 13,719
2022	55,031
2023	38,474
2024	<u>29,763</u>
Total	<u>\$ 136,987</u>

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) PERA Pension Plan – continued

Actuarial assumptions. The total pension liability in the June 30, 2020 actuarial valuation was determined using the following significant actuarial assumptions, applied to all periods included in the measurement:

Actuarial valuation date	June 30, 2019
Actuarial cost method	Entry age normal
Amortization period	Level Percentage of Pay
Asset valuation method	Solved for based on statutory rates
Actuarial assumptions:	
- Investment rate of return	7.25% annual rate, net of investment expense
- Projected benefit payment	100 years
- Payroll growth	3.00%
- Projected salary increases	3.25% to 13.50% annual rate
- Includes inflation at	2.50% and 2.75% for all other years
- Mortality assumption	The mortality assumptions are based on the RPH-2014 Blue Collar mortality table with female ages set forward one year. Future improvement in mortality rates is assumed using 60% of the MP-2017 projection scale generationally. For non-public safety groups, 25% of in-service deaths are assumed to be duty related and 35% are assumed to be duty-related for public safety groups.
- Experience study dates	July 1, 2008 to June 30, 2017 (demographic) and July 1, 2013 through June 20, 2017 (economic)

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) PERA Pension Plan – continued

The total pension liability, net pension liability, and certain sensitivity information are based on an actuarial valuation performed as of June 30, 2019. The total pension liability was rolled-forward from the valuation date to the plan year ended June 30, 2020. These assumptions were adopted by the Board and used in the June 30, 2019 actuarial valuation.

The long-term expected rate of return on pension plan investments was determined using statistical analysis in which best-estimate ranges of expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding expected inflation. The target allocation and best estimates of arithmetic real rates of return for each major asset class are summarized in the following table:

All Funds - Asset Class	Target Allocation	Long-term Expected Real Rate of Return
Global equity	35.50%	5.90%
Risk reduction and mitigation	19.50%	1.00%
Credit oriented fixed income	15.00%	4.20%
Real assets to include real estate equity	20.00%	6.00%
Multi-risk allocation	<u>10.00%</u>	6.40%
Total	<u>100.0%</u>	

Discount rate. A single discount rate of 7.25% was used to measure the total pension liability as of June 30, 2020. This single discount rate was based on a long-term expected rate of return on pension plan investments of 7.25%, compounded annually, net of expense. Based on the stated assumptions and the projection of cash flows, the plan's fiduciary net position and future contributions were projected to be available to finance all projected future benefit payments of current plan members. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

The projections of cash flows used to determine this single discount rate assumed that plan member and employer contributions will be made at the current statutory levels.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

13) PERA Pension Plan – continued

Sensitivity of the Council's proportionate share of the net pension liability to changes in the discount rate. The following presents the Council's proportionate share of the net pension liability calculated using the discount rate of 7.25%, as well as what the Council's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.25%) or 1-percentage-point higher (8.25%) than the current rate:

	1% Decrease (6.25%)	Current Discount Rate (7.25%)	1% Increase (8.25%)
<u>PERA Fund Division: Municipal General</u>			
The Council's proportionate share of the net pension liability	\$ 949,660	\$ 663,291	425,833

Pension plan fiduciary net position. Detailed information about the pension plan's fiduciary net position is available in the separately issued PERA financial report.

Payables to the pension plan. At June 30, 2021, the Council did not have any payables to PERA for fiscal year 2021 contributions.

14) **Post-Employment Benefits**

The Council has chosen not to participate in the retiree health care service that it is eligible for under the Retiree Health Care Act (Chapter 10, Article 7C NMSA 1978).

15) **Risk Management**

The Council is exposed to various risks of loss related to torts, theft of, damage to and destruction of assets, errors and omissions and natural disasters for which the Council carries commercial insurance. Settled claims have not exceeded this commercial coverage in the past year. Liabilities are reported when it is probable that a loss has occurred, and the amount of the loss can be reasonably estimated. As of June 30, 2021, the Council did not have any probable risks of loss.

**Northwest New Mexico
Council of Governments**
Notes to the Financial Statements
June 30, 2021

16) Related Party Transactions

The by-laws of the Council require the composition of the Board of Directors to represent member governments. As a result, many members of the Board of Directors are employed by, or serve in an elected capacity for, member governments. Due to the nature of the Council, Board members have a significant interest in the Council's transactions related to the furnishing of services to their respective governments. Total membership dues paid by the member governments to the Council for the fiscal year ended June 30, 2021, was \$224,500.

17) Recently Issued Accounting Pronouncements

GASB has issued several statements which have not yet been implemented by the Council. The Council believes that none of these statements will have a significant impact on the Council.

18) Tax Abatements

None of the Council's revenue sources are impacted by tax abatements. Therefore, there is nothing to disclose under GASBS No. 77 – *Tax Abatement Disclosures*.

Required Supplementary Information

**Northwest New Mexico
Council of Governments**
Schedules of Required Supplementary Information
June 30, 2021

**Schedule of the Council's Proportionate Share of the
Net Pension Liability of PERA Fund Division: Municipal General
Public Employees Retirement Association (PERA) Plan
Last 10 Fiscal Years* (in thousands)**

				June 30,			
Fiscal year	2021	2020	2019	2018	2017	2016	2015
Measurement date	2020	2019	2018	2017	2016	2015	2014
The Council's proportion of the net pension liability (asset)	0.0328%	0.0318%	0.0287%	0.0438%	0.0339%	0.0304%	0.0369%
The Council's proportionate share of the net pension liability (asset)	663	550	\$ 458	\$ 602	\$ 542	\$ 310	\$ 288
The Council's covered-employee payroll	338	310	\$ 290	\$ 295	\$ 373	\$ 290	\$ 243
The Council's proportionate share of the net pension liability (asset) as a percentage of its covered-employee payroll	196.15%	177.42%	157.93%	204.07%	145.31%	106.90%	118.52%
Plan fiduciary net position as a percentage of the total pension liability	66.36%	70.52%	71.13%	73.74%	69.18%	81.29%	81.29%

**Schedule of the Council's Contributions to
Public Employees Retirement Association (PERA) Plan
PERA Fund Division: Municipal General
Last 10 Fiscal Years (in thousands) ***

				June 30,		
Fiscal year	2020	2019	2018	2017	2016	2015
Measurement date	2019	2018	2017	2016	2015	2014
Statutory required contribution	\$ 30	\$ 28	\$ 28	\$ 36	\$ 31	\$ 27
Contributions in relation to the statutorily required contributions	30	28	28	36	31	27
Annual contribution deficiency (excess)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

**Governmental Accounting Standards Board Statement 68 requires ten years of historical information be presented; however, until a full 10-year trend is compiled, the statement only requires presentation of information for those years that information is available. Complete information for the Council is not available prior to fiscal year 2015, the year the statement's requirements became effective.*

**Northwest New Mexico
Council of Governments**
Notes to the Required Supplementary Information
June 30, 2021

Changes of benefit terms. The PERA, COLA and retirement eligibility benefits changes in recent years are described in Note 1 of PERA's CAFR (<https://www.saonm.org>).

Assumptions. The Public Employ Retirement Association of New Mexico Annual Actuarial Valuations as of June 2020 report is available at <http://www.nmpera.org/>.

Compliance Section

Schedule of Expenditures of Federal Awards

**Northwest New Mexico
Council of Governments**
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2021

Federal Grantor / Pass-Through Grantor/ Program Title	Assistance Listing Number	Pass-through Grantor or Other Identifying Number	Federal Expenditures
U.S. Department of Transportation			
<i>Passed through the State of New Mexico, Department of Transportation</i>			
Highway Planning and Construction	20.205	D16010	\$ 78,241
Highway Planning and Construction	20.205	D18495	27,852
Total U.S. Department of Transportation			106,093
U.S. Department of Commerce			
<i>Direct Awards</i>			
Economic Development Support for Planning Organizations	11.302	ED19AUS3020021	60,060
Economic Adjustment Assistance	11.307	08-69-05337	236,865
COVID-19 Economic Adjustment Assistance	11.307	ED20AUS3070034	109,224
Economic Adjustment Assistance	11.307	08-79-05407	506,685
			852,774
Total U.S. Department of Commerce			912,834
Total expenditures of federal awards			\$ 1,018,927

**Northwest New Mexico
Council of Governments**
Notes to the Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2021

1) Reporting Entity

The accompanying schedule of expenditures of federal awards (the “Schedule”) presents the federal award activity of the Northwest New Mexico Council of Governments (the “Council”) for the year ended June 30, 2021. The Council’s reporting entity is defined in Note 1 to the Council’s basic financial statements. The information in this schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the Council, it is not intended to and does not present the financial position, changes in net position, or cash flows, where applicable, of the Council.

2) Basis of Accounting

Expenditures reported in the Schedule are reported on the modified accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement.

3) Federal Award Identification Number

The federal granting agency is responsible for providing the Council with the Assistance Listing Number for each grant or contract. In cases where the federal granting agency did not provide the Assistance Listing Number to the Council, other identifying numbers are presented on the Schedule.

In addition, for pass-through awards, the pass-through granting agencies are responsible for providing the Council with pass-through grantor numbers. In cases where the pass-through granting agency did not provide this number to the Council, it is blank on the Schedule.

4) Indirect Cost Rate

The Council has elected not to use the 10% *de minimus* indirect cost rate allowed under the Uniform Guidance.

**Northwest New Mexico
Council of Governments**
Notes to the Schedule of Expenditures of Federal Awards – continued
For the Year Ended June 30, 2021

5) Reconciliation to the Financial Statements

The following is a reconciliation of the expenditures reported on the Schedule to the expenditures reported in the financial statements for the general fund:

Expenditures from the schedule of expenditures of federal awards	\$ 1,018,927
Expenditures funded by state and nonfederal funding sources	<u>523,000</u>
Total expenditures reported in the General Fund	<u><u>\$ 1,541,927</u></u>

Independent Auditor's Report on Internal Control Over
Financial Reporting and on Compliance
And Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards*

Board of Directors

Evan Williams, Executive Director

Northwest New Mexico Council of Governments

and

Honorable Brian S. Colón, Esq., New Mexico State Auditor

Office of the State Auditor

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund, and the budgetary comparison of the general fund of the Northwest New Mexico Council of Governments (the "Council") as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise the Council's basic financial statements and have issued our report thereon dated November 24, 2021.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Council's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Council's internal control. Accordingly, we do not express an opinion on the effectiveness of the Council's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

OFFICE LOCATIONS

TEXAS | Waco | Temple | Hillsboro | Houston

NEW MEXICO | Albuquerque

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Council's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Pattillo, Brown & Hill, LLP

Albuquerque, New Mexico
November 24, 2021

Independent Auditor's Report on Compliance for Each Major Program and on Internal Control Over Compliance Required by the Uniform Guidance

Board of Directors
Evan Williams, Executive Director
Northwest New Mexico Council of Governments
and
Honorable Brian S. Colón, Esq., New Mexico State Auditor
Office of the State Auditor

Internal Control Over Financial Reporting

We have audited the Northwest New Mexico Council of Government's (the "Council") compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on the Council's major federal program for the year ended June 30, 2021. The Council's major federal program is identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for the Council's major federal program based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Council's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for the major federal program. However, our audit does not provide a legal determination of the Council's compliance.

OFFICE LOCATIONS

TEXAS | Waco | Temple | Hillsboro | Houston
NEW MEXICO | Albuquerque

Opinion on the Major Federal Program

In our opinion, the Council complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on the major federal program for the year ended June 30, 2021.

Report on Internal Control Over Compliance

Management of the Council is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Council's internal control over compliance with the types of requirements that could have a direct and material effect on the major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for the major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Council's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Patillo, Brown & Hill, LLP

Albuquerque, New Mexico
November 24, 2021

**Northwest New Mexico
Council of Governments**
Schedule of Findings and Questioned Costs
For the Year Ended June 30, 2021

Section I — Summary of Auditor's Results

Financial Statements

Type of auditor's report issued on whether the financial statements audited were prepared in accordance with GAAP:	Unmodified
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified?	None reported
Noncompliance material to financial statements noted?	No

Federal Awards

Type of auditor's report issued on compliance for major programs:	Unmodified
Internal control over major federal programs:	
Material weaknesses identified?	No
Significant deficiencies identified?	None reported
Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?	No

**Northwest New Mexico
Council of Governments**
Schedule of Findings and Questioned Costs – continued
For the Year Ended June 30, 2021

Section I — Summary of Auditor's Results — continued

Identification of major programs:

<u>Assistance Listing Number</u>	<u>Name of Federal Program or Cluster</u>
11.307	Economic Adjustment Assistance

Dollar threshold used to distinguish between type A and type B programs:	\$750,000
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Auditee qualified as low-risk auditee?	No
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Section II — Financial Statement Findings

None.

Section III — Federal Award Findings and Questioned Costs

None.

**Northwest New Mexico
Council of Governments**
Summary Schedule of Prior Audit Findings
For the Year Ended June 30, 2021

Financial Statement Findings

None.

Federal Award Findings

None.

**Northwest New Mexico
Council of Governments
Exit Conference
June 30, 2021**

The financial statements presented in this report were prepared by the staff of the Northwest New Mexico Council of Governments with the assistance of its contract accountants from SJT Group, LLC.

The content of this report was discussed at an exit conference held on November 23, 2021 with the following in attendance:

Northwest New Mexico Council of Governments

Evan Williams, Executive Director

Louie Bonaguidi, Treasurer

Evan Williams, Director

Martina Whitmore, Administrative Assistant

Pattillo, Brown & Hill, L.L.P.

Chris Garner, CPA, Partner

SJT Group, LLC

Armando Sanchez, CPA, CFE, Managing Principal

David Kavelman, Accounting Specialist

NWNMCOG FY 2022 Budget																					
Board Review: Draft Approved 4/21/21; Final Approved 6/21/21; Revision #1: 10/20/22; Revision #2: 4/20/22																					
Fund Class: Fund/Program:	TOTAL ANNUAL BUDGET	General Fund	Federal Grants & Contracts					State Grants & Contracts				Private Contracts		Local Grants & Contracts							
		100	501	513	514	515	516	601	602	629	630	718	719	821	843-1	843-2	843-3	852	855	856	857
		General & Member Svcs	USDOC/ EDA-PPG	EDA: ACC	EDA: CARES	EDA: ACC #2	EDA-CARES RLF	New Mexico LGD-SGIA	New Mexico DOT- RTPO	NMDOT: PMTMP	LGD: Capital Outlay #3	Tri-State	TOTA	McKinley: ESPC	McK: ZMTP McGaffey QA/QC	CC: ZMTP Quartz Hill QA/QC	McK: ZMTP McKenzie (QA/QC)	McK/Milan: ACC	Cibola Comp Plan	Aztec OutRec	McKinley Tourism
Budget Line Item:																					
REVENUES																					
100	GENERAL FUND	\$ 224,500	\$ 86,750	\$ 17,500	\$ -			\$ -	\$ 99,000	\$ 21,250	\$ -	\$ -			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	MEMBERSHIP DUES	\$ 224,500	\$ 224,500																		
110	Cibola County	\$ 20,000	\$ 20,000																		
120	McKinley County	\$ 65,000	\$ 65,000																		
130	San Juan County	\$ 5,000	\$ 5,000																		
210	Aztec	\$ 3,000	\$ 3,000																		
220	Bloomfield	\$ 3,000	\$ 3,000																		
230	Farmington	\$ 5,000	\$ 5,000																		
240	Gallup	\$ 65,000	\$ 65,000																		
250	Grants	\$ 37,500	\$ 37,500																		
260	Milan	\$ 13,500	\$ 13,500																		
310	NWNM RSW Authority	\$ 7,500	\$ 7,500																		
	MATCHING FUND TRANSFERS	\$ -	\$ (137,750)	\$ 17,500					\$ 99,000	\$ 21,250	\$ -	\$ -									
500	FEDERAL GRANTS & CONTRACTS	\$ 1,876,104	\$ -	\$ 48,000	\$ 326,500	\$ 201,604	\$ 310,000	\$ 990,000	\$ -	\$ -	\$ -	\$ -			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
600	STATE GRANTS & CONTRACTS	\$ 454,000	\$ -						\$ 99,000	\$ 85,000	\$ 120,000	\$ 150,000			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
700	PRIVATE GRANTS & CONTRACTS	\$ 36,000	\$ -						\$ -	\$ -	\$ -	\$ -	\$ 35,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
800	LOCAL GRANTS & CONTRACTS	\$ 349,475	\$ -												\$ -	\$ 53,825	\$ 25,000	\$ 16,400	\$ 111,750	\$ 45,000	\$ 74,000
	Local Grants & Contracts	\$ 242,725													\$ 5,000	\$ 53,825	\$ 25,000	\$ 16,400	\$ -	\$ 45,000	\$ 74,000
	INTERFUND TRANSFERS	\$ (5,000)													\$ (5,000)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
900	OTHER REVENUES/Asset Mgt	\$ 42,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Rent Revenue	\$ 27,000																			
	Fleet Mileage Revenue	\$ 15,000																			
	TOTAL NET REVENUES	\$ 2,946,079	\$ 86,750	\$ 65,500	\$ 326,500	\$ 201,604	\$ 310,000	\$ 990,000	\$ 198,000	\$ 106,250	\$ 120,000	\$ 150,000	\$ 35,000	\$ 1,000	\$ -	\$ 53,825	\$ 25,000	\$ 16,400	\$ 111,750	\$ 45,000	\$ 74,000
	Percentage of Total Revenues	100.00%	2.94%	2.22%	11.08%	6.84%	473.28%	33.60%	6.72%	3.61%	4.07%	5.09%	#DIV/0!	0.03%	0.00%	1.83%	0.85%	0.56%	3.79%	1.53%	#DIV/0!
EXPENSES																					
FUND CLASS: FUND/PROGRAM:	TOTAL ANNUAL BUDGET	GENERAL FUND	FEDERAL GRANTS & CONTRACTS					STATE GRANTS & CONTRACTS				LOCAL GRANTS & CONTRACTS									
		100	501	513	514	515	516	601	602	629	630	718	719	821	843-1	843-2	843-3	852	855	856	857
		General Admin	EDA PPG	EDA: ACC	EDA: CARES	EDA: ACC #2	EDA-CARES RLF	NM LGD-SGIA	NM DOT-RTPO	NMDOT: PMTMP	LGD: Capital Outlay	Tri-State	TOTA	McK: ESPC	McK-ZMTP: McGaffey QA/QC	CC: ZMTP Quartz Hill QA/QC	McK: ZMTP McKenzie (QA/QC)	McK/Milan: ACC	Cibola Comp Plan	Aztec OutRec	McKinley Tourism
a.	PERSONNEL (Wages/Salaries)	\$ 455,392	\$ 41,209	\$ 21,766	\$ 3,000	\$ 27,500	\$ 2,000	\$ -	\$ 95,273	\$ 54,389	\$ -	\$ -	\$ 4,000	\$ -	\$ -	\$ 11,654	\$ 12,000	\$ 8,000	\$ 53,819	\$ 11,000	\$ 12,000
b.	FRINGE BENEFITS	\$ 113,112	\$ 10,809	\$ 5,376	\$ 741	\$ 6,793	\$ 494	\$ -	\$ 23,632	\$ 13,434	\$ -	\$ -	\$ 988	\$ -	\$ -	\$ 2,879	\$ 2,964	\$ 1,976	\$ 13,293	\$ 5,434	\$ 5,928
	Employee Fringe Benefits (9.67%)	\$ 44,628	\$ 4,038	\$ 2,133	\$ 294	\$ 2,695	\$ 196	\$ -	\$ 9,337	\$ 5,330	\$ -	\$ -	\$ 392	\$ -	\$ -	\$ 1,142	\$ 1,176	\$ 784	\$ 5,274	\$ 2,156	\$ 2,352
	Payroll Taxes (8.62%)	\$ 39,619	\$ 3,585	\$ 1,894	\$ 261	\$ 2,393	\$ 174	\$ -	\$ 8,289	\$ 4,732	\$ -	\$ -	\$ 348	\$ -	\$ -	\$ 1,014	\$ 1,044	\$ 696	\$ 4,682	\$ 1,914	\$ 2,088
	Insurance (5.4%)	\$ 28,234	\$ 2,555	\$ 1,349	\$ 186	\$ 1,705	\$ 124	\$ -	\$ 5,907	\$ 3,372	\$ -	\$ -	\$ 248	\$ -	\$ -	\$ 723	\$ 744	\$ 496	\$ 3,337	\$ 1,364	\$ 1,488
	Life and Disability Insurance	\$ 600	\$ 600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Worker's Compensation	\$ 30	\$ 30	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c.	TRAVEL	\$ 66,727	\$ 4,018	\$ 4,523	\$ -	\$ 5,500	\$ 300	\$ 2,500	\$ 3,916	\$ 5,000	\$ -	\$ -		\$ -	\$ -	\$ 12,000	\$ 9,720	\$ 250	\$ 12,000	\$ 1,000	\$ 2,500
	Registration (Training)	\$ 19,254	\$ 515	\$ 1,273	\$ -	\$ 1,000	\$ -	\$ 500	\$ 1,916	\$ 3,000	\$ -	\$ -		\$ -	\$ -	\$ 2,000	\$ 3,000	\$ 50	\$ 5,000	\$ -	\$ 1,000
	Travel & Per Diem	\$ 23,600	\$ 1,900	\$ 1,750	\$ -	\$ 1,500	\$ 100	\$ 1,000	\$ 1,000	\$ 1,000	\$ -	\$ -		\$ -	\$ -	\$ 5,000	\$ 3,000	\$ 100	\$ 5,000	\$ 250	\$ 1,000
	Mileage Costs	\$ 23,873	\$ 1,603	\$ 1,500	\$ -	\$ 3,000	\$ 200	\$ 1,000	\$ 1,000	\$ 1,000	\$ -	\$ -		\$ -	\$ -	\$ 5,000	\$ 3,720	\$ 100	\$ 2,000	\$ 750	\$ 1,500
d.	EQUIPMENT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -
	Equipment Purchase	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -
e.	SUPPLIES	\$ 136,894	\$ 2,000	\$ 4,374	\$ -	\$ 104,244	\$ -	\$ 10,000	\$ 5,967	\$ 3,159	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,250	\$ 300	\$ 300
	Office Supplies	\$ 15,600	\$ -	\$ 2,574	\$ -	\$ 3,000	\$ -	\$ -	\$ 5,967	\$ 3,159	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 300	\$ 300
	Office Furniture & Equipment Lease	\$ 6,800	\$ -	\$ 800	\$ -	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Computer Software & Equipment	\$ 114,494	\$ 2,000	\$ 1,000	\$ -	\$ 95,244	\$ -	\$ 10,000	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,250	\$ -	\$ -
f.	CONTRACTUAL	\$ 1,040,308	\$ -	\$ 12,122	\$ 322,100	\$ 43,400	\$ 307,206	\$ 76,500	\$ 28,102	\$ 14,878	\$ 120,000	\$ -	\$ 30,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,000	\$ 50,000
	Professional Services/Restricted	\$ 971,806	\$ -	\$ -	\$ 322,100	\$ 40,000	\$ 307,206	\$ 67,500	\$ -	\$ -	\$ 120,000	\$ -	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,000	\$ -	\$ 50,000
	Professional Services/General	\$ 50,000	\$ -	\$ 8,800	\$ -	\$ -	\$ -	\$ 9,000	\$ 20,400	\$ 10,800	\$ -	\$ -		\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Audit(s)	\$ 18,502	\$ -	\$ 3,322	\$ -	\$ 3,400	\$ -	\$ -	\$ 7,702	\$ 4,078	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g.	CONSTRUCTION	\$ 161,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 150,000			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Property Improvements	\$ 161,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 150,000			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
h.	OTHER	\$ 120,534	\$ 4,500	\$ 13,119	\$ -	\$ 11,050	\$ -	\$ 1,000	\$ 37,879	\$ 15,077	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,200	\$ 3,200	\$ 3,200
	Duplicating/Copying	\$ 11,000	\$ -	\$ 1,650	\$ -	\$ 2,500	\$ -	\$ -	\$ 3,825	\$ 2,025	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000	\$ 1,000
	Postage & Delivery	\$ 2,500	\$ -	\$ 330	\$ -	\$ 1,000	\$ -	\$ -	\$ 765	\$ 405	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Telephone & Telecom	\$ 14,000	\$ -	\$ 2,299	\$ -	\$ 3,550	\$ -	\$ -	\$ 5,330	\$ 2,822	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subscriptions & Dues	\$ 14,000	\$ -	\$ 2,200	\$ -	\$ 4,000	\$ -	\$ -	\$ 5,100	\$ 2,700	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Meeting Costs	\$ 4,000	\$ 3,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 500	\$ 500	\$ 500
	Printing	\$ 3,950	\$ -	\$ 539	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,250	\$ 662	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 500	\$ 1,500	\$ 1,500
	Advertising	\$ 2,300	\$ -	\$ 462	\$ -	\$ -	\$ -	\$ -	\$ 1,071	\$ 567	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$			

NWNMCOG FY 2022 Budget																
Board Review: Draft Approved 4/21/21; Final Approved 6/21/21; Revision #1: 10/20/22; Revision #2: 4/20/22																
Fund Class: Fund/Program: Budget Line Item:	TOTAL ANNUAL BUDGET	General Fund	Federal Grants & Contracts				State Grants & Contracts						Local Grants & Contracts			
		100	501	513	515	516	601	602	629	630	631	632	821	852	856	857
		General & Member Svcs	USDOC/ EDA-PPG	EDA: ACC	EDA: ACC #2	EDA-CARES RLF	New Mexico LGD-SGIA	New Mexico DOT- RTPO	NMDOT: PMTMP	LGD: Capital Outlay #3	LGD: Capital Outlay #4	New Mexico LGD-HB2JR	McKinley: ESPC	McK/Milan: ACC	Aztec OutRec	McKinley Tourism
REVENUES																
100 GENERAL FUND	\$ 224,500	\$ 86,750	\$ 17,500	\$ -		\$ -	\$ 99,000	\$ 21,250	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MEMBERSHIP DUES	\$ 224,500	\$ 224,500														
110 Cibola County	\$ 20,000	\$ 20,000														
120 McKinley County	\$ 65,000	\$ 65,000														
130 San Juan County	\$ 5,000	\$ 5,000														
210 Aztec	\$ 3,000	\$ 3,000														
220 Bloomfield	\$ 3,000	\$ 3,000														
230 Farmington	\$ 5,000	\$ 5,000														
240 Gallup	\$ 65,000	\$ 65,000														
250 Grants	\$ 37,500	\$ 37,500														
260 Milan	\$ 13,500	\$ 13,500														
310 NWNM RSW Authority	\$ 7,500	\$ 7,500														
MATCHING FUND TRANSFERS	\$ -	\$ (137,750)	\$ 17,500				\$ 99,000	\$ 21,250	\$ -	\$ -	\$ -	\$ -				
500 FEDERAL GRANTS & CONTRACTS	\$ 1,298,000	\$ -	\$ 48,000	\$ 140,000	\$ 120,000	\$ 990,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
600 STATE GRANTS & CONTRACTS	\$ 512,500	\$ -					\$ 99,000	\$ 85,000	\$ 30,000	\$ 148,500	\$ 75,000	\$ 75,000	\$ -	\$ -	\$ -	\$ -
700 PRIVATE GRANTS & CONTRACTS	\$ 6,000	\$ -					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
800 LOCAL GRANTS & CONTRACTS	\$ 553,000	\$ -											\$ -	\$ 63,000	\$ 426,000	\$ 57,000
Local Grants & Contracts	\$ 497,000												\$ 7,000	\$ -	\$ 426,000	\$ 57,000
INTERFUND TRANSFERS	\$ (7,000)												\$ (7,000)	\$ -	\$ -	\$ -
900 OTHER REVENUES/Asset Mgt	\$ 42,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Rent Revenue	\$ 27,000															
Fleet Mileage Revenue	\$ 15,000															
TOTAL NET REVENUES	\$ 2,630,000	\$ 86,750	\$ 65,500	\$ 140,000	\$ 120,000	\$ 990,000	\$ 198,000	\$ 106,250	\$ 30,000	\$ 148,500	\$ 75,000	\$ 75,000	\$ -	\$ 63,000	\$ 426,000	\$ 57,000
Percentage of Total Revenues	100.00%	3.30%	2.49%	5.32%	183.21%	37.64%	7.53%	4.04%	1.14%	#DIV/0!	#DIV/0!	2.85%	0.00%	2.40%	#DIV/0!	2.17%
EXPENSES																
FUND CLASS: FUND/PROGRAM: BUDGET LINE ITEM	TOTAL ANNUAL BUDGET	GENERAL FUND	FEDERAL GRANTS & CONTRACTS				STATE GRANTS & CONTRACTS						LOCAL GRANTS & CONTRACTS			
		100	501	513	515	516	601	602	629	630	630	632	821	852	856	857
		General Admin	EDA PPG	EDA: ACC	EDA: ACC #2	EDA-CARES RLF	NM LGD-SGIA	NM DOT-RTPO	NMDOT: PMTMP	LGD: Capital Outlay	LGD: Capital Outlay	New Mexico LGD-HB2JR	McK: ESPC	McK/Milan: ACC	Aztec OutRec	McKinley Tourism
a. PERSONNEL (Wages/Salaries) 50001	\$ 492,791	\$ 80,126	\$ 25,145	\$ 24,000	\$ 2,000	\$ -	\$ 97,311	\$ 55,468	\$ -	\$ -	\$ -	\$ 59,904	\$ -	\$ 49,415	\$ 12,000	\$ 1,000
b. FRINGE BENEFITS	\$ 122,349	\$ 15,481	\$ 6,211	\$ 5,928	\$ 494	\$ -	\$ 24,036	\$ 13,701	\$ -	\$ -	\$ -	\$ 14,796	\$ -	\$ 12,206	\$ 5,928	\$ 494
Employee Fringe Benefits (9.67%) 50002	\$ 48,234	\$ 5,892	\$ 2,464	\$ 2,352	\$ 196	\$ -	\$ 9,536	\$ 5,436	\$ -	\$ -	\$ -	\$ 5,871	\$ -	\$ 4,843	\$ 2,352	\$ 196
Payroll Taxes (8.62%) 50004	\$ 42,873	\$ 5,231	\$ 2,188	\$ 2,088	\$ 174	\$ -	\$ 8,466	\$ 4,826	\$ -	\$ -	\$ -	\$ 5,212	\$ -	\$ 4,299	\$ 2,088	\$ 174
Insurance (5.4%) 50100	\$ 30,553	\$ 3,728	\$ 1,559	\$ 1,488	\$ 124	\$ -	\$ 6,033	\$ 3,439	\$ -	\$ -	\$ -	\$ 3,714	\$ -	\$ 3,064	\$ 1,488	\$ 124
Life and Disability Insurance 50101	\$ 600	\$ 600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Worker's Compensation 50102	\$ 30	\$ 30	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
c. TRAVEL	\$ 26,857	\$ 4,018	\$ 4,523	\$ -	\$ 900	\$ 2,500	\$ 3,916	\$ 5,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,500	\$ 3,500
Registration (Training) 60108	\$ 8,404	\$ 515	\$ 1,273	\$ -	\$ 200	\$ 500	\$ 1,916	\$ 3,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000
Travel & Per Diem 60200	\$ 8,850	\$ 1,900	\$ 1,750	\$ -	\$ 200	\$ 1,000	\$ 1,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000
Mileage Costs 60201	\$ 9,603	\$ 1,603	\$ 1,500	\$ -	\$ 500	\$ 1,000	\$ 1,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,500	\$ 1,500
d. EQUIPMENT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Equipment Purchase 69000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
e. SUPPLIES	\$ 26,100	\$ 2,000	\$ 4,374	\$ -	\$ -	\$ 10,000	\$ 5,967	\$ 3,159	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 300	\$ 300
Office Supplies 60100	\$ 12,300	\$ -	\$ 2,574	\$ -	\$ -	\$ -	\$ 5,967	\$ 3,159	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 300	\$ 300
Office Furniture & Equipment Lease 60109	\$ 800	\$ -	\$ 800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Computer Software & Equipment 60500	\$ 13,000	\$ 2,000	\$ 1,000	\$ -	\$ -	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
f. CONTRACTUAL	\$ 832,982	\$ -	\$ 12,122	\$ 110,000	\$ 116,000	\$ 76,500	\$ 28,102	\$ 14,878	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 400,000	\$ 40,000
Professional Services/Restricted 60405	\$ 767,860	\$ -	\$ -	\$ 110,000	\$ 116,000	\$ 67,500	\$ -	\$ -	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 400,000	\$ 40,000
Professional Services/General 60406	\$ 50,000	\$ -	\$ 8,800	\$ -	\$ -	\$ 9,000	\$ 20,400	\$ 10,800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Audit(s) 60504	\$ 15,102	\$ -	\$ 3,322	\$ -	\$ -	\$ -	\$ 7,702	\$ 4,078	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
g. CONSTRUCTION	\$ 234,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 148,500	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ -
Property Improvements 69001	\$ 234,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 148,500	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ -
h. OTHER	\$ 120,534	\$ 4,500	\$ 13,119	\$ -	\$ -	\$ 1,000	\$ 37,980	\$ 15,078	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,200	\$ 3,200
Duplicating/Copying 60101	\$ 11,000	\$ -	\$ 1,650	\$ -	\$ -	\$ -	\$ 3,825	\$ 2,025	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000
Postage & Delivery 60102	\$ 2,500	\$ -	\$ 330	\$ -	\$ -	\$ -	\$ 765	\$ 405	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Telephone & Telecom 60103	\$ 14,000	\$ -	\$ 2,250	\$ -	\$ -	\$ -	\$ 5,330	\$ 2,822	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Subscriptions & Dues 60104	\$ 14,000	\$ -	\$ 2,200	\$ -	\$ -	\$ -	\$ 5,100	\$ 2,700	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Meeting Costs 60105	\$ 4,000	\$ 3,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 500	\$ 500
Printing 60106	\$ 3,950	\$ -	\$ 539	\$ -	\$ -	\$ 1,000	\$ 1,250	\$ 662	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,500	\$ 1,500
Advertising 60107	\$ 2,300	\$ -	\$ 462	\$ -	\$ -	\$ -	\$ 1,071	\$ 567	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 200	\$ 200
Special Events 60111	\$ 1,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Service Charges 60112	\$ 500	\$ -	\$ 110	\$ -	\$ -	\$ -	\$ 255	\$ 135	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Insurance (WMC & Director's) 60603	\$ 4,177	\$ -	\$ 919	\$ -	\$ -	\$ -	\$ 2,130	\$ 1,128	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Miscellaneous Expense 60801	\$ 498	\$ -	\$ 110	\$ -	\$ -	\$ -	\$ 254	\$ 134	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LOAN FUNDS	\$ 900,000	\$ -	\$ -	\$ -	\$ -	\$ 900,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
FACILITY EXPENSES	\$ 47,609	\$ -	\$ 4,500	\$ -	\$ -	\$ -	\$ 18,000	\$ 4,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Rent 60404	\$ 27,000	\$ -	\$ 4,500	\$ -	\$ -	\$ -	\$ 18,000	\$ 4,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Insurance 60601	\$ 4,609	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Maintenance 60700	\$ 5,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Utilities 60701	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
VEHICLE EXPENSES	\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Insurance 60602	\$ 2,854	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Maintenance 60703	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Fuels Expense 60704	\$ 6,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Vehicle Acquisition 60705	\$ 136	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL EXPENSES	\$ 2,623,780	\$ 86,125	\$ 85,493	\$ 139,928	\$ 119,394	\$ 990,000	\$ 197,311	\$ 107,283	\$ 30,000	\$ 148,500	\$ 75,000	\$ 74,700	\$ -	\$ 61,621	\$ 423,928	\$ 48,494
NET, REVENUES less EXPENSES	\$ 6,240	\$ 625	\$ 7	\$ 72	\$ 606	\$ -	\$ 689	\$ (1,033)	\$ -	\$ -	\$ -	\$ 300	\$ -	\$ 1,379	\$ 2,072	\$ 6,506



Northwest New Mexico Council of Governments

Board Meeting Calendar | Fiscal Year 2022-23

QUARTERLY BOARD MEETINGS

DATE	TIME	LOCATION
July 21, 2021	Board Meeting: 10AM – 12PM Luncheon: 12 – 2PM	Cibola Area – Grants (Coyote Del Malpais Golf Course)
October 20, 2021	10AM – 1PM	McKinley Area – McKinley County Downtown Training Center
January 19, 2022 (Second Day of Session)	10AM – 1PM	San Juan Area – Farmington Convention Center
April 20, 2022	10AM – 1PM	Cibola Area (TBD)
July 20, 2022	Board Meeting: 10AM – 12PM Luncheon: 12 – 2PM	McKinley Area – McKinley County Downtown Training Center

NOTES:

- (1) The Board of Directors of the Northwest New Mexico Council of Governments generally meets on the third Wednesday one month after the quarter ends (July, October, January, and April) at 10AM.
- (2) Executive Committee meets an hour prior to the Board Meeting.
- (3) Meeting Business is typically scheduled for two hours with lunch after.
- (4) COG will continue to offer a hybrid meeting with the ability to participate in-person or virtual.

ANNUAL LUNCHEONS:
2021 (49th): Cibola Area;
2022 (50th): McKinley Area
2023 (51st): San Juan Area

OTHER ANNUAL EVENTS, TRAININGS, & CONFERENCES



DATE	EVENT	LOCATION
September 1 -3, 2021	Southwest Region Economic Development Association (SWREDA) Conference	Rogers, AK
October 16-19, 2021	Annual NADO Training Conference	Portland, OR

NOTES:

- (1) The COG does encourage and welcome Board members to travel and participate to these conferences to understand what regional development organizations (RDO's) are doing throughout the country.
- (2) Typically, travel is worked out through and reimbursed via your local governments and COG handles registration.

NM Legislative Session 2022: January 18 – February 17

New Mexico Association of Counties: [Calendar of Events 2021](#)

2021

January '21	February '21	March '21
Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31	Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28	Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31
April '21	May '21	June '21
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July '21	August '21	September '21
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October '21	November '21	December '21
Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31	Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30	Su M Tu W Th F Sa 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

 Holidays	 Board & Executive Committee	 NM EDGE
 NACo & WIR	 NMC Conferences	 Advisory Council
 NMCA Board	 Legislative Meetings	 Special Events

NMC Meetings & Conferences

1/18-1/21 - NMC Legislative Conference (Virtual via Zoom)
1/18-1/20 - NM EDGE Classes (Live Online Classes)
1/19 - Legislative Session Begins 12PM
1/19 - NMCA Membership Meeting 9:00AM (Virtual via Zoom)
1/19 - Board of Directors Meeting 2PM (Virtual via Zoom)
1/26, 1/28, 2/2 - NM EDGE Classes (Live Online Classes)
1/28 - Executive Committee Teleconference 11AM
2/4 - Executive Committee Teleconference 11AM
2/11 - Executive Committee Teleconference 11AM
2/18 - Bill Introduction Deadline
2/20 - Board of Directors Meeting 8:30AM (Santa Fe County/TBD)
2/20-2/24 - NACo Legislative Conference (Washington, DC/Virtual)
2/25 - Executive Committee Teleconference 11AM
3/4 - Executive Committee Teleconference 11AM
3/9, 3/11, 3/16, 3/18, 3/23, 3/25, 3/30 - NM EDGE Classes (Live Online Classes)
3/11 - Executive Committee Teleconference 11AM
3/20 - Legislative Session Ends 12PM
3/24-3/25 - NMCA Board Meeting 8:30AM (Virtual via Zoom)
4/1 - Executive Committee Budget Meeting 9AM (Virtual via Zoom)
TBD - Advisory Council Meeting 11AM (TBD) POSTPONED
4/21 - Virtual Legislative Meeting 10AM (Bernalillo), 4/22 - Virtual Legislative Meeting 10AM (Doña Ana)
4/28 - Virtual Legislative Meeting 10AM (San Miguel), 4/29 - Virtual Legislative Meeting 10AM (Chaves)
5/7 - Board of Directors Meeting 8:30AM (TBD)
5/12-5/13 - NMCA Board Meeting 8:30AM (Lincoln County/Hybrid)
6/8, 6/10, 6/14-6/17, 6/22, 6/24, 6/29 - NM EDGE Classes (Live Online Classes)
6/17 - Board of Directors Meeting (TBD)
7/9-7/12 - NACo Annual Conference (Prince George County, MD/TBD)
8/6 - Board of Directors Meeting 8:30AM (TBD)
8/17-8/20 - NMML 64th Annual Conference (Bernalillo County, Albuquerque/TBD)
8/18-8/19 - NMCA Board Meeting 8:30AM (Santa Fe County, NMC Office/TBD)
TBD - NMC 85th Annual Conference (TBD) POSTPONED
9/8 - Legislative Initiatives Review w/Legislative Committee 11AM (Santa Fe County, NMC Office/TBD)
Sept. TBD - Gathering of Counties (NM State Fair, Bernalillo County, Albuquerque/TBD)
9/14, 9/16, 9/21, 9/23, 9/28, 9/30 - NM EDGE Classes (Live Online Classes)
10/7-10/8 - Board of Directors Legislative Meeting 2PM & Board of Directors Meeting 8:30AM (TBD)
10/13-10/15 - WIR Annual Conference (Salt Lake County, UT/TBD)
October TBD - Wildland Urban Fire Summit (TBD)
October TBD - Infrastructure Finance Conference (TBD)
10/27-10/28 - NMCA Retreat & Board Meeting (TBD)
11/4 - Executive Committee Meeting 2PM (Santa Fe County, NMC Office/TBD)
11/5 - NMC/NMML Joint Legislative Meeting 9AM (Santa Fe County/TBD)
11/30 - Advisory Council Meeting 11AM (TBD)
12/8-12/9 - NMCA Board Meeting 8:30AM (Santa Fe County - NMC Office/TBD)

Approved by NMC Executive Committee on 11/5/2020
 Ratified by NMC BOD on 1/19/2021
 Last Updated on: 4/7/2021

New Mexico Municipal League: [Calendar of Events 2021](#)

April 7, 8, 14, 15, 21 & 22	CFOA Spring Meeting – 2 per week, over 3 weeks
April 16	NMML Districts Meeting – All Districts (8)
April 20	NMML District Elections – Odd Numbered Districts
April 21	Municipal Airport Managers Association Meeting
April 23-26	IMLA Mid-Year Seminar – Washington, DC
May 5-7	Municipal Judges Association Conference
May 5-7	NLC-RISC Trustees Conference
May 5, 6, 12, 13, 19 & 20	NMLZO Semi-Annual Meeting/Workshop – 2 per week, over 3 weeks
May 7	Municipal Police Chief's Association Meeting
May 9-13	IIMC Annual Conference – Grand Rapids, MI
May 14	NMML Budget Committee Meeting
May 19	Environmental Quality Association Meeting
May 26	Written notice mailed to member municipalities soliciting nominations for NMML Board
May 27-29	MOLI Advanced Program
May 27-29	MOLI Education Program
June TBA	State Aviation Conference
June 4 or 5	NMML Board of Directors Meeting
June 7-10	New & Future Police Chief Training – Rio Rancho P.D.
June 7-11	NM All Court Staff Educational Program
June 12-23	National GFOA Virtual Conference
June 22-24	Policy Committee Meetings
June 27-30	National GFOA Annual Conference – Chicago, IL
June 28	Letter declaring candidacy for NMML Board must be received at the NMML Office
June 30	Report of Declared NMML Board Candidates sent to all members
June 30	In absence of any NMML Nomination/Declaration of candidacy, the League President appoints a Nominating Committee

July 7-9	NLC State League Staff Workshop – New Orleans, LA
July 16	If necessary, Nominating Committee meets to recommend Candidate(s) for any NMML Board undeclared office or at-large directorship
July 20	If necessary, Nominating Committee Report mailed to member municipalities
July 20-22	NM City Management Association Conference
July 22-23	NLC Mutual Board Meeting
July 23 or 24	Resolutions Committee Meeting
August 3-6	NLC State League Executive Directors Workshop – Santa Fe, NM (?)
August 6	Airport Managers Association Meeting
Aug 31 - Sept 2	NMML Annual Conference
September 1	Election of officers & at-large directors at Annual Conference. Nominations may be taken from the floor.
Sept 11-14	IACP Annual Conference – New Orleans, LA
Sept 15-17	NMLZO Annual Meeting/Workshop
Sept 15-17	MOLI Governance Program
Sept 23-26	Fire Service Conference
Sept 29-Oct 1	Municipal Officer Election School
Sept 29-Oct 3	IMLA Annual Conference – Minneapolis, MN
October TBD	NLC-RISC Board Meeting
October 1 or 2	NMML Board of Directors Meeting
October 3-6	ICMA Annual Conference – Portland, OR
October 17-22	Clerks Certification Institute
October 20-22	Master Municipal Clerks Academy
October 20-22	NM Library Association Conference – Marriott Pyramid - Albuquerque
October 24-27	NLC-RISC Staff Conference
October 27-29	NM Infrastructure Finance Conference
November 5	NMML/NMAC Joint Executive Committee Meeting
Nov 10-12	MOLI Leadership Program
Nov 15-19	DFA/Local Government Division Budget Workshop
Nov 17-20	NLC City Summit – Salt Lake City, UT
December 1-3	NM City Management Association Conference
December 1-3	NMGFOA Winter Conference
December 1-3	Municipal Attorneys Association Conference
Dec 8-10	NM Law Enforcement Conference
Dec 9-10	NLC Mutual Board Meeting